



# Elson S. Floyd College of Medicine

WASHINGTON STATE UNIVERSITY

Policy Title: Compliance and Ethical Conduct

Policy Number: EC.00.05.171003

Applies to: Administration, Faculty, Staff in Departments of the WSU Elson S. Floyd College of Medicine

Date: 12/20/2019

## **1.0 Policy Statement:**

It is the Elson S. Floyd College of Medicine policy to promote and ensure adherence to and fulfillment of all regulations, policies, and accreditation standards while providing a mechanism for preventing, reporting and resolving any breaches.

## **2.0 Definitions**

*Compliance:* the action or fact of complying with relevant regulations, statutes, standards and codes of conduct

*Ethical Conduct:* pertaining to morals or the principles of morality; being in accordance with the rules or standards for right practice, especially the standards of a profession.

*LCME:* Liaison Committee for Medical Education, a standard setting body of the Association of Medical Colleges and the American Medical Association for the accreditation of medical education programs leading to the MD degree

*AND:* The Academy of Nutrition and Dietetics, the national professional organization for Nutrition and Dietetics

*ACEND:* Accreditation Council for Education in Nutrition and Dietetics, the accrediting body for the national organization,

*ASHA:* American Speech-Language-Hearing Association, a national professional, scientific and credentialing association for speech –language pathologists and audiologists,

*CAA:* Council on Academic Accreditation in Audiology and Speech-Language Pathology; the accreditation body for ASHA, the national association.

## **3.0 Responsibility**

Compliance Officer

# Compliance and Ethical Conduct

## 4.0 Procedures

The Compliance Officer is the first point of contact and is responsible for ensuring that compliance related functions (other than matters related to research) are implemented. Compliance matters related to research conducted in the College of Medicine are the purview of the appropriate department chair in collaboration with the College of Medicine Vice Dean for Research and cognizant WSU officers. Contact may be through an appointment with the Compliance Officer or the cognizant department chair or Vice Dean via email or phone.

The College of Medicine Office of Compliance:

- liaises with the WSU Attorney General's legal team,
- monitors all policies that require archiving of forms or other services delineating the Office of Compliance as the responsible party,
- collaborates with the Chairs of all Departments and associate deans of appropriate enabling units using the services of the Office of Compliance to determine and address areas of risk related to compliance with accreditations and regulatory matters,
- monitors changes in accreditation standards relevant to the College,
- creates and promotes a culture of empowerment for all involved parties to prevent, detect, respond to, report and resolve conduct that does not conform to ethical standards, professionalism, and code of conduct,
- addresses the need for corrective actions internally and externally with the highest level of integrity. Maintains documentation of complaints, actions taken, and resolutions of concerns,
- educates audiences within the College on scope, procedure, and services of the Office.

## 5.0 Related Policies

College of Medicine Conflict of Interest Policy EC.01.01.191203  
WSU Conduct Code <https://conduct.wsu.edu/Title 504 Chapter 26>  
WSU [Ethics, Conflict of Interest and Technology Transfer Policy](#)  
WSU [Responding to Allegations of Research Misconduct Policy](#)  
ASHA [Code of Ethics](http://www.asha.org/policy/ET2016-00342/) <http://www.asha.org/policy/ET2016-00342/>  
Speech and Hearing Sciences Clinic Policies and Procedures Manual  
Nutrition and Exercise Physiology Bachelor of Science Handbook  
Nutrition and Exercise Physiology Master of Science Coordinated Program in Dietetics, Nutrition, and Exercise Physiology, Student Handbook  
WSU EP#33 – [Responding to Allegations of Research Misconduct](#)

# Compliance and Ethical Conduct

## 6.0 Key Search Words

Compliance, ethical, code of conduct,
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## 7.0 Revision History

Original Approval Date	Policy Number	Revision Approval Date
10/3/2017	EC.00.05.171003	12/20/2019

**Responsible Office:** Office of Compliance

**Policy Contact:** Compliance Officer

**Supersedes:** EC.00.05.171003 Approved 10/3/2017