MINUTES Board of Regents May 3, 2019

The Board of Regents of Washington State University (WSU or University) met pursuant to call in Open Meeting at 9:00 a.m. on Friday, May 3, 2019, at WSU Health Sciences Spokane, in Spokane, Washington.

Present: Ron Sims, Chair; Regents Ted Baseler, Brett Blankenship, Scott Carson, Marti Dickinson, Jordan Frost, Lura Powell, and Heather Redman; Faculty Representative Judith McDonald and President Kirk H. Schulz.

I. OPENING

- A. Report from the Chair of the Board of Regents. Chair Sims welcomed everyone to the meeting. Chair Sims noted after today's meeting, the Regents will participate in commencement in Spokane and then travel to Pullman to participate in the three commencement ceremonies the next day. He said he was especially pleased to report that Student Regent Frost would be participating in commencement not only as a Regent, but also as a graduate. He congratulated Regent Frost on his accomplishments. Chair Sims reported the following activities Board members have participated in since the last regular meeting:
 - March 21 Regents attended the 3rd annual Regents and Former Regents Dinner in Seattle. Chair Sims said this is a great event each year and provides an opportunity for current and past Regents to stay connected and discuss issue facing WSU and higher education in Washington state.
 - March 29 Regents Worthy and Frost attended the WSU Showcase Celebrating Excellence Banquet in Pullman, where it was Regent Worthy's honor to present Provost Bernardo with a Crystal Award for his exceptional service to WSU.
 - April 2 Regents Blankenship, Powell, Frost, and Chair Sims all attended the 2018
 Regents Distinguished Alumnus Award presentation in Pullman. Chair Sims said
 he had the honor of awarding the 2018 award to Thomas Graedel, professor
 emeritus and senior research scientist at Yale University, for his contributions to
 the understanding of atmospheric chemistry and his work to develop the field of
 industrial ecology.

In conclusion, Chair Sims reminded the audience there would be a public comment period during the meeting. He said the public comment period would be after the regular agenda items and would be for up to ten minutes.

- **B.** Report from the President of the University. President Schulz welcomed the audience and the Regents to WSU Spokane and provided the following updates:
 - State Budget Funds WSU Priorities Presidents Schulz reported this was a very good year for WSU in the biennial budget process, as most major priorities were

funded. He said WSU appreciated the support and leadership of the state legislature and the help of the Cougar Nation in making WSU's needs known. President Schulz offered a special thanks to the Government Relations team: Vice President for External Affairs and Government Relations and Chief Legislative Officer Colleen Kerr, Director of WSU Office of State Relations Chris Mulick, and their entire team on the ground in Olympia.

- Full funding of \$14.4M request (WSU's top legislative priority) for core funding to support the Elson S. Floyd College of Medicine. The operating budget package completes funding for the College of Medicine's existing cohorts of 60 students per year and funds first and second year instruction for an additional 20 students.
- Operating budget highlights include \$5.353M in Comp and Central Services Support; \$1.411M Clean Energy legislation (WSU Energy Program); FY20: \$383,00 and FY21: \$1.6M maintenance and operations for new buildings; \$500,000 Soil Health Initiative; \$264,000 Children's mental health legislation; \$173,000 Domestic violence legislation; and \$53,000 Opioid overdose medication legislation.
- O Capital budget highlights include \$36.4M for Washington Animal Disease Diagnostic Laboratory (WADDL) Construction allowing the completion of the building addition to the Paul G. Allen Center for Global Animal Health; \$27M for the WSU Tri-Cities Academic building providing classrooms and labs for teaching STEM program; \$21.4M for minor works preservation (MCI & omnibus equipment); and \$500,000 for pre-design of a new Biomedical and Health Sciences building at WSU Health Sciences Spokane.
- Strategic Planning Process President Schulz reported WSU has begun the process of developing a new comprehensive strategic plan that addresses the WSU system and campus locations. In addition, a separate strategic plan will be developed for the WSU Pullman Campus. WSU Everett and WSU Vancouver have completed strategic plans and WSU Tri-Cities and WSU Spokane are in the process of completing plans. President Schulz said some of the questions the strategic plan must address include: how is WSU evolving to meet the future needs of the state of Washington, how do we balance the pursuit of an enhanced national reputation with a strong focus on serving as Washington's land-grant university; and what does the future of public higher education look like and how can we secure WSU's place as a leader in public university education and student success.
- Land-grant Symposium On May 7, WSU will hold a system-wide "Land Grant Symposium" which will feature Stephen Gavazzi, co-author of *Land-Grant Universities for the Future*. Nearly 500 members of the WSU community statewide will participate in day-long discussions, and sessions will focus on the three legs of the land-grant mission: teaching; research; and service.

• Spring 2019 Commencement - WSU will graduate more than 5,500 students system-wide. More than 4,700 students are earning undergraduate degrees from WSU's six campuses and nearly 800 are graduating with either a master's or doctoral degree.

In conclusion, President Schulz thanked the Regents for their support and leadership.

C. Report from WSU Health Sciences Spokane. Chancellor Daryll DeWald welcomed the Board of Regents and audience to the Spokane campus and began his report providing updates on leadership additions and ongoing leadership searches. Chancellor Dewald further provided updates on WSU Spokane's strategic planning efforts, budget, academic programs, research, engagement and outreach.

D. Commendation for Jordan Frost.

Chair Sims read Board of Regents resolution #190503-600 commending Student Regent Jordan Frost for his service to WSU.

It was moved and seconded that the Board of Regents adopt resolution #190503-600. Carried. (A copy may be requested from the President's Office)

E. Commendation for Daniel Bernardo.

Chair Sims read Board of Regents resolution #190503-601 commending Executive Vice President and Provost Daniel Bernardo for his service to WSU.

It was moved and seconded that the Board of Regents adopt resolution #190503-602. Carried. (A copy may be requested from the President's Office)

II. CONSENT AGENDA.

Chair Sims reported there was one item on the Consent Agenda.

A) Approval of Minutes – March 8, 2019 and March 28, 2019 Board of Regents Meetings

Chair Sims asked if any Regent wished to remove an item on the Consent Agenda to be considered separately. Hearing no requests, it was moved and seconded that the Consent Agenda be approved. Carried.

III. REPORTS FROM SHARED GOVERNANCE GROUPS. Representatives from each of the University groups—Foundation Board of Directors, Faculty Senate, Associated Students of Washington State University, the Graduate and Professional Student Association, Administrative and Professional Advisory Council, and the Alumni Association—reviewed their reports as submitted. (*Exhibit A*)

IV. EXECUTIVE AND GOVERNANCE COMMITTEE REPORT. Vice Chair Blankenship, reporting for Chair Sims, said the committee heard presentations on two proposed Action Items and submitted the following for the Board's consideration

Board of Regents Election of Officers

It was moved and seconded that Marty Dickinson be elected to serve as Vice Chair of the WSU Board of Regents for the year beginning July 1, 2019, with the understanding that she shall act as Chair pro tempore in the absence of the Chair, with the power to preside at the meetings and to sign all instruments required to be executed by the WSU Board of Regents. Carried. (Exhibit B)

Clarification of Delegation of Authority to Commence Litigation

Vice Chair Blankenship noted for the record that this action item was being presented as an Action Item rather than a Future Action Item, in accordance with Board of Regents Bylaw II.12.B.

It was moved and seconded that the Board of Regents adopt resolution 190503-599 amending the Delegation of Authority to Commence Litigation. The amendments are a housekeeping matter intended to clarify that the President or designee has the authority to issue suit authorizations for the purpose of collection of delinquent University accounts in cases when all normal collection efforts have been exhausted. Carried. (Exhibit C)

- V. STUDENT AFFAIRS AND STUDENT LIFE COMMITTEE REPORT. Regent Powell reported the committee heard two presentations. Vice President for Student Affairs Mary Jo Gonzales provided a WSU Mental Health Summary presentation and Senior Associate Directors of Athletics Brian Blair and Thad Hathaway gave a presentation on Academic Services for Student Athletes. Lastly, Regent Powell reported the Committee reviewed its committee charter and discussed plans for next year's committee work.
- VI. RESEARCH AND ACADEMIC AFFAIRS COMMITTEE REPORT. Regent Redman reported the Committee reviewed one Future Action Item, Establish a Bachelor of Arts in Human Biology, presented by Executive Vice President and Provost Dan Bernardo. She further reported the committee reviewed Information Items on Faculty Manual Changes and Degree Extensions approved by the President under delegated authority presented by Provost Bernardo and received an Office of Research Update presented by Vice President for Research Chris Keane. In addition, Regent Redman reported the Committee reviewed two Action Items and submitted the following for Board's consideration:

Rename the Department of Educational Leadership Sport Studies and Education/Counseling Psychology to the Department of Kinesiology and Educational Psychology; and Create the Department of Educational Leadership and Sports Management

It was moved and seconded that the Board of Regents rename the Department of Educational Leadership Sports Studies and Educational/Counseling Psychology to the

Department of Kinesiology and Educational Psychology; and create the Department of Educational Leadership and Sports Management as proposed. Carried. (Exhibit D)

Establish a Center for Arts and Humanities

It was moved and seconded that the Board of Regents establish the Center for Arts and Humanities as proposed. Carried. (Exhibit E)

VII. INSTITUTIONAL INFRASTRUCTURE COMMITTEE REPORT. Regent Blankenship reported the Committee reviewed and discussed three Future Action Items: WSU Pullman, Baseball Clubhouse Schematic Design Approval, presented by Vice President for Finance and Administration Stacy Pearson, Athletic Director Pat Chun and Associate Vice President Facilities Services Olivia Yang; WSU Tri-Cities, Academic Building Schematic Design Approval and Construction Phase Approval, presented by Vice President Pearson, Chancellor Sandra Haynes, and Associate Vice President Olivia Yang; and WSU Tri-Cities 9+/- Acre Land Swap with the Richland School District and Athletic Fields Agreement, presented by Vice President Pearson, Chancellor Haynes and Executive Director Real Estate Services Ryan Goodell. After the Committee discussion, it was decided that these Future Action Items would be forwarded to the Finance and Compliance Committee for review and possible action during Friday's Board of Regents meeting.

VIII. FINANCE AND COMPLIANCE COMMITTEE REPORT. Regent Baseler reported that the Committee received an Internal Audit Update presented by Chief Audit Executive Heather Lopez. He further reported the Committee reviewed and discussed 13 Action Items and submitted the following for the Board's consideration:

Services and Activities Fees Rate Change for Academic Year 2019-2020

It was moved and seconded that the Board of Regents authorize rate changes in campus Services and Activities Fees for the academic year 2019-2020 as proposed. Carried. (Exhibit F)

Services and Activities Fees Committee Allocations for Summer 2019 and Academic Year

It was moved and seconded that the Board of Regents approve the allocation of Services and Activities Fees for Summer 2019 and Academic Year 2019-2020, as recommended by the Services and Activities Fee Committees representing each campus of Washington State University as proposed. Carried. (Exhibit G)

WSU Pullman, Undergraduate Technology Fee Committee Allocations for Academic Year 2019-2020

It was moved and seconded that the Board of Regents approve the Academic Year 2019-2020 allocations from the Pullman Student Technology Fee Committee as proposed. Carried. (Exhibit H)

WSU Vancouver, Undergraduate Technology Fee Committee Allocations for Academic Year 2019-2020

It was moved and seconded that the Board of Regents approve the Academic Year 2019-2020 allocations from the Vancouver Student Technology Fee Committee as proposed. Carried. *(Exhibit I)*

WSU Spokane, Proposed Changes to WSU Health Sciences Spokane Parking System Rates

It was moved and seconded that the Board of Regents authorize proposed changes to the WSU Health Sciences Spokane parking rates for fall 2019 as proposed. Carried. (Exhibit /)

Proposed Changes to Summer Session Tuition Policy

It was moved and seconded that the Board of Regents approve revisions to Executive Policy #10 regarding Summer Session Tuition and Services and Activities Fee Policy as proposed. Carried. (Exhibit K)

<u>Initiative for Research and Education to Advance Community Health (IREACH), Extension</u> of Office Lease

Regent Carson noted for the record that Regents had concerns with regard to the length of the lease extension, as well as the associated costs. In addition, the Regents discussed possible options for relocation of the IREACH program either to the Spokane Campus or another location in the Seattle area. Related to those discussions, the Regents requested that the administration update the Board in the next year as to the possible relocation of the program.

It was moved and seconded that the Board of Regents adopt resolution 190503-607, authorize a five (5) year extension of IREACH's existing office lease in Seattle, Washington, and delegate authority to the President or his designee to enter into any and all documents necessary to complete the extension of IREACH's office lease as proposed. Carried. (Exhibit L)

<u>Richland 24.29 Acres – Sale of Real Property</u>

It was moved and seconded that the Board of Regents adopt resolution #190503-608, authorize the sale of a parcel of vacant land in Richland, Washington, totaling 24.79 acres, identified as Benton County Parcel No. 1-2608-200-0001-004 (the "Property"), and delegate authority to the President or his designee to select the best offer and to enter into any and all documents necessary to complete this sale as proposed. Carried. (Exhibit M)

WSU Tri-Cities, 9+/- Acre Land Swap with the Richland School and Athletic Fields Agreement

Regent Carson noted for the record, the Board requested that contracts/agreements relating to this "land swap" contain language ensuring WSU has the "first right" to

repurchase the 9+/- acres of land in the event the Richland School district decides to sale the land it receives as result of the "land swap".

It was moved and seconded that the Board of Regents adopt resolution #190503-609, authorize a land swap with the Richland School District (the "District") pertaining to approximately 9 acres of land located on the WSU Tri-Cities Campus that would be swapped for approximately 9 acres of land located on the Richland School District's Hanford High School Campus, and further delegate authority to the President or his designee to enter into any and all documents necessary to complete this land swap as proposed. Carried. (Exhibit N)

WSU Tri-Cities, Academic Building, Schematic Design and Construction Phase Approval

Chair Sims noted for the record that this action item was being presented as an Action Item rather than a Future Action Item, in accordance with Board of Regents Bylaw II.12.B.

It was moved and seconded that the Board of Regents adopt resolution #190503-606, approve the WSU Tri-Cities, Academic Building with a total budget not to exceed \$30,400,000, authorize the project to proceed to design and construction using the Design-Build (DB) process pursuant to RCW 39.10, and further delegate authority to the President or his designee to enter into any and all contracts necessary to complete the project, within the budgeted amount as proposed. Carried. (Exhibit O)

WSU Pullman, Baseball Clubhouse, Schematic Design Approval

Chair Sims noted for the record that this action item was being presented as an Action Item rather than a Future Action Item, in accordance with Board of Regents Bylaw II.12.B.

It was moved and seconded that the Board of Regents approve the schematic design for the WSU Pullman, Baseball Clubhouse project as proposed. Carried. (Exhibit P)

Refunding of Trust and Building Fee Revenue Bonds, 2009B

Chair Sims noted for the record that this action item was being presented as an Action Item rather than a Future Action Item, in accordance with Board of Regents Bylaw II.12.B.

It was moved and seconded that the Board of Regents adopt resolution #190503-603 and authorize the issuance and sale of bonds to be used for refunding of Trust and Building Fee Revenue Bonds, 2009B (Refunded Bonds), with a maximum par amount not to exceed \$83,850,000; with a maximum term not to exceed the term of the Refunded Bonds, and a minimum savings threshold of 4.0% net present value savings; and delegate authority to the President or his designee to sell bonds including determining the final bond size, maturity schedule, redemption provisions and timing of sale as proposed. Carried. (Exhibit Q)

IX. STRATEGIC AND OPERATIONAL EXCELLENCE COMMITTEE REPORT. Regent Carson reported the committee reviewed one Action Item and submitted the following for the Board's consideration:

Centers, Institutes, and Laboratory (CIL) Task Force Recommendations

It was moved and seconded that the Board of Regents adopt resolution #190503-604, approve the proposed CIL Task Force Recommendations and delegate authority to the President or designee to have final approval of Centers, Institutes and Laboratories, previously approved by the Board as proposed. Carried. (Exhibit R)

- X. OTHER BUSINESS. No other Business.
- XI. PUBLIC COMMENT PERIOD. Dave Bilsland, Spokane community member and Barb Brock, retired faculty and Spokane community member, provided public comment regarding the Jensen Byrd building and asked the Regents to consider possible ways the University could repurpose the building to address the homelessness in the Spokane area.
- XII. ADJOURNMENT. The meeting adjourned at 11:45 a.m.

Approved by the Board of Regents at its meeting held September 20, 2019, in Pullman, Washington.

SIGNED COPY AVAILABLE IN THE PRESIDENT'S OFFICE

EXHIBIT A Board of Regents May 3, 2019



May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: WSU Foundation Regents Report

SUBMITTED BY: Lisa Calvert, Vice President for Advancement

CEO, Washington State University Foundation

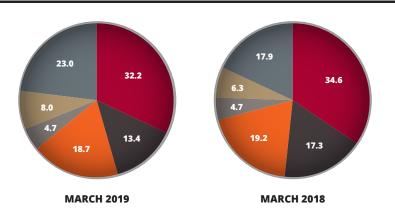
The Washington State University Foundation is pleased to report the following:

- To date during Fiscal Year 2019 (July 1, 2018—June 30, 2019) the WSU Foundation received \$92,135,768 in total fundraising commitments as of March 31, 2019. As of February 28, 2019, the endowment market value was \$510,537,080. More information can be found on the Year-to-Date Progress Report that accompanies this report.
- The WSU Foundation is attracting some of the most experienced and respected professionals in higher education advancement to key leadership positions. Jon Thorsen joined the WSU Foundation's leadership team as the Senior Associate Vice President of Advancement, Finance, Operations and Services on March 25; Jo Ann Grainger joined the WSU Foundation as Associate Vice President for Campaign Operations on April 1; and George Keegan, Senior Associate Vice President for Constituent Development Units arrived on April 15.
- With the WSU Foundation's leadership now complete, WSU Advancement is committed to building a culture of philanthropy across WSU by continuing to evolve to a comprehensive, innovative, and best-practice advancement program. With WSU's third comprehensive campaign on the horizon, we are attending to the elements of campaign design that will create a resilient foundation for philanthropic success for many years to come. To accomplish this, the WSU Foundation remains focused on building and scaling the infrastructure, creating a culture of accountability, and articulating philanthropy's value proposition for our key stakeholders. A number of key initiatives are being moved forward this spring to support these priorities, including:
 - 10-year unit philanthropy forecasting
 - o Creation of a WSU Advancement strategic retention and recruitment program, and
 - Deployment of an internal strategy to effectively communicate the impact and ROI that philanthropy makes possible at WSU every day.
- The next meetings of the WSU Foundation Trustees and the Board of Directors will be May 16-17, 2019, in Blaine, Washington. The WSU Foundation's Fall Meeting of the Trustees will be September 19-20, 2019, in Pullman, Washington.

WASHINGTON STATE UNIVERSITY FOUNDATION YEAR-TO-DATE PROGRESS REPORT

July 1, 2018 - March 31, 2019

Fiscal Year-to-Date	3/31/2019	3/31/2018
Gift Totals	\$43,388,512	\$43,999,681
Private Grants	\$21,181,774	\$17,021,372
Sub Total, Gifts & Grants	\$64,570,286	\$61,021,053
Pledge Balance	\$10,718,267	\$7,599,962
Sub Total Gifts, Grants & Pledges	\$75,288,553	\$68,621,015
Revocable Gifts	\$11,051,830	\$17,424,002
Annual Fundraising Totals	\$86,340,383	\$86,045,017
Other Contributions	\$5,795,385	\$9,303,462
Annual Total	\$92,135,768	\$95,348,479
Note: These figures are unaudited		





3/31/2019	3/31/2018
\$4,118,202	\$4,042,331
\$897,717	\$3,059,190
\$5,015,919	\$7,101,521
\$381,819	-\$24,687
\$5,397,738	\$7,076,834
\$959,666	\$2,585,000
\$60,627	\$0
\$6,418,031	\$9,661,834
	\$4,118,202 \$897,717 \$5,015,919 \$381,819 \$5,397,738 \$959,666 \$60,627

Endowment Summary	8 Months	8 Months
	Ended	Ended
	2/28/2019	2/28/2018
Endowment, Beginning 6/30	\$502,262,759	\$466,147,989
Gifts and Other Additions	15,283,849	18,197,364
Investment Gains (Losses)	6,075,389	36,836,581
Distributions to WSU and Endowment Advc. Assessment	-13,084,916	-12,154,287
Endowment, Ending	510,537,080	\$509,027,647
Investment Return FY-To-Date (July 1-June 30)	1.30%	7.90%
1-year Return	1.50%	12.60%
3-year Return	8.90%	6.40%
5-year Return	5.50%	7.70%
10-year Return	8.30%	4.90%

KEY STATISTICS	3/31/2019	3/31/2018
Alumni of Record Available for solicitation	191,235	185,054
Alumni Participation Rate	8.0%	8.3%
Total Number of FY Donors	37,964	38,533
Total FY Gifts, Grants, Pledges, Revocable Commitments	78,190	77,148



Office of Faculty Senate

Date: May 3, 2019

To: Members of the Board of Regents Subject: Faculty Senate Report

Submitted by: Jeannette Mageo, Chair of the Faculty Senate

- 1. I would like to commend senate committees and committee chairs for their participation in shared governance. Several large changes this year include:
 - a. Research and Arts Committee/CILs Task Force (Tammy Barry & Babu John Maridoss)
 - b. Faculty Affairs Committee/Tracks and Appointments (Denise Yost)
 - c. Academic Affairs Committee/Rule 53 & 56 (Kasee Hildenbrand & Debbie Handy)
 - d. Campus and Community Health Task Force (Tammy Barry & Kasee Hildenbrand)
- 2. WSU Bookie Board authorized the expansion of the First Day program for fall 2019 to continue providing course materials cost savings to students. First Day was initiated by the senate/provost Course Materials Value and Effectiveness Committee.
- 3. The Faculty Senate has updated the Educational Policy and Procedure Manual (EPPM) and incorporated the current system-wide policy on inclement weather. Also, the Senate has created a policy that provides a route for incorporating academic Memoranda of Understanding into the manual, including a revision and sunset pathway. Finally, the senate has adopted a process where the EPPM will be maintained and updated each year.
- 4. Vision WSU is a new faculty-led academic working group exploring the near future of higher education, with a focus toward meeting the needs of Washington State employers and embracing emerging technology-driven changes to curriculum and instruction, especially in the context of anticipated explosive advances in computation, data science, and artificial intelligence. Our first meeting was held March 14th in Pullman where a team from Microsoft led a group of faculty through an initial brainstorming session. A follow-up Idea-a-thon will be scheduled in August 2019 and will focus on alternate and competency-based system-wide entry pathways, including stackable certificates.
- 5. The Faculty Senate elected David Turnbull as Chair-elect beginning August 2019. Dave was chair of the Faculty Senate during the 2011/2012 year and has broad service experience. Dave serves as Senate Parliamentarian. More information is found at https://facsen.wsu.edu/chair-elect-2019-2020/
- 6. On April 25th the Faculty Senate held a Town Hall to access research infrastructure issues. Eventually we hope to produce a report that identifies challenges and provides remedies with timeframes.
- 7. The CSW-AFW salary group continues to meet to discuss new approaches to accessing salary equity to be used in the coming year.
- 8. The Honorary Degree Committee has forwarded recommendations for a 2019 awardee to the President's office.

May 3, 2019

TO: ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: ASWSUHS Report

SUBMITTED BY: Johanna Pantig, President

On behalf of the Associated Students of Washington State University Health Sciences, I would like to thank you for your support and report the following:

Goal #1: To work at the university, local, state and national level on issues that directly affect WSU Health Sciences students and interprofessional education and access

• HB 1726 Concerning services provided by health care professional students: A student-led bill that will allow for interprofessional preceptor supervision of health sciences students at volunteer health events. Through the efforts of ASWSUHS, events were created to encourage students to contact their state representatives and senators in support of HB 1726. Students provided testimonies speaking on behalf of the need for more interprofessional education and the barriers current legislation poses on increasing these efforts. On April 15, 2019, the bill passed the state legislature and we are waiting for a signing date from the Governor.

Goal #2: To build relationships and maintain physical presence on both health science campuses

- Spokane: Members of the executive team attended RSO meetings providing them information on the resources available to them on campus. In collaboration with the Office of Community Engagement, we organized our third annual WSU Health Sciences Interprofessional Health Fair for the East Central Community at Sheridan Elementary and expanded to a second location for the first year at North Central High School. Planning involved RSOs from all three colleges and a team consisting of medical, speech and hearing, nursing, and pharmacy students performed health assessments collaboratively. ASWSUHS held a meet and greet with President Kirk Schulz and its first town hall meeting discussing issues such as space and parking.
- Yakima: ASWSUHS established our first Director of Yakima Relations executive position. It was created to maintain communication amongst the WSU College of Nursing located at the Yakima Valley Community College campus, WSU College of Pharmacy and Pharmaceutical Sciences located at the Pacific Northwest University of Health Sciences campus, and the ASWSUHS executive team located in Spokane. The ASWSUHS Executive Team traveled to Yakima in the fall for a meet and greet. This allowed students an opportunity to speak with their student leaders about their concerns as an "extension" campus and in regards to both programs being located on different ends of the city.

Goal #3: To seek feedback and meet student space needs – create an all student lounge on the Spokane campus

- Student lounge: Three years ago, when our Student Diversity Center was built, the only student lounge space was converted for the project and no additional space was allocated for a lounge. Over these last two years ASWSUHS has gathered student feedback and used that feedback to collaborate with top WSU Spokane administration in finding space. At the end of this spring semester a new student lounge will be installed in front of Student Affairs to provide more space for students to study, relax, and charge their electronics.
- Space Management Committee: The first student representative was appointed to the Space Management Committee this year. This is the deciding body for the utilization of space on both the Spokane and Yakima campuses. As space is a high concern on our campus, having a student sit on the committee is a great accomplishment and allows for student advocacy in regards to important issues.

Date: May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: GPSA Board of Regents Report

SUBMITTED BY: Amir Gilmore

On behalf of GPSA, I would like to thank the Board of Regents for your continued support of graduate and professional students. It is with great pleasure that I report the following:

GPSA Execs Meeting Senator Patty Murray: On Tuesday, April 16th, 2019, the GPSA incoming and outgoing Executive Officers got to meet Senator Patty Murray at the Foley Institute. Not only was it a fantastic opportunity to meet the Senator, but we had the opportunity as graduate and professional students to tell her our opinions about graduate education in regard to the rewriting of the Higher Education Act. GPSA looks forward to Senator Murray taking our concerns back to Washington D.C. Moreover, John Culton, the Eastern Washington Director for Senator Murray, would love to have follow-up meetings with us. This something that I believe that the new GPSA Executive team will take on.

Research & Extension Center Senate Seat: Graduate and professional student advocacy will always be a priority for GPSA. This year we made it our goal to expand the representation of our Pullman graduate students on the Research and Extension Centers of Prosser, Wenatchee, Mount Vernon, and Puyallup. On April 22, 2019, GPSA voted in favor of adding an additional seat to the Senate body for Research and Extension graduate students. Currently the seat is temporary during the 2019-2020 academic year but will be converted to a permanent seat during the 2020-2021 academic year. This opportunity will allow R&E students have a larger voice in the senate in regarding to voting power as well budgetary power. Hopefully with time, GPSA will continue to add additional seating for R&E students.

GPSA Professional Development Initiative: GPSA is thankful for the partnership that we have between the President's Office and the Graduate School. President Schulz and Dean Gloss have been fantastic in elevating the voices and visibility of graduate and professional students. This is the third full year of PDI and it has been another successful year of providing graduate and professional students the tools that they need to succeed and obtain jobs inside and outside the academy. This year we provided nearly 40 events and we had over 900 people attend in person and another 400 people attend online. Two goals that we have next year with PDI is (1) to continue to growth PDI on the other WSU campuses through our online capabilities and (2) rank our PDI program in comparison to our peer institutions across the country.



Administrative Professional Advisory Council

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Administrative Professional Advisory Council Report

SUBMITTED BY: Stephanie Rink, Chair

The Administrative Professional Advisory Council is pleased to report the following:

- 1. Global Campus has identified the Employee Presidential Scholarship as their WSU CougsGive campaign. On April 10th the CougsGive campaign raised \$630 for the scholarship.
- 2. APAC continues to work on the Professional Development Initiative. We have scheduled our spring speaker for May 22, Jake French, a motivational speaker who is the living example of what is possible when the right attitude, mindset, and strategies are in place. He will present in partnership with the Carson College of Business on *Leadership without Limits* and *Anyone can be a Leader; How to Gently Lead your Leadership.*
- 3. APAC will host forums on the Spokane and Tri-Cities campuses. The forum on the Spokane campus is scheduled for April 18th and on the Tri-Cities campus on April 23rd. APAC will again provide an avenue for constituents at these campuses to send questions anonymously.
- 4. On April 11th APAC held a special election meeting in which nine (9) APs were appointed to the council. A special note that for the first time APAC received applications from extension sites and we will have representation from Wenatchee and Bremerton and two other extension sites in Tri-Cities and Spokane.
- 5. Following our April 11th special election meeting APAC appointed Stephanie Rink as Chair and Sue Gilchrist as Vice-Chair. Treasurer remains vacant at this time and will be an agenda item at our May meeting.
- APAC continues monthly council meetings where all APs are encouraged to attend and VPs and upper administration are asked to present on initiatives and updates throughout the year. APAC Executive Leadership will continue to meet with President Schulz monthly.

May 3, 2019

TO: ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: WSU Alumni Association Progress Report

SUBMITTED BY: Ashley MacMillan, WSUAA President 2018-19

Keeping New Grads Connected with WSU

For the first time, the WSUAA is providing a three-year membership to all May WSU graduates...free of charge. The Alumni Association worked with each campus to communicate the gift to our newest alumni and gratefully acknowledges the assistance of the Registrar's Office in ensuring that every graduating undergraduate and graduate student will receive a complimentary membership for three years.

This new-grad membership pilot program is aimed at making the transition from classroom to career a bit easier for WSU's newest alumni. Benefits like networking events, online career coaching, extended learning plans, and continuing education discounts allow this new graduating class of Cougs to best prepare for their future. With 31 chapters, 16 clubs, 4 multicultural chapters, and 5 special-interest groups, the WSUAA offers ways for new grads to connect with fellow Cougs wherever they might call home. Important for WSU, the program also enables the University to remain connected with the most recent graduating class at an important stage of their lives.

As the University focuses on building sustainable and growing philanthropy, membership represents an important way to engage alumni and maintain current contact information for each individual. The University's focus on expanding philanthropic support hinges on building mutually beneficial relationships with its graduates. The WSUAA is proud to play a vital role in that effort.

The Carson College of Business and WSUAA Join Forces to Offer MBA Discount to Members The WSUAA knows that continued learning is a priority for Cougs. Last year, we announced the launch of the Alumni Learning Network, which allows members to take college courses for personal enrichment in an inviting environment through the WSU Global Campus.

Starting June 1, Life and Platinum Life Members will receive discounted tuition to the Carson College of Business Online MBA and Executive MBA programs. This 10% discount will provide a huge savings for Cougs looking to further their education.

Impactful Volunteer Leader Training

The Spring Leadership Conference and Board Meeting, held March 21-23, educated and energized 63 volunteer leaders that departed ready to bring a piece of WSU back to their areas. Highlights of the conference included a networking event and training with volunteers and current students, a Tri-Cities campus update, a panel discussion with current student athletes, and a Foundation priorities briefing from Lisa Calvert.

Introducing WSUAA Officers 2019-20

Following Commencement on May 4, WSUAA officers will transition to their new leadership roles:

- President Jane Yung '93 of Bellevue, Director, UW Medicine
- President-Elect Doug Willcox '65 of Palouse, retired engineer and farmer
- Vice President Bertha Clayton '07 of Walla Walla, Associate Attorney, Hernandez Immigration Law
- Immediate Past President Ashley MacMillan '05 of Beaverton, Senior Regional Planning Manager, Columbia Sportswear

ACTION ITEM #1

Election of Officers (Ron Sims)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Election of Officers

PROPOSED: That Marty Dickinson be elected to serve as Vice Chair of the WSU

Board of Regents for the year beginning July 1, 2019, with the understanding that she shall act as Chair pro tempore in the absence of the Chair, with the power to preside at the meetings and to sign all instruments required to be executed by the WSU

Board of Regents.

SUBMITTED BY: Ron Sims, Chair, Board of Regents

SUPPORTING

INFORMATION: Excerpt from the Board of Regents bylaws, Article I, Section 3

(Election and Appointment Process):

Election and Appointment Process. At its regular meeting held after the first Wednesday in April of each year, the Board shall hold elections to fill the offices of Chair and Vice Chair. The Board shall elect a Vice Chair, as nominated by the Executive Committee, based upon the advice of the Board and in consultation with the President of the University. The Vice Chair shall hold office for a one-year (1-year) term, commencing on July 1. Except in the case of resignation or removal, or other exigent circumstances, the Vice Chair shall then automatically succeed as Chair of the Board the following year and shall hold the office of Chair

for one-year (1-year), commencing on July 1.

ACTION ITEM #2

Clarification of Delegation of Authority to Commence Litigation (Danielle Hess)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Clarification of Delegation of Authority to Commence Litigation

PROPOSED: That the Board of Regents adopt the proposed resolution amending

the Delegation of Authority to Commence Litigation. The amendments are a housekeeping matter intended to clarify that the President or designee has authority to issue suit authorizations for the purpose of collection of delinquent University accounts in cases

when all normal collection efforts have been exhausted.

SUBMITTED BY: Danielle A. Hess, Division Chief

WSU Division of the Attorney General's Office

SUPPORTING

INFORMATION: See attached

ATTACHMENTS: Resolution #190503-599 - Delegation of Authority to Commence

Litigation with proposed changes tracked

BOARD OF REGENTS

Delegation of Authority to Commence Litigation

Resolution #190503-599

WHEREAS, RCW 28B.30.095, RCW 28B.30.100, and RCW 28B.30.150 vest the governance and management of Washington State University (WSU) in the Board of Regents of WSU;

WHEREAS, the Board of Regents is authorized by RCW 28B.10.528 to delegate to the President of WSU or designee powers and duties vested in or imposed upon the Board of Regents by law and to enable the President or designee to act on behalf of the Board of Regents in matters relating to the administration and governance of WSU;

WHEREAS, the President has been delegated authority to act in matters relating to the general business and financial affairs of WSU; and

WHEREAS, the need to commence litigation or to file notice of appeal may arise at a time when it is not feasible for the Board of Regents to meet;

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents hereby confirms and continues prior delegations of authority to the President or designee to commence litigation or other legal action in any court or tribunal having jurisdiction; to file claims, cross claims, or third-party complaints in existing litigation; and to file notices of appeal or otherwise seek review of a court decision;

PROVIDED, HOWEVER, that no new legal action <u>in a court of law</u> shall be initiated in which WSU is the plaintiff and complaining party without prior approval of the Chair of the Board of Regents, or in the absence of the Chair, the Vice Chair of the Board of Regents. <u>PROVIDED FURTHER</u>, that the <u>President or designee may initiate a new legal action in a court of law without the prior approval of the Chair or Vice Chair for the purpose of collection of delinquent university accounts in cases where all normal collection efforts have been exhausted.</u>

DATED this 3rd day of May, 2019.	
	Chair, Board of Regents
Secretary, Board of Regents	Vice Chair, Board of Regents

ACTION ITEM #1

Rename the Department of Educational Leadership Sport Studies and Educational/Counseling Psychology to the Department of Kinesiology and Educational Psychology; and create the Department of Educational Leadership and Sport Management (Daniel J. Bernardo)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Rename the Department of Educational Leadership Sport Studies and

Educational/Counseling Psychology to the Department of Kinesiology and Educational Psychology; and create the Department of Educational

Leadership and Sport Management

PROPOSED: That the Board of Regents rename the Department of Educational

Leadership Sport Studies and Educational/Counseling Psychology to the Department of Kinesiology and Educational Psychology; and create the

Department of Educational Leadership and Sport Management

SUBMITTED BY: Daniel J. Bernardo, Provost and Executive Vice President

SUPPORTING INFORMATION:

The College of Education is requesting the opportunity to rename the Department of Educational Leadership Sport Studies and Educational/Counseling Psychology to the Department of Kinesiology and Educational Psychology; and create the Department of Educational Leadership and Sport Management.

The Department of Educational Leadership, Sport Studies, and Educational/Counseling Psychology (ELSSECP) houses four distinct disciplines: (1) Educational Leadership, (2) Sport Management, (3) Kinesiology [includes sport science and athletic training], and (4) Educational Psychology. These four disciplines are administratively located in a single department as a consequence of downsizing within the college and across the university over many years. The disciplines are somewhat disparate and don't share a common educational mission, pedagogy, or research foci.

The current college administrative structure includes one department chair and program coordinators for all disciplines. The challenge with this structure is twofold. One, faculty don't feel fully represented by a department chair that does not affiliate with their discipline. Two, it is an extremely difficult challenge for a single faculty member to serve as the department chair in a manner that properly supports each of the faculty groups. To learn the history, background, current trends, and disciplinary nuances is simply too great a task for one individual to do well. Moreover, the size of the current department means that the department chair is focused on personnel matters more than would ordinarily be the case. This in turn takes the chair away from their own scholarship. These challenges are common knowledge among faculty and as a consequence, faculty who could be considered for the chair position are simply unwilling to do it, given the current structure.

The College of Education is proposing a new department, Department of Educational Leadership and Sport Management, while maintaining ELSSECP. The new department would house Educational Leadership and Sport Management while the current department, ELSSECP, would house Kinesiology and Educational Psychology.

The college anticipates that the chair will have their disciplinary affiliation from one of the two programs in the department. This will be true for both departments. In addition, the college will eliminate lead program coordinators for all four programs across both of these departments. Instead, for the program with a department chair that is not from their discipline and program, an assistant chair will be created. The assistant chair position will be maintained in both departments and both assistant chairs will be members of the college leadership team. In the event that no faculty member is available from either program within a department, an individual outside of the department will be sought to fill the chair role. In addition, both programs will have assistant chairs.

The advantages are fourfold:

- One, faculty will feel better represented.
- Two, the department chair will have a more reasonable administrative workload.
- Three, the department chair will be able to maintain their scholarly program.
- Four, the two departments will be in a position to generate some intellectual innovation. Sport Management and Educational Leadership share organizational theory as a major component of the curriculum. For the other department, Kinesiology and Educational Psychology share similar research models, methods, and processes. The new departmental arrangement will allow for greater collaborative efforts, which heretofore, have been lost in a large department in which individual faculty have been left to vie for their own position and resources.

The Counseling Psychology program also exists within the department but is being phased out so was not included in the list above. Counseling Psychology would stay within the current department with Kinesiology and Educational Psychology until complete phaseout, August 2021.

The attached proposal was reviewed carefully and has support from the Provost's Office. This recommendation was passed by the Faculty Senate on January 24, 2019.

ATTACHMENT: Attachment A – Memorandum of Understanding

Memorandum of Understanding (MOU) between the Provost and the Faculty Senate regarding the Creation, Movement, Renaming or Elimination of Academic Programs, Departments, and Colleges

Background

The present MOU was formulated because the University has no policies that directly govern the creation, movement, renaming or elimination of colleges, departments, or programs. Recent restructuring of colleges, departments, and academic programs has revealed that such policies would be useful when administrative units are changed. Although the structure of administrative units does not fall within the Faculty Senate's formal jurisdiction, the faculty will be consulted because it has an interest in changes in such units.

This MOU describes a process of consultation between the faculty and the Provost that can be used for creating, moving, renaming or eliminating an academic program, department, or college. The process described here applies only to changes in administrative structure. It does not apply to curricular changes. Curricular issues related to changes in administrative structure will go through the normal Faculty Senate approval process. Throughout this document, the term "department" means "department and/or school," following the usage in the *Faculty Manual*, and an "academic program" is defined by the *Faculty Manual* as an interdepartmental set of faculty that have an individual budget, an administrative officer, and a set of courses that lead to a degree.

This MOU was originally agreed to by the Faculty Senate as a whole on 30 January 2014. This revision has been made to include creation of and changes to academic programs.

Process for Creation of a New Academic Program, Department, or College

The process for creating a new academic program, department, or college begins with obtaining pre-approval from the Provost. This request for pre-approval should emanate from the dean of the college proposing the new academic program or department. Next, a Notice of Intent to create such a unit should be filed with the Provost's Office. A form, attached to this MOU, contains a set of questions that should be addressed in this Notice of Intent.

If the Provost decides that the Notice is not worthy of consideration, the process stops and the Provost informs those who submitted the Notice of his or her decision. If the Provost decides that the Notice is worthy of consideration, (s)he sends that Notice to the Executive Secretary of the Faculty Senate. The Provost, in consultation with the Faculty Senate Executive Committee, will then decide whether the Notice should receive full consideration by the Faculty Senate.

If the decision is positive, the proposal will be examined by, at least, the Faculty Senate Steering Committee and the Faculty Affairs Committee. Other Faculty Senate committees and, potentially, the entire Faculty Senate and/or the entire faculty, may be involved as determined by the Faculty Senate Steering Committee. The Faculty Senate Executive Committee will summarize the results of the Faculty Senate deliberations and will send recommendations concerning the creation of the unit to the Provost by the last day of the semester following the semester in which the Faculty Senate received the Notice.

The Notice of Intent to Create a Department includes the question of whether the department should also serve as a tenure unit. A tenure unit is the unit within which tenure is held by tenured faculty members at the University. Inclusion of this question and a requirement for justification recognizes that some, but probably not all, newly formed departments should also serve as tenure units.

Process for Movement of an Academic Program, Department, or College across Campuses or Administrative Structures

This process is identical to that for creation of an academic program, department, or college except that the questions involved in the Notice of Intent differ somewhat from those involved in the Notice of Intent to Create an Academic Program, Department, or College (see the attached form).

Process for Renaming an Academic Program, Department, or College

This process is identical to that for creation of an academic program, department, or college except that the questions involved in the Notice of Intent differ somewhat from those involved in the Notice of Intent to Create an Academic Program, Department, or College (see the attached form).

Process for Eliminating an Academic Program, Department, or College

Because it has been effective in the past, the description of unit discontinuation in Section III.E.3.b of the *Faculty Manual* will be used as the process for eliminating a program, department, or college with the further stipulation that this process will begin as described under "Process for Creation of a New Program, Department, or College" [i.e., with pre-approval by the Provost and then a filing of a Notice of Intent to Eliminate an Academic Program, Department, or College with the Provost's Office (see the attached form)].

Conclusion

This MOU may be terminated by a vote of the Faculty Senate or by the Provost. Both parties are required to provide 60 calendar days' notice (excluding the period between the end of the Spring semester and the beginning of the Fall semester) before termination is effective. Notice by the Provost must be given to the Executive Secretary of the Faculty Senate.

Signatures,

Juenth MeDonald/ Chair, Faculty Senate

Date

Daniel J. Bernardo

Provost and Executive Vice President

August 13, 2018

Date

Notice of Intent to Create an Academic Program, Department, or College

Proposed name of unit	Proposed campus(es)
Unit Type (select one) Academic Program Department College	If academic program or department, where will unit be housed?
Justification for new unit. If a department, indicate justify	e whether it will serve as a tenure unit, and
List of existing units that will be eliminated if unit i	s created. If none, enter "None"
If academic program or department, list faculty what list academic units that will be members of the co	
Describe process used to consult faculty affected	by creation of the proposed new unit
Describe process used to consult other academic unit	units affected by creation of the proposed new
List any and all objections raised during consultat provide responses to each	tions to creation of the proposed new unit, and
Proposed budget	

Describe impact on Libraries. If none, enter "None"	
Desired start date (semester, calendar year)	
Name of person submitting this Notice	Date submitted
If program, electronic signature of head of sponsoring department	Date signed
If academic program or department, electronic signature of dean of sponsoring college	Date signed

Submit completed form to Office of the Provost and Executive Vice President at provost.deg.changes@wsu.edu .

Notice of Intent to Rename an Academic Program, Department, or College

Name of unit		
Proposed new name of unit		
Justification for proposed name change		
Describe process used to arrive at new name, including consultations with faculty and other potentially affected units		
List any and all objections raised during consultations to proposed new name, and provide responses to each. If none, enter "None"		
Desired effective date (semester, calendar year)		
Name of person submitting this Notice	Date submitted	
Electronic signature of dean of sponsoring college	Date signed	

Submit completed form to Office of the Provost and Executive Vice President at provost.deg.changes@wsu.edu .

Proposed Departments	Discipline	Programs	Degrees	Faculty
Kinesiology and Educational Psychology	Educational Psychology	1. Educational Psychology	1. MA in Educational Psychology 2. PhD in Educational Psychology	Sola Adesope Kira Carbonneau Shenghai Dai Robert Dainelson Brian French Chad Gotch Jennifer Lebeau Zoe Higheagle Strong Mike Trevisan
	Kinesiology	Sport Science Athletic Training	 BS in Kinesiology, Major in Sport Science BS in Sports Medicine (part of the MAT degree) Master's in Athletic Training 	Robert Catena Christopher Connolly Anne Cox Tami Goetz Kasee Hildenbrand Kimberly Holmstrom Phillip Morgan Katy Pietz Judy Schultz Sarah Ullrich-French
	Counseling Psychology (Will phase out by 8/2021)	1. Counseling Psychology	1. Ph.D. in Counseling Psychology	Brian McNeill Phyllis Erdman Hsin-Ya Liao
Educational Leadership and Sport Management	Educational Leadership	1. Educational Leadership	1. Ed. M in Educational Leadership 2. MA in Educational Leadership 3. EdD in Educational Leadership 4. PhD in Educational Leadership	Shannon Calderone Kathleen Cowin Glenys Hill Kristin Huggins Sharon Kruse Teena McDonald Tom Opstad Paul Pitre Katherine Rodela
	Sport Management	1. Sport Management	 BA in Sport Management MA in Sport Management 	Tammy Crawford Hank Evans Scott Jedlicka Tae Ho Kim Chris Lebens Simon Licen Yong Chae Ree John Wong

ACTION ITEM #2

Establish the Center for Arts and Humanities (Daniel J. Bernardo)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Establishment of the Center for Arts and Humanities

PROPOSED: That the Board of Regents establish the Center for Arts and Humanities

SUBMITTED BY: Daniel J. Bernardo, Provost and Executive Vice President

SUPPORTING INFORMATION:

The Center for the Arts and Humanities will serve as an organizing point for creative and scholarly activity (research) and public engagement (service) in the arts and humanities, with the further potential to support innovative teaching at both the undergraduate and graduate levels. Its work and contributions are expected to engage not only those faculty and students formally associated with arts and humanities units but also those faculty who independently express artistic and/ or humanistic interests. Given this integrated range of aims and its particularly outward-facing and collaborative emphasis, a center is the most appropriate designation for this proposed unit.

By creating a Center for the Arts and Humanities WSU will catalyze new patterns of inquiry among current arts and humanities faculty, providing a framework for more collaborative and outward-facing work. In particular it will concentrate on larger-scale interdisciplinary interest areas that draw upon but necessarily extend beyond the scope of individual departments. Its establishment will confirm WSU's commitment to the arts and humanities as areas of serious intellectual inquiry, one that is consonant with our continuing obligation as a landgrant institution to the creation and extension of new knowledge to the wider public.

Nationwide more than 120 institutions have some form of arts/humanities center or institute. More importantly, such centers are characteristic of high-performing comprehensive research universities. Of the 42 public universities identified as "Top 25" in the Arizona State University's Measuring University Performance list (a key source of "Drive to 25" Metrics), 70% have such a center. Of the actual top 25, 80% have such a center, and those that do not are most frequently

more specialized technical institutions. More immediately, in the PAC-12, 9 of 12 PAC-12 universities have formal arts/humanities centers. Two that do not-Arizona and UCLA-have a stand-alone College of Humanities that coordinates several arts- or humanities-based centers. WSU is the only PAC-12 institution to have neither an arts/humanities center nor an independent college of the arts and humanities.

By themselves, of course, the presence of these centers at other institutions does not necessarily oblige the creation of a similar center at WSU. Such centers do testify compellingly, however, to the widespread recognition among our aspirational peers that today's greatest challenges are not simply scientific nor technical. Rather, they are also questions of values, beliefs, history, aesthetics, and culture, all of which are fundamentally artistic and humanistic areas of inquiry. A failure to invest appropriately in the arts and humanities thus threatens the capacity of any institution-WSU included-to effectively understand, engage, and solve our world's most pressing and complex problems.

At its core, the Center proposes to pursue a fundamental transformation of arts and humanities research at WSU. Working in concert with academic departments, which will remain centers of more specialized, field-specific inquiry, the Center will advance a broader agenda, one that crosses traditional scholarly boundaries, encourages innovation, and advocates for the vital contribution of the arts and humanities to the public good.

With this commitment serving as its guiding principle, the Center will pursue the following primary goals:

- Expand WSU's capacity for foundational research in the arts and humanities
- Nurture cross- and interdisciplinary connection and collaboration
- Increase the public visibility and outreach of WSU arts and humanities faculty
- Advance WSU's commitment to diversity, inclusion, and community engagement
- Catalyze WSU's engagement with emergent fields of humanistic and artistic knowledge

The complete proposal for the Center for the Arts and Humanities is attached. This proposal was reviewed carefully and has support from the Provost's Office. This recommendation was passed by the Faculty Senate on January 24, 2019.

ATTACHMENT: Attachment A – WSU Center for the Arts and Humanities (Proposal)

WSU Center for the Arts and Humanities (Proposal)

Submitted by Todd Butler, Associate Professor and Chair (English) on behalf of the Center for the Arts and Humanities Planning Group butlert@wsu.edu, 335-2639

[Note: This Center was provisionally approved by the Research and Arts Committee on April 23, 2018. This submission represents the full proposal required under that process within 90 days of approval.]

Rationale

The Center for the Arts and Humanities will serve as an organizing point for creative and scholarly activity (research) and public engagement (service) in the arts and humanities, with the further potential to support innovative teaching at both the undergraduate and graduate levels. Its work and contributions are expected to engage not only those faculty and students formally associated with arts and humanities units but also those faculty who independently express artistic and/or humanistic interests. Given this integrated range of aims and its particularly outward-facing and collaborative emphasis, a center is the most appropriate designation for this proposed unit.

Benefit

By creating a Center for the Arts and Humanities WSU will catalyze new patterns of inquiry among current arts and humanities faculty, providing a framework for more collaborative and outward-facing work. In particular it will concentrate on larger-scale interdisciplinary interest areas that draw upon but necessarily extend beyond the scope of individual departments. Its establishment will confirm WSU's commitment to the arts and humanities as areas of serious intellectual inquiry, one that is consonant with our continuing obligation as a land-grant institution to the creation and extension of new knowledge to the wider public.

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By themselves, of course, the presence of these centers at other institutions does not necessarily oblige the creation of a similar center at WSU. Such centers do testify compellingly, however, to the widespread recognition among our aspirational peers that today's greatest challenges are not simply scientific nor technical. Rather, they are also questions of values, beliefs, history, aesthetics, and culture, all of which are fundamentally artistic and humanistic areas of inquiry. A failure to invest appropriately in the arts and humanities thus threatens the capacity of any institution—WSU included—to effectively understand, engage, and solve our world's most pressing and complex problems.

Mission, Purpose and Goals

At its core, the Center proposes to pursue a fundamental transformation of arts and humanities research at WSU. Working in concert with academic departments, which will remain centers of more specialized, field-specific inquiry, the Center will advance a broader agenda, one that crosses traditional scholarly boundaries, encourages innovation, and advocates for the vital contribution of the arts and humanities to the public good.

With this commitment serving as its guiding principle, the Center will pursue the following primary goals:

- Expand WSU's capacity for foundational research in the arts and humanities
- Nurture cross- and interdisciplinary connection and collaboration
- Increase the public visibility and outreach of WSU arts and humanities faculty
- Advance WSU's commitment to diversity, inclusion, and community engagement
- Catalyze WSU's engagement with emergent fields of humanistic and artistic knowledge

Nature and Scope of Activity

As the above goals suggest, the CAH's primary focus, especially in its initial stages of development, will be to increase the scholarly and creative preeminence of WSU faculty initiatives in the arts and humanities. At the same time, we will foster greater connections between interested faculty in order to create new networks of activities that extend beyond current department "silos."

Pursuing all these goals within the diversity of fields and methodologies in the arts and humanities requires a support model that is **targeted**, **flexible**, **and accessible** to a wide range of faculty. In addition, when taken as a whole, the support model should assist faculty in moving from initial inquiry into increasingly complex and long-term projects.

The Center will thus pursue an "incubator approach" to catalyzing scholarship, creativity, and engagement, one which encourages an initially wide base of supported projects while at the same time identifying and advancing the most promising and impactful work. Doing so offers the greatest potential for promoting and sustaining work that can generate significant professional recognition, public impact, and, where appropriate, external funding from individual donors and private, state, and federal agencies.

The Center incubator is envisioned at present as a multi-stage model, one in which each stage offers opportunity for further development tied to the achievement of specific benchmarks:

Catalyst Grants: The Center will make available on a rolling basis a series of small-scale Catalyst
Grants designed to encourage and support networks of faculty and students who gather for reading,
planning, or study around a particular theme or area of interest. Catalyst Grants may also support
symposia, visiting artists or scholars, or other one-time faculty initiatives that offer the opportunity to
develop new nodes of discussion and collaboration. Supplemental funds will be made available for
networks that integrate community or public partners.

- Cluster Initiatives: Competitive, larger-scale funding will enable the expansion of faculty networks into concentrated creative and scholarly initiatives. Centered on a multi-disciplinary area of inquiry such as environmental humanities or race and social justice, these initiatives will bring faculty together for sustained, collaborative work in key areas of the arts and humanities. Particular attention will be paid to supporting "proof of concept" efforts designed to generate either foundational partnerships with external constituencies or the baseline research necessary to develop competitive external funding applications.
- Individual Fellowships: Recognizing that much work in the arts and humanities remains highly individualized, the Center will also provide competitive individual fellowships for faculty projects possessing significant scholarly, creative, or public impact. This support will be aligned with a work plan leading directly to the completion of a major scholarly/creative project or high-level external grants and engagement. These fellowships will also extend the collaborative and outward-facing commitments of the Center through monthly fellows meetings and the expectation of a public lecture.

Staging support in this fashion will help develop a **culture of collaboration** while preserving more significant funding for those projects that have demonstrated the leadership and intellectual potential necessary for achieving significant impact. By supporting multiple stages of discovery and providing a scaffold for development, this model will also encourage the pursuit of more complex, projects whose ambition could yield WSU an **increase in AAU-recognized prestigious awards**.

Working with both academic departments and the Graduate School, the Center will also advance graduate and undergraduate research and education. The Center will provide complementary support and in its later stages coordinate training opportunities that will cross disciplinary boundaries and encourage a public-service mindset within the next generation of researchers, scholars, and practitioners in the arts and humanities. Again, foundational work in this area has already begun. In a recent proposal to the NEH's "NextGen Ph.D." program, Dr. Todd Butler (English) has identified the Center as an organizing entity for a multi-unit initiative that will bring together humanities faculty with counterparts in CAHNRS/Extension, the Medical School, and the Graduate School, as well as current graduate students and alumni, for discussions aimed at developing a national model for a "21st-century land grant Humanities Ph.D." that would offer graduate students opportunities to engage directly with underserved communities state-wide.

In addition to the potential for students to be integrated into this and other Center-sponsored projects, the CAH will offer more immediate opportunities that will directly support graduate training and research:

- Summer Support: While WSU graduate students are generally supported via academic-year assistantships, the lack of summer funding remains a serious barrier to timely academic progress. The Center thus plans to offer a series of competitive summer fellowships designed to advance cutting-edge projects through targeted travel, research, and stipendiary support. One fellowship—the "Public Humanities Fellow"—will be reserved for students pursuing work that envisions the direct engagement with or application to non-academic audiences.
- "In-Ac" Assistantship: Administrative and outreach support for the Center will initially be
 provided through the work of a graduate assistant, who will coordinate speakers, events, and

publicity. Serving in this role will offer students the opportunity to connect with WSU and visiting faculty, expand their own skill sets, and envision and pursue non-faculty positions within the academy.

As the Center develops, it may also serve as the locus for graduate training in areas such as writing for publication, team-teaching (perhaps via a Center-sponsored graduate seminar), or qualitative statistics that would be either difficult or redundant for academic departments to offer independently. With its emphasis on interdisciplinary and public work, the Center will also be particularly well-positioned to support new models of graduate and undergraduate education such as certificates and interdisciplinary programs.

Relationship to Existing Centers and Related Units

While the majority of WSU's existing centers are focused primarily (if not exclusively) on the sciences or social sciences, two units will serve as important partners for the CAH. The ultimate aim would be to foster a differentiated yet integrated ecosystem for arts and humanities support across WSU's campuses.

The Center for Digital Scholarship and Curation (CDSC), a joint project of the WSU Libraries and the College of Arts and Sciences, possesses extensive expertise in creating digital tools, projects, public programming, and educational opportunities both WSU and the publics it serves. The availability of this expertise will enable participating CAH faculty to discover, learn, and produce new outlets for their work. During AY18-19, for example, one faculty member submitted a six-figure grant proposal to the NEH's "Dialogues on War" program that envisioned working with both the CAH and CDSC to develop a state-wide program of guided public conversations regarding the experience of women veterans both during their service and their reintegration into civilian life. Though unfunded in this initial submission, recently-received internal support will enable the faculty member to develop this work further and likely submit it again (a fact that also demonstrates the necessity of the Center's incubator role).

As this project suggests, the CDSC offers technical facilities (such as high-end workstations) and training resources (such as faculty/student workshops in digital technologies) that the CAH does not seek to duplicate but rather to support and help grow. In particular, we foresee that our collaboration, as well as the research funding the CAH can provide, will encourage a greater number of faculty—some of whom might not initially be "digitally inclined"—to connect with the resources and expertise offered by the CDSC.

The WSU Museum of Art similarly offers a opportunities for mutually beneficial partnerships, especially in the areas of education and public outreach. In addition to being a locus for the Fine Arts on the Pullman campus, the Museum has strong local and state-wide partnerships that could help faculty pursue more outward-facing approaches to their scholarship. At the same time, the Museum may provide an ideal locus for more public programming (such as exhibits, speakers, or symposia) that faculty might integrate into CAH-supported work.

Review and Assessment

Demonstrating return on investment requires a **rigorous and sustained assessment plan** concentrating on not only individual programs but also the Center's overall operations. This plan will include both qualitative

and quantitative metrics, as well as evaluations of both traditional scholarship and creative activity and broader indices of public impact. In addition to initial work plans, all recipients of significant Center support will be required to submit final and, in the case of multi-year clusters, intermediate progress reports. Overall Center assessment efforts will also consider the following sources and categories of data:

Productivity: Center staff will maintain records of scholarly and creative output of participating faculty, paying particular attention to the number of publications, shows, and grant applications as well as the national and/or international visibility of this work.

Routes to Impact: Especially in the formative stages of a project, impact (whether scholarly or public) can be difficult to predict. Retrospective assessment can be similarly challenging in the case of longer-term projects. To manage these challenges, faculty will work with Center staff to develop "Routes to Impact" plans, building into each project at the outset a conscious consideration of how their research and creative work will extend into both the academy and the wider community.

Public Engagement: Center staff will also track measures of public engagement, including attendance, print and social media presence, and where appropriate changes in the capacity of target populations to participate in and support other arts and humanities-related projects.

The Center director will report to internal stakeholders the results of these activities and assessment efforts on an annual basis. As the Center develops, this reporting process will also provide the basis for externally-focused annual reports which themselves will become fundraising mechanisms. In year four (or at another mutually agreed upon point) the Center will undergo an external review led by individuals from similar centers at peer institutions.

Administration and Membership

Operational and planning activities will be supervised by a center director in coordination with members of the CAH Faculty Board. Fiscal administration will be handled by CAS fiscal personnel in coordination with the Office of Research, while day-to-day administrative tasks—which should be limited in the start-up period—will be handled by the graduate assistant detailed above.

Meeting at least once a semester, and more frequently as appropriate, the Faculty Board will evaluate funding proposals, plan programming, and monitor the overall administration of the Center. The board will be comprised of representatives appointed by chairs of WSU's primary arts and humanities schools/departments (English; Fine Arts; History; Languages, Race, and Culture [DFLC/CCGRS]; Music; and PPPA [Philosophy]). Approximately three seats will be held open for any individual faculty member—including those from non-arts/humanities units—expressing an interest in supporting the work of the CAH. These members will be selected by the departmentally-appointed members identified above. Representatives from the WSU Libraries and the Office of Research will similarly serve in *ex officio* capacities. Faculty members will serve two-year staggered terms, and particular attention will be paid to ensuring representation from WSU's multiple campuses.

As a joint project of the College of Arts and Sciences and the Office of Research, the CAH's director will be selected by the Dean of CAS after consultation with the Vice President of Research. The CAH Director, who will serve a renewable three-year term, is expected to be a tenured faculty member in a CAS arts or humanities department who possesses a record of creative and/or scholarly accomplishment, administrative leadership, and interdisciplinary activity at WSU. The Dean of CAS and VP of Research have proposed that the initial Director will be Dr. Todd Butler, Associate Professor of English.

Additional key faculty who have actively participated in the most recent design of the CAH and will continue in an advisory capacity until the CAH and its board structure is formally approved include the following (CVs attached):

School of Design and Construction: Ayad Rahmani

Education: A. G. Rud English: William Hamlin Fine Arts: Squeak Meisel

History: Sue Peabody (Vancouver), Jesse Spohnholz, Matthew Sutton

Libraries: Trevor Bond

Music: Dean Luethi, Lori Wiest

Office of Research: Geeta Dutta, Becky James

Financial Support and University Resources

Testifying to the broad support for the Center's vision and activities, this proposal is accompanied by letters of commitment from CAS, the Office of Research, the Graduate School, the Libraries, and the President's Office. These are multi-year commitments designed to sustain a significant pace of activity during the initial three years of the Center's existence.

A Note on Fiscal Responsibility

Recognizing that its initial years will run parallel to a general budgetary retrenchment at WSU, the Center will operate on a lean resource and staffing model. The bulk of the financial commitments below represent either 17A/gift funds not subject to budget reductions or, in the case of funding from the Office of Research, the redirection of funds already having been sequestered for arts and humanities use. By committing these funds to the management of the CAH, the Office of Research will continue its support of individual faculty while simultaneously boosting a more ambitious vision for the arts and humanities. The proposed director (Butler) has also agreed in the initial year to waive any stipend and course release from CAS. Together these measures will ensure that the bulk of the Center's resources will flow directly to faculty and programming across WSU rather than be consumed by administrative expenses.

Budgetary Support

While the specific distribution of some research elements (such as the ratio of small-scale collaborative grants to larger projects) will depend in part on the number and type of faculty applications, the following represents a general overview of the projected annual budget and sources:

Director Compensation	8,000	CAS
Graduate Assistantship	15,000	Graduate School, President's Office
Catalyst Grants	8,000	Office of Research
Cluster Initiatives	20,000	Office of Research
Summer Graduate Fellowships	9,000	Office of Research, Graduate School
Humanities Fellowships	40,000	Office of Research
External Programming Support	15,000	Libraries, President's Office

Estimated Annual Budget

115,000

Funding and other University Resource Needs

As detailed in the accompanying support letters, the foundational funding for the Center has already been identified and committed. Initial meeting space has also been offered to the Center by WSU Libraries, which will help facilitate monthly faculty fellow discussions, advisory board meetings, and—where not otherwise specified by grantees—meetings of research groups to be supported by the Center. Especially for the latter, locating within the Libraries will encourage cross-collaboration with other units such as the CDSC (4th floor Terrell). Individual fellowship work will continue to be conducted in existing faculty offices and facilities. Given the potential variety of offerings, space for public programming (exhibits, lectures, etc.) will initially be secured on a case-by-case basis from such partners as the Museum of Art and the WSU Honors College.

As the Center grows in its work and visibility, it will at some point likely require a small amount of dedicated, publicly available space that would include 1-2 staff offices and a reservable meeting and collaboration area. Such space would also provide a locus for center activity and donor interest.

Private philanthropy is expected to be a key element of long-term support for the Center. Three of the 9 PAC-12 centers have been formally named by donors, and the others report receiving significant development support for their inception and ongoing operations. It would also be likely that ongoing support from either central administration or units such as the Office of Research, CAS, and others would be necessary, though lean staffing model and the Center's "modular" approach to faculty support (i.e. a varied series and number of granting opportunities) should allow the Center to adapt to future budget uncertainties without unduly impacting core operations.



College of Arts and Sciences

October 19, 2017

To the Humanities Planning Group,

Thank you for your continuing efforts to develop a Center for the Arts and Humanities at Washington State University. Having reviewed your revised proposal, I find it well worth the College of Arts and Sciences' continuing support. In particular, your renewed focus on the university's land-grant mission, as well as your emphasis on collaborative and transformative work, will help make the Center a vital locus for scholarship and creative activity at WSU.

On behalf of the College, I am thus willing to commit the following resources to support the proposed center, each beginning in AY18-19 and extending for an additional two academic years after that. The College of Arts and Sciences will provide a summer stipend of \$8000 to the director to support the year-round leadership of the Center, and where appropriate will provide funding to the director's home department to accommodate the shifts in workload expectations that will necessarily accompany this leadership role. At the same time, I am committed to working with you to identify additional resources to support diversity-related and public-engagement initiatives. I will also direct the College's Development team to provide lead assistance in any pursuit of philanthropic support for the Center.

This support is contingent upon formal approval of your proposal by the Faculty Senate. I also expect that—as detailed in your proposal—the Center will continue to operate as a partnership between CAS and the Office of Research, with the Center's director being nominated by the Dean of the College of Arts and Sciences in conjunction with the Vice President of Research. For this initial period, after consultation with the Office of Research, I would request that Dr. Todd Butler (English) continue his leadership of your group as Director.

Thank you again for your continued efforts—I look forward to continued success in the years to come.

Sincerely,

Daryll B. DeWald, Dean

College of Arts and Sciences



Office of Research

March 9, 2018

To the Humanities Planning Group,

Thank you for your continuing efforts to develop a Center for the Arts and Humanities at Washington State University. On behalf of the Office of Research (OR) I am happy to continue our commitment to this shared initiative, as its aim of advancing the scholarly and creative activity of WSU faculty and graduate students is at the core of our mission.

As such, beginning in AY18-19 the Office of Research will assign to the Center the responsibility for directing the \$75,000 in research support funding currently allocated to Arts & Humanities Grant Program. These funds will support the Center's Catalyst Grants, Cluster Initiatives, and Arts & Humanities Fellowship. Presuming that the Center continues working with OR to support faculty research consonant with our shared missions, this funding will also be extended for an additional two academic years following that date.

While day-to-day management of these funds will be handled by the College of Arts and Sciences (CAS), we expect that—as detailed in your proposal—the Center will continue to operate as a partnership between CAS and the Office of Research. As such, we would request that Dr. Geeta Dutta, Director, Office of Research Advancement and Partnerships, serve as our formal liaison to the Center, and that you continue to work with other staff as appropriate.

We look forward to working with you to make the Center a sustained success.

Sincerely,

Dr. Christopher J. Keane Vice President for Research



Graduate School

July 2, 2018

TO:

Dr. Butler, Associate Professor and Chair

FROM:

Dr. Lisa M. Gloss, Interim Dean of the Graduate School

RE:

Center for the Arts and Humanities

COMMITMENT#:

562

Dear Dr. Butler,

Thank you for sharing your proposal for a Center for the Arts and Humanities. I am genuinely excited about the possibilities of the proposal, especially the focus on interdisciplinary research and scholarship, and I am happy to provide support.

As interim Dean of the Graduate School, I am particularly excited by the possible impacts on graduate education opportunities. Your willingness to support the next generation of arts and humanities scholars and artists is commendable, as is your goal to integrate graduate students into the programming and administration of the Center. This vision matches the Graduate School's commitment to ensuring that all WSU students are well-prepared to make an impact on their academic fields as well as the wider public we serve.

I am happy to commit to the following elements of support, beginning in AY18-19 and extending for an additional two academic years after that.

• Based on a 50/50 match from the Center, we will provide scholarship funds to support the summer "Public Humanities Fellow" identified in your proposal. Specifically, the Graduate School will provide a \$1500 scholarship from the Glenn Terrell Presidential Graudate Fellowship fund. Applications for these scholarships should be sent directly to the Graduate School for review and approval. To be eligible for this scholarship, the student must be currently enrolled and in good academic standing in a graduate program in the arts, humanities and social sciences. Students with a cumulative GPA of ≥ 3.5 will be given preference.



Graduate School

- The Graduate School will entertain one nomination from the Center for the following scholarships, to provide summer support for a Center fellow:
 - o Richard R. and Constance M. Albrecth Scholarship (\$1,500)
 - o Charles Allen Master's Thesis Award (\$1,000)
 - Arnold and Julia Greenwell Memorial Scholarship for Social Sciencs and Humanities (\$1,000)

Generally, nominations for these scholarships are vetted and submitted via the academic colleges. This support allows the Center to submit a nomination for a Center fellow directly to the Graduate School, independent of the competition within a given college. The nominated student must meet all of the eligibility criteria of the scholarships, as detailed at:

https://gradschool.wsu.edu/scholarships-fellowships-awards/

The nominees from the Center will compete for the scholarship against all other students nominated by the colleges.

• The Graduate School will provide an annual tuition waiver (Fall and Spring semesters only) for the Center's graduate assistant. This waiver will provide flexibility to the Center with respect to the funding sources that are identified to support this GA during the academic year.

As the Center grows, the Graduate School is willing to consider extension of this commitment beyond the initial three-year period, as well as work with the Center to identify other modes of support. This extension or expansion of support is dependent on the completion and review of the program assessment you have outlined in your proposal.

I look forward to a productive partnership between the Graduate School and the Center for the Arts and Humanities in the advancement of graduate education at WSU.

Sincerely.

Dr. Lisa M. Gloss

Interim Dean of the Graduate School





March 12, 2018

Dear Dr. Butler,

Thank you for meeting with us recently to discuss the proposed Center for the Arts and Humanities. As one of Washington State University's central resources for scholarly and creative work in the arts and humanities, the Libraries is pleased to support your efforts.

In particular, we are willing to provide initial space within Holland/Terrell Library for the Center's scholarly functions and fellows meetings. We are also willing to contribute \$5,000 per year for the next three years to support the Center's effort to bring highly visible and engaged arts and humanities programming to the WSU community.

Expenditure of these funds should be coordinated with WSU Libraries via Dr. Trevor Bond, Associate Dean for Digital Initiatives and Special Collections. We appreciate your willingness to involve Dr. Bond in your initial conversations and to provide him with a continuing role in developing the Center and its activities. We also appreciate your continuing willingness to work in partnership with existing Libraries initiatives such as the Center for Digital Scholarship and Curation and to support the CDSC's role as one of WSU's primary outlets for digital scholarship and public engagement.

We look forward to working with you in the years to come.

Sincerely,

Jay Starratt

Dean of Libraries

May 14, 2018

Dr. Todd Butler Associate Professor and Chair English Department Avery 202E Pullman, WA 99164-5020

Dear Dr. Butler,

Thank you for your group's continuing efforts to develop a Center for the Arts and Humanities at Washington State University. WSU's "Drive to 25" requires a comprehensive effort to advance scholarly and creative work across our institution, and the Center offers a significant opportunity to move us forward this while at the same time extending this commitment to the wider public.

To support the proposed Center I am thus willing to commit a total of \$25,000 annually for a three-year period beginning in AY18-19. As we have discussed, I expect that the majority of this funding will be paired with the Graduate School's tuition waiver to support the graduate assistantship that will assist the Center's operations. The remainder may be used for any public programming that will help advance the profile of the arts and humanities at WSU.

The continuance of this support is contingent upon its appropriate use, as well as your efforts to secure provisional and formal approval for the Center. I appreciate in particular the proposal's willingness to regularly assess the Center's productivity, and I would welcome the regular submission of such assessments to my office.

Thank you again for your continued efforts—I look forward to continued success in the years to come.

Sincerely,

Dr. Kirk Schulz

President

ACTION ITEM #1

Services and Activities Fee Rate Changes for Academic Year 2019-2020 (Stacy Pearson)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Services and Activities (S&A) Fee Rate Changes for Academic Year

2019-2020

PROPOSED: That the Washington State University Board of Regents authorize

rate changes in campus Services and Activities Fees for the

academic year 2019-2020.

SUBMITTED BY: Stacy Pearson, Vice President, Finance and Administration

SUPPORTING

INFORMATION: By law, the Board of Regents may increase Services and Activities

(S&A) Fees annually by amounts that shall not exceed four percent.

There is no such control on rate decreases.

All WSU campuses have an S&A Fee committee that make a recommendation to the Board of Regents, through President Schulz, concerning the amount of any S&A fee rate changes, as well as the allocations of S&A revenues to student groups. The campus committees may independently choose to recommend an increase/decrease in the S&A Fee rate, and the rate can vary among campuses.

Each campus retains 100% of its own S&A fees and is able to choose a rate increase or decrease for the campus. The S&A Fee committee on each campus is student led, with a majority of votes assigned to students from that campus. S&A fees are self-assessed and

controlled locally.

The S&A Fees rate recommendations by campus are listed below:

	Current	Proposed	%	\$
	<u>AY 19</u>	AY 20	<u>Change</u>	<u>Change</u>
S&A FeesEverett	\$512	\$527	+3%	\$15
S&A Fees-Global Campus (per credit hour)	\$20.75	\$20.75	0%	\$ O
S&A Fees–Pullman	\$537	\$558	+4%	\$21
S&A Fees–Spokane	\$582	\$582	0%	\$ O
S&A Fees–Tri-Cities	\$512	\$512	0%	\$ O
S&A Fees–Vancouver	\$559	\$559	0%	\$ O

ATTACHMENT: Attachment A



TO:

Paul Pitre

Chancellor, WSU Everett

FROM:

Kirk H. Schulz

President

Date:

April 11, 2019

Subject:

WSU Everett S&A Fees Recommendations for FY 2020

I have reviewed and support the WSU Everett S&A Committee's FY 2020 budget allocation recommendations. I also support the recommendation to implement an increase of a 3% to the Everett S&A Fee rate for FY 2020.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank for your service and leadership on this committee.

cc: Budget Office



Washington State University Everett

MEMORANDUM

TO:

Kirk Schulz

President, Washington State University

FROM:

Paul Pitre

Chancellor, WSU Everette

DATE:

April 4, 2019

RE:

WSU Everett Services and Activities Fees Recommendation

I have reviewed and support the WSU Everett Services and Activities Fees Committee FY19-20 budget allocation recommendations. I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting.

I have also received and support the recommendation made by the WSU Everett S&A Fees Committee, by majority vote, to implement an S&A Fee increase of 3% effective fall 2019.

	Committee Allocation Recommendation (Academic)	% of Allocation (based on estimated budget)
ASWSUE	\$51,003	46.79045%
WSU Engineering Club	\$13,000	11.92627%
Institute of Electrical and Electronic Engineers (IEEE)	\$13,000	11.92627%
Society of Women Engineers (SWE)	\$13,000	11.92627%
Association for Computing Machinery (ACM)	\$9,500	8.71535%
Sigma lota	\$8,500	7.79795%

Association of Women In Communication (AWC)	\$1,000	.917405%
Total Allocation	\$109,003	99.99%

Estimated Reserve (cumulative unallocated revenue) \$1,864

Estimated allocation FY19: \$110,867

cc: Cathy Wright, Erin Armstrong, Kari Mikesell, Josiah Ethington, Haylie Murray, Michael Edwards, Anthony Preston



Washington State University Everett

MEMORANDUM

TO:

Paul Pitre

Chancellor, WSU Everett

FROM:

Michael Edwards Chair, WSU Everett Services and Activities Fees Committee

DATE:

March 29, 2019

RE:

WSU Everett Services and Activities Fees Recommendations

The Services and Activities Fees Committee met on March 22, 2019 to discuss and recommend budget allocations for academic year 2019-2020, following the established guidelines.

Groups that have an estimated carry forward or unspent S&A fee balances at the end of the academic year must request the use of that funding in subsequent years. These carry forward or unspent balances are considered by the S&A fee committee during their deliberations. The approval letters to requesting groups include specific amounts for the academic year and any carry forward balances. Approval of the carry forward balances has been noted as necessary by Internal Audit.

As Chair, I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019, meeting.

The S&A Fees Committee, by unanimous vote, recommends an S&A Fee increase of (3%) effective fall 2019.

The WSU Everett S&A Fees Committee unanimously recommends the following budget allocations:

	Committee Allocation Recommendation (Academic)	% of Allocation (based on estimated budget)
ASWSUE	\$51,003	46.79045%
WSU Engineering Club	\$13,000	11.92627%
Institute of Electrical and Electronic Engineers (IEEE)	\$13,000	11.92627%
Society of Women Engineers (SWE)	\$13,000	11.92627%
Association for Computing Machinery (ACM)	\$9,500	8.71535%
Sigma lota	\$8,500	7.79795%

Association of Women in Communication (AWC)	\$1,000	.917405%
Total Allocation	\$109,003	99.99%

Estimated Reserve (cumulative unallocated revenue) \$1,864

Estimated allocation FY19: \$110,867

cc: Cathy Wright, Erin Armstrong, Kari Mikesell, Josiah Ethington, Haylie Murray, Michael Edwards, Anthony Preston

TO:

David Cillay

Vice President for Academic Outreach and Innovation

FROM:

Kirk H. Schulz

President

Date:

April 11, 2019

Subject:

WSU Global Campus S&A Fees Recommendations for FY 2020

I have reviewed and support the WSU Global Campus S&A Committee's FY 2020 budget allocation recommendations. I also support the recommendation of no changes changes to the Global Campus S&A Fee rate; the rate will remain at \$20.75/credit for Fall 2020.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank for your service and leadership on this committee.

cc: Budget Office

Washington State University

MEMORANDUM

To:

Kirk Schulz, Ph.D.

President, Washington State University

From: David Cillay, Ph.D.

Vice President for Academic Outreach and Innovation

Date: April 3, 2019

RE:

WSU Global Campus S&A Fees Recommendations for FY 2020

I have reviewed and support WSU Global Campus S&A Committee's FY 2020 budget allocation recommendations. I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2-3, 2019 meeting.

I have also received and support the recommendation by the committee, by majority vote, of no changes to the Global Campus S&A Fee rate. The rate will remain at \$20.75/credit effective Fall 2019.

Nicole Romero, Emily Chandler, Kaitlynn Deatherage, Sheridan Ingalls, Laurie cc: Marcum, Heather McKnight, Leslie Thompson, Maggie McFadden, Kelley Westhoff



TO:

David R. Cillay, Ph.D.

Vice President, Academic Outreach and Innovation

From: Nicole Romero

Chair, WSU Global Services & Activities Fees Committee

Date: March 27, 2019

RE:

WSU Global Services and Activities Fee Allocation

The WSU Global Services and Activities Fees Committee met on March 27, 2019 to discuss and recommend budget allocations for Fiscal Year 2020 following the established guidelines. As chair, I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2-3, 2019 meeting.

The WSU Global S&A Fees Committee recommended no changes to the Global Campus S&A Fee rate. The rate will remain at \$20.75/credit.

WSU Global S&A Fees Committee recommends the following budget allocations:

Group

Virtual Mentor Program Wellbeing Online Center for Civic Engagement Student Involvement-Student Support Global Connections ASWSU Global Campus	\$ 132,332 \$ 87,090 \$ 121,927 \$ 258,176 \$ 208,783 \$ 554,262
ASWSU Global Campus	<u>\$ 554,262</u>
Total	\$1,362,570

Emily Chandler, Kaitlynn Deatherage, Sheridan Ingalls, Laurie Marcum, Heather McKnight, Leslie Thompson, cc; Maggie McFadden, Kelley Westhoff

TO:

Rick Flores

Chair, WSU Pullman Services and Activities Fee Committee

FROM:

Kirk H. Schulz, President

Date:

April 11, 2019

Subject:

Services and Activities Fee – Fiscal Year 2020 Recommendations

I have reviewed and support the WSU Pullman Services and Activities Fee Committee Summer 2019, and academic year 2019-2020 budget allocation recommendations. I also support the recommendation for a 4% increase in the WSU Pullman Services and Activities Fee for FY 2020.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank you for your services and leadership on this committee.

cc: Budget Office



TO:

Kirk Schulz, President

FROM:

Rick Flores

Chair, WSU Pullman Services and Activities Fee Committee

Date:

April 11, 2019

Subject:

Services and Activities Fee – Fiscal Year 2020 Recommendations

The WSU Pullman Services and Activities Fees Committee met on April 4, 2019, to discuss and recommend budget allocations for summer 2019 and academic year 2019-2020 in accordance with state and university guidelines.

Groups that have a carry forward or unspent S&A fee balances at the end of the academic year must request the use of that funding in subsequent years. These carry forward or unspent balances are considered by the S&A Fee Committee during their deliberations. Each group has been notified that all carry forward from the 2018-2019 academic year must be returned to the S&A fund; any group with a negative balance must cover that by sing other non-S&A operational fund.

As Chair, I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting.

The S&A Fee Committee, by unanimous vote recommends a 4% Services and Activities Fee increase for FY2020. This equates to change from a \$537 to \$558 per year per full-time student.

The WSU Pullman S&A Fee Committee, by majority vote, recommends the following budget allocations:

Pullman S&A Fee Committee Recommended Allocations		Recommended Rate Increase Recommended Amount Increase		4.0%	
				\$ 21.00	
		2019-2020 Approved Allocations			
S&A Groups Requesting Funding	Base Requests	One-time Request	Facilities/ Capital	Total Request*	
Disability Awareness (Access Center)	19,000		-	19,000	
CATS/ Cougar Accessible Transportation Services	68,824	-	-	68,824	
ASWSU - Administration/Executives	262,539	15,000	-	277,539	
ASWSU - Senate	118,575	-	-	118,575	
ASWSU - Senate Programming	379,328	-	-	379,328	
Athletics	-	600,000	-	600,000	
Center for Civic Engagement	340,169	-	-	340,169	
Children's Center	404,516		-	404,516	
Coalition of Women Students	188,955		- 1	188,955	
Compton Union Building (CUB)	1,661,773	-	-	1,661,773	
Cougar Marching Band	203,500	65,000		268,500	
GPSA	576,690		-	576,690	
Cougar Health Services/ Health & Wellness Services	307,790		-	307,790	
Student Entertainment Board (SEB)	455,808	-	-	455,808	
Student Involvement	933,778	- .	_	933,778	
Student Media	248,882		-	248,882	
Transit	522,922	-	-	522,922	
UREC	1,555,222	-	-	1,555,222	
Long-Term Debt Payments	-	-	1,198,800	1,198,800	
PULLMAN GRAND TOTAL	8,248,271	680,000	1,198,800	10,127,071	

cc: Amir Gilmore Rhea Gonzaga Mary Jo Gonzales Matt Hudelson Debbie Majano Maggie McFadden Tyler Parchem Lana Redman

Stacy Pearson Savannah Rogers Alec Solemslie Joseph Taylor

Kelley Westhoff '

TO:

Daryll DeWald

Chancellor, WSU Spokane

FROM:

Kirk H. Schulz

President

Date:

April 11, 2019

Subject:

WSU Spokane S&A Fees Recommendations for FY 2020

I have reviewed and support the WSU Spokane S&A Committee's FY 2020 budget allocation recommendations. I also support the recommendation to not implement a rate change to the Spokane S&A Fee rate.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank for your service and leadership on this committee.

cc: Budget Office



Office of the Chancellor

April 9, 2019

President Kirk Schulz PO Box 641048 Pullman, WA 99164-1048

Dear President Schulz:

The Services and Activities Fee Committee met on March 20, 2019 to discuss and recommend budget allocations for summer 2019 and academic year 2019-2020, following the established guidelines. I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting. In addition to expected S&A revenue, this year's committee has chosen to utilize reserve funds.

The WSU Spokane S&A Fee Committee recommends the following budget allocations: \$1,093,614.00

Group	Allocation
ASWSU	\$282,205
AINS Yakima	\$12,687
Campus Pantry	\$12,930
Student Success Center	\$174,494
Information Technology	\$11,822
Student Affairs Yakima	\$44,035
Student Involvement	\$166,822
Spokane Diversity Center	\$146,188
Community Engagement	\$54,035
Intercollegiate Athletics	\$7,500
Student Entertainment Board	\$64,118
Yakima Student Pharmacy Assoc.	\$13,900
RSO Funding	\$45,000
Facilities Reserve	\$57,878

Sincerely,



Daryll B. DeWald, Chancellor WSU Health Sciences Spokane



Student Affairs

TO:

Chancellor Daryll DeWald

Washington State University Health Sciences Spokane

Daryll B. Delwald

FROM:

Skylar Banka

Chair, Services and Activities Fee Committee

DATE:

March 28, 2019

RE:

Spokane Campus S&A Fee Allocation Recommendations

The Services and Activities Fee Committee met on March 20, 2019 to discuss and recommend budget allocations for summer 2019 and academic year 2019-2020, following the established guidelines. I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting. In addition to expected S&A revenue, this year's committee has chosen to utilize reserve funds.

The WSU Spokane S&A Fee Committee recommends the following budget allocations: \$1,093,614.00

Group	Allocation
ASWSU	\$282,205
AINS Yakima	\$12,687
Campus Pantry	\$12,930
Student Success Center	\$174,494
Information Technology	\$11,822
Student Affairs Yakima	\$44,035
Student Involvement	\$166,822
Spokane Diversity Center	\$146,188
Community Engagement	\$54,035
Intercollegiate Athletics	\$7,500
Student Entertainment Board	\$64,118
Yakima Student Pharmacy Assoc.	\$13,900
RSO Funding	\$45,000
Facilities Reserve	\$57,878

CC: Saad Saif, Tiana Stephenson, Ana Vergara, Inderbir Bains, Taylor Trevino, Debbie Li, Haleigh Gibson, Laura Wintersteen, Gretchen Eaker, Jim Mohr

TO: Sandra Haynes

Chancellor, WSU Tri-Cities

FROM: Kirk H. Schulz

President

Date: April 11, 2019

Subject: WSU Tri-Cities S&A Fees Recommendations for FY 2020

I have reviewed and support the WSU Tri-Cities S&A Committee's FY 2020 budget allocation recommendations. I also support the recommendation to not implement a rate change to the Tri-Cities S&A Fee rate.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank for your service and leadership on this committee.

cc: Budget Office



Office of THE CHANCELLOR

Memorandum

Date:

April 8, 2019

To:

Kirk Schulz, Ph.D., President

From:

Sandra Haynes, Ph.D., Chancellor Jank Haynes

Cc:

Robin Kovis, WSU Tri-Cities Services and Activities Fee Committee Chair

Subject:

WSU Tri-Cities Services and Activities Fees Recommendation

I have reviewed the Washington State University Tri-Cities Services and Activities Fees Committee FY 19-20 budget allocation recommendations. I support the students' recommendations. If you also approve, please proceed by forwarding your support to the Board of Regents for approval at the May 3, 2019 meeting.

I have also received and support the recommendations made by the Tri-Cities S&A Fees Committee, by majority vote, to not implement an S&A fee Increase for academic year 2019/2020.

Please let me know if you have any questions or concerns. Thank you for your consideration of this request.

Encl: S&A Budget Allocation Recommendations

To:

Sandra Haynes, Ph.D.

Chancellor, Washington State University Tri-Cities

From: Robin Kovis

WSU Tri-Cities S&A Fees Committee Chair

Date: March 26, 2019

RE:

Tri-Cities Campus Services and Activities Fees Recommendation

The Services and Activities Fees Committee met on March 26, 2019, for final discussion and recommendation of budget allocations for Academic Year 2019-20 following the established guidelines. As Chair, I request your support of the committee recommendations and your submittal of the budget to the President and Board of Regents for approval.

The S&A Fees Committee recommends an S&A Fee increase of 0% for Fall 2019.

The S&A Fee Committee recommends the following budget allocations:

Total	\$787,323.33	\$693,000	\$35,655.23	\$728,655.23
IT	\$17,346.32	\$16,167.56	\$831.83	\$16,999.39
Student Support Services	\$145,296.22	\$121,165.95	\$6,234.05	\$127,400
SEB	\$105,540.72	\$74,563.66	\$3,836.34	\$78,400
Office of Student Life	\$248,594.90	\$231,701.82	\$11,921.19	\$243,623
Campus Recreation and Club Sports	\$61,776.97	\$54,058.65	\$2,781.35	\$56,840
*Club Funding	\$40,000	\$38,042.68	\$1,957.32	\$40,000
ASWSUTC	\$168,768.20	\$157,299.68	\$8,093.15	\$165,392.84
Department/Org	Requested	Approved	Allocated from Reserve	Total

TO:

Mel Netzhammer

Chancellor, WSU Vancouver

FROM:

Kirk H. Schulz

President

Date:

April 11, 2019

Subject:

WSU Vancouver S&A Fees Recommendations for FY 2020

I have reviewed and support the WSU Vancouver S&A Committee's FY 2020 budget allocation recommendations. I also support the recommendation to not implement a rate change to the Vancouver S&A Fee rate.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank for your service and leadership on this committee.

cc: Budget Office





TO:

Kirk Schulz

President, Washington State University

FROM:

Mel Netzhammer, Chancellor CJ CM

DATE:

April 3, 2019

SUBJECT:

Vancouver Services and Activities Fees Recommendation

I have reviewed and support the Vancouver Services and Activities Fees Committee FY2020 budget allocation recommendations. I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting.

The Vancouver S&A Fees Committee has recommended no S&A Fee increase for the upcoming fiscal year.

Cc:

Andrea Grande

Chair, Services and Activities Fees Committee

Enc:

Budget Allocation Recommendations



Student Affairs and Enrollment

MEMORANDUM

TO:

Mel Netzhammer

Chancellor, Washington State University Vancouver

FROM:

Andrea Grande

Chair, Services and Activities Fees Committee

DATE:

April 1, 2019

RE:

Services and Activities Fees Allocation

The Services and Activities Fees Committee met on April 1, 2019 to discuss and recommend budget allocations for the Academic Year 2019–2020, following the established guidelines. As Chair, I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting.

The S&A Fee Committee recommends no increase of the S&A Fees.

The S&A Fee Committee recommends the following budget allocations:

Group	
American Democracy Project	\$6,529
Athletics	\$1,500
ASWSUV	\$255,112
Career Services	\$16,550
Child Development Program	\$55,333
Financial Literacy - Cougar Center	\$6,565
Club Operating Budget	\$60,000
Contingency Fund	\$18,000
Cougar Food Pantry	\$39,400
CougSync/Engage	\$6,145
Counseling Services	\$250,780
Development and Alumni Relations	\$9,234
Graduate Travel Grant	\$25,000*



Student Affairs and Enrollment

Health Services	\$114,296
KOUG Radio	\$38,000
New Student Programs	\$5,000
Office of Student Involvement	\$500,000
Salmon Creek Journal	\$37,865
Self Defense Classes	\$6,477
Student Activities Board	\$111,985
Student Diversity Center	\$164,527
Students Helping Students - IT	\$20,000
Student Life Building	\$300,000
Student Media	\$27,338
Undergraduate Travel Grant	\$18,000
VanCoug Journey	\$10,000
The VanCougar	\$78,000
Veteran's Center	\$3,600
Water Refill Stations	\$10,500

^{*}Use of carry forward funds from FY19 approved

cc: Matthew Leeper Aemri Marks Andrew Nevue Terresa Watson Gunjan Gakhar Summer Henricksen Vicente Chavez Nancy Youlden

ACTION ITEM #2

Services and Activities Fees Committee Allocations for Summer 2019 and Academic Year 2019-2020 (Stacy Pearson)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Services and Activities Fees Committee Allocations for Summer 2019

and Academic Year 2019-2020

PROPOSED: That the Washington State University Board of Regents approve the

allocation of Services & Activities Fees for Summer 2019 and Academic Year 2019-2020, as recommended by the Services and Activities Fee committees representing each campus of Washington

State University.

SUBMITTED BY: Stacy Pearson, Vice President, Finance and Administration

SUPPORTING

INFORMATION: Services and Activities (S&A) fees are used to fund student activities,

programs and student buildings. S&A fees are charged to all students registering at any WSU campus, including the Global Campus.

Each campus retains 100% of its own S&A fees and is able to recommend a rate increase or decrease. The S&A fee committee on each campus is student led, with a majority of votes assigned to students from that campus. S&A fees are self-assessed and controlled locally.

The committees listed below have concluded their consideration processes. Their allocation recommendations have been submitted for approval to President Schulz and are presented here for approval. The recommendations are developed following guidelines governing the establishment and funding of student programs set forth in RCW 28B.15.045.

Information about S&A Fees may be found at: https://studentfees.wsu.edu/home/

Services & Activities Fee Committee - Everett

Services & Activities Fee Committee - Global Campus

Services & Activities Fee Committee - Pullman Services & Activities Fee Committee - Spokane Services & Activities Fee Committee - Tri-Cities Services & Activities Fee Committee - Vancouver

ATTACHMENT: Attachment A



TO: Paul Pitre

Chancellor, WSU Everett

FROM: Kirk H. Schulz

President

Date: April 11, 2019

Subject: WSU Everett S&A Fees Recommendations for FY 2020

I have reviewed and support the WSU Everett S&A Committee's FY 2020 budget allocation recommendations. I also support the recommendation to implement an increase of a 3% to the Everett S&A Fee rate for FY 2020.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank for your service and leadership on this committee.

cc: Budget Office



Washington State University Everett

MEMORANDUM

TO:

Kirk Schulz

President, Washington State University

FROM:

Paul Pitre

Chancellor, WSU Everette

DATE:

April 4, 2019

RE:

WSU Everett Services and Activities Fees Recommendation

I have reviewed and support the WSU Everett Services and Activities Fees Committee FY19-20 budget allocation recommendations. I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting.

I have also received and support the recommendation made by the WSU Everett S&A Fees Committee, by majority vote, to implement an S&A Fee increase of 3% effective fall 2019.

	Committee Allocation Recommendation (Academic)	% of Allocation (based on estimated budget)
ASWSUE	\$51,003	46.79045%
WSU Engineering Club	\$13,000	11.92627%
Institute of Electrical and Electronic Engineers (IEEE)	\$13,000	11.92627%
Society of Women Engineers (SWE)	\$13,000	11.92627%
Association for Computing Machinery (ACM)	\$9,500	8.71535%
Sigma lota	\$8,500	7.79795%

Association of Women in Communication (AWC)	\$1,000	.917405%
Total Allocation	\$109,003	99.99%

Estimated Reserve (cumulative unallocated revenue) \$1,864

Estimated allocation FY19: \$110,867

cc: Cathy Wright, Erin Armstrong, Kari Mikesell, Josiah Ethington, Haylie Murray, Michael Edwards, Anthony Preston



Washington State University Everett

MEMORANDUM

TO:

Paul Pitre

Chancellor, WSU Everett

FROM:

Michael Edwards Chair, WSU Everett Services and Activities Fees Committee

DATE:

March 29, 2019

RE:

WSU Everett Services and Activities Fees Recommendations

The Services and Activities Fees Committee met on March 22, 2019 to discuss and recommend budget allocations for academic year 2019-2020, following the established guidelines.

Groups that have an estimated carry forward or unspent S&A fee balances at the end of the academic year must request the use of that funding in subsequent years. These carry forward or unspent balances are considered by the S&A fee committee during their deliberations. The approval letters to requesting groups include specific amounts for the academic year and any carry forward balances. Approval of the carry forward balances has been noted as necessary by Internal Audit.

As Chair, I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019, meeting.

The S&A Fees Committee, by unanimous vote, recommends an S&A Fee increase of (3%) effective fall 2019.

The WSU Everett S&A Fees Committee unanimously recommends the following budget allocations:

	Committee Allocation Recommendation (Academic)	% of Allocation (based on estimated budget)
ASWSUE	\$51,003	46.79045%
WSU Engineering Club	\$13,000	11.92627%
Institute of Electrical and Electronic Engineers (IEEE)	\$13,000	11.92627%
Society of Women Engineers (SWE)	\$13,000	11.92627%
Association for Computing Machinery (ACM)	\$9,500	8.71535%
Sigma lota	\$8,500	7.79795%

Association of Women in Communication (AWC)	\$1,000	.917405%
Total Allocation	\$109,003	99.99%

Estimated Reserve (cumulative unallocated revenue) \$1,864

Estimated allocation FY19: \$110,867

cc: Cathy Wright, Erin Armstrong, Kari Mikesell, Josiah Ethington, Haylie Murray, Michael Edwards, Anthony Preston

TO:

David Cillay

Vice President for Academic Outreach and Innovation

FROM:

Kirk H. Schulz

President

Date:

April 11, 2019

Subject:

WSU Global Campus S&A Fees Recommendations for FY 2020

I have reviewed and support the WSU Global Campus S&A Committee's FY 2020 budget allocation recommendations. I also support the recommendation of no changes changes to the Global Campus S&A Fee rate; the rate will remain at \$20.75/credit for Fall 2020.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank for your service and leadership on this committee.

Washington State University

MEMORANDUM

To:

Kirk Schulz, Ph.D.

President, Washington State University

From: David Cillay, Ph.D.

Vice President for Academic Outreach and Innovation

Date: April 3, 2019

RE:

WSU Global Campus S&A Fees Recommendations for FY 2020

I have reviewed and support WSU Global Campus S&A Committee's FY 2020 budget allocation recommendations. I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2-3, 2019 meeting.

I have also received and support the recommendation by the committee, by majority vote, of no changes to the Global Campus S&A Fee rate. The rate will remain at \$20.75/credit effective Fall 2019.

Nicole Romero, Emily Chandler, Kaitlynn Deatherage, Sheridan Ingalls, Laurie cc: Marcum, Heather McKnight, Leslie Thompson, Maggie McFadden, Kelley Westhoff



TO:

David R. Cillay, Ph.D.

Vice President, Academic Outreach and Innovation

From: Nicole Romero

Chair, WSU Global Services & Activities Fees Committee

Date: March 27, 2019

RE:

WSU Global Services and Activities Fee Allocation

The WSU Global Services and Activities Fees Committee met on March 27, 2019 to discuss and recommend budget allocations for Fiscal Year 2020 following the established guidelines. As chair, I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2-3, 2019 meeting.

The WSU Global S&A Fees Committee recommended no changes to the Global Campus S&A Fee rate. The rate will remain at \$20.75/credit.

WSU Global S&A Fees Committee recommends the following budget allocations:

Group

\$ 132,332
\$ 87,090
\$ 121,927
\$ 258,176
\$ 208,783
\$ 554,262
\$1,362,570

Emily Chandler, Kaitlynn Deatherage, Sheridan Ingalls, Laurie Marcum, Heather McKnight, Leslie Thompson, cc; Maggie McFadden, Kelley Westhoff

TO:

Rick Flores

Chair, WSU Pullman Services and Activities Fee Committee

FROM:

Kirk H. Schulz, President

Date:

April 11, 2019

Subject:

Services and Activities Fee – Fiscal Year 2020 Recommendations

I have reviewed and support the WSU Pullman Services and Activities Fee Committee Summer 2019, and academic year 2019-2020 budget allocation recommendations. I also support the recommendation for a 4% increase in the WSU Pullman Services and Activities Fee for FY 2020.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank you for your services and leadership on this committee.



TO:

Kirk Schulz, President

FROM:

Rick Flores

Chair, WSU Pullman Services and Activities Fee Committee

Date:

April 11, 2019

Subject:

Services and Activities Fee – Fiscal Year 2020 Recommendations

The WSU Pullman Services and Activities Fees Committee met on April 4, 2019, to discuss and recommend budget allocations for summer 2019 and academic year 2019-2020 in accordance with state and university guidelines.

Groups that have a carry forward or unspent S&A fee balances at the end of the academic year must request the use of that funding in subsequent years. These carry forward or unspent balances are considered by the S&A Fee Committee during their deliberations. Each group has been notified that all carry forward from the 2018-2019 academic year must be returned to the S&A fund; any group with a negative balance must cover that by sing other non-S&A operational fund.

As Chair, I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting.

The S&A Fee Committee, by unanimous vote recommends a 4% Services and Activities Fee increase for FY2020. This equates to change from a \$537 to \$558 per year per full-time student.

The WSU Pullman S&A Fee Committee, by majority vote, recommends the following budget allocations:

Pullman S&A Fee Committee Recommended Allocations		Recommende	4.0%	
		Recommended Ar	\$ 21.00	
		2019-2020 Appr	s	
S&A Groups Requesting Funding	Base Requests	One-time Request	Facilities/ Capital	Total Request*
Disability Awareness (Access Center)	19,000	-	-	19,000
CATS/ Cougar Accessible Transportation Services	68,824	-	-	68,824
ASWSU - Administration/Executives	262,539	15,000	-	277,539
ASWSU - Senate	118,575	-	-	118,575
ASWSU - Senate Programming	379,328	-	-	379,328
Athletics	-	600,000	-	600,000
Center for Civic Engagement	340,169	-	-	340,169
Children's Center	404,516		-	404,516
Coalition of Women Students	188,955		- 1	188,955
Compton Union Building (CUB)	1,661,773	-	-	1,661,773
Cougar Marching Band	203,500	65,000		268,500
GPSA	576,690		-	576,690
Cougar Health Services/ Health & Wellness Services	307,790		-	307,790
Student Entertainment Board (SEB)	455,808	-	-	455,808
Student Involvement	933,778	- .		933,778
Student Media	248,882	-	-	248,882
Transit	522,922	-	-	522,922
UREC	1,555,222	-	-	1,555,222
Long-Term Debt Payments	_	-	1,198,800	1,198,800
PULLMAN GRAND TOTAL	8,248,271	680,000	1,198,800	10,127,071

cc: Amir Gilmore Rhea Gonzaga Mary Jo Gonzales Matt Hudelson Debbie Majano Maggie McFadden Tyler Parchem Lana Redman

Stacy Pearson Savannah Rogers Alec Solemslie Joseph Taylor

Kelley Westhoff '

TO:

Daryll DeWald

Chancellor, WSU Spokane

FROM:

Kirk H. Schulz

President

Date:

April 11, 2019

Subject:

WSU Spokane S&A Fees Recommendations for FY 2020

I have reviewed and support the WSU Spokane S&A Committee's FY 2020 budget allocation recommendations. I also support the recommendation to not implement a rate change to the Spokane S&A Fee rate.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank for your service and leadership on this committee.



Office of the Chancellor

April 9, 2019

President Kirk Schulz PO Box 641048 Pullman, WA 99164-1048

Dear President Schulz:

The Services and Activities Fee Committee met on March 20, 2019 to discuss and recommend budget allocations for summer 2019 and academic year 2019-2020, following the established guidelines. I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting. In addition to expected S&A revenue, this year's committee has chosen to utilize reserve funds.

The WSU Spokane S&A Fee Committee recommends the following budget allocations: \$1,093,614.00

Group	Allocatio
ASWSU	\$282,205
AINS Yakima	\$12,687
Campus Pantry	\$12,930
Student Success Center	\$174,494
Information Technology	\$11,822
Student Affairs Yakima	\$44,035
Student Involvement	\$166,822
Spokane Diversity Center	\$146,188
Community Engagement	\$54,035
Intercollegiate Athletics	\$7,500
Student Entertainment Board	\$64,118
Yakima Student Pharmacy Assoc.	\$13,900
RSO Funding	\$45,000
Facilities Reserve	\$57,878

Sincerely,



Daryll B. DeWald, Chancellor WSU Health Sciences Spokane



Student Affairs

TO:

Chancellor Daryll DeWald

Washington State University Health Sciences Spokane

Daught B. Delwald

FROM:

Skylar Banka

Chair, Services and Activities Fee Committee

DATE:

March 28, 2019

RE:

Spokane Campus S&A Fee Allocation Recommendations

The Services and Activities Fee Committee met on March 20, 2019 to discuss and recommend budget allocations for summer 2019 and academic year 2019-2020, following the established guidelines. I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting. In addition to expected S&A revenue, this year's committee has chosen to utilize reserve funds.

The WSU Spokane S&A Fee Committee recommends the following budget allocations: \$1,093,614.00

Group	Allocation
ASWSU	\$282,205
AINS Yakima	\$12,687
Campus Pantry	\$12,930
Student Success Center	\$174,494
Information Technology	\$11,822
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Spokane Diversity Center	\$146,188
Community Engagement	\$54,035
Intercollegiate Athletics	\$7,500
Student Entertainment Board	\$64,118
Yakima Student Pharmacy Assoc.	\$13,900
RSO Funding	\$45,000
Facilities Reserve	\$57,878

CC: Saad Saif, Tiana Stephenson, Ana Vergara, Inderbir Bains, Taylor Trevino, Debbie Li, Haleigh Gibson, Laura Wintersteen, Gretchen Eaker, Jim Mohr

TO: Sandra Haynes

Chancellor, WSU Tri-Cities

FROM: Kirk H. Schulz

President

Date: April 11, 2019

Subject: WSU Tri-Cities S&A Fees Recommendations for FY 2020

I have reviewed and support the WSU Tri-Cities S&A Committee's FY 2020 budget allocation recommendations. I also support the recommendation to not implement a rate change to the Tri-Cities S&A Fee rate.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank for your service and leadership on this committee.



Office of THE CHANCELLOR

Memorandum

Date:

April 8, 2019

To:

Kirk Schulz, Ph.D., President

From:

Sandra Haynes, Ph.D., Chancellor Jank Haynes

Cc:

Robin Kovis, WSU Tri-Cities Services and Activities Fee Committee Chair

Subject:

WSU Tri-Cities Services and Activities Fees Recommendation

I have reviewed the Washington State University Tri-Cities Services and Activities Fees Committee FY 19-20 budget allocation recommendations. I support the students' recommendations. If you also approve, please proceed by forwarding your support to the Board of Regents for approval at the May 3, 2019 meeting.

I have also received and support the recommendations made by the Tri-Cities S&A Fees Committee, by majority vote, to not implement an S&A fee Increase for academic year 2019/2020.

Please let me know if you have any questions or concerns. Thank you for your consideration of this request.

Encl: S&A Budget Allocation Recommendations

To:

Sandra Haynes, Ph.D.

Chancellor, Washington State University Tri-Cities

From: Robin Kovis

WSU Tri-Cities S&A Fees Committee Chair

Date: March 26, 2019

RE:

Tri-Cities Campus Services and Activities Fees Recommendation

The Services and Activities Fees Committee met on March 26, 2019, for final discussion and recommendation of budget allocations for Academic Year 2019-20 following the established guidelines. As Chair, I request your support of the committee recommendations and your submittal of the budget to the President and Board of Regents for approval.

The S&A Fees Committee recommends an S&A Fee increase of 0% for Fall 2019.

The S&A Fee Committee recommends the following budget allocations:

Total	\$787,323.33	\$693,000	\$35,655.23	\$728,655.23
IT	\$17,346.32	\$16,167.56	\$831.83	\$16,999.39
Student Support Services	\$145,296.22	\$121,165.95	\$6,234.05	\$127,400
SEB	\$105,540.72	\$74,563.66	\$3,836.34	\$78,400
Office of Student Life	\$248,594.90	\$231,701.82	\$11,921.19	\$243,623
Campus Recreation and Club Sports	\$61,776.97	\$54,058.65	\$2,781.35	\$56,840
*Club Funding	\$40,000	\$38,042.68	\$1,957.32	\$40,000
ASWSUTC	\$168,768.20	\$157,299.68	\$8,093.15	\$165,392.84
Department/Org	Requested	Approved	Allocated from Reserve	Total

TO:

Mel Netzhammer

Chancellor, WSU Vancouver

FROM:

Kirk H. Schulz

President

Date:

April 11, 2019

Subject:

WSU Vancouver S&A Fees Recommendations for FY 2020

I have reviewed and support the WSU Vancouver S&A Committee's FY 2020 budget allocation recommendations. I also support the recommendation to not implement a rate change to the Vancouver S&A Fee rate.

I will submit the Committee's recommendations to the Board of Regents for approval at the Board's May 2019 meeting.

Thank for your service and leadership on this committee.





TO:

Kirk Schulz

President, Washington State University

FROM:

Mel Netzhammer, Chancellor CJ CM

DATE:

April 3, 2019

SUBJECT:

Vancouver Services and Activities Fees Recommendation

I have reviewed and support the Vancouver Services and Activities Fees Committee FY2020 budget allocation recommendations. I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting.

The Vancouver S&A Fees Committee has recommended no S&A Fee increase for the upcoming fiscal year.

Cc:

Andrea Grande

Chair, Services and Activities Fees Committee

Enc:

Budget Allocation Recommendations



Student Affairs and Enrollment

MEMORANDUM

TO:

Mel Netzhammer

Chancellor, Washington State University Vancouver

FROM:

Andrea Grande

Chair, Services and Activities Fees Committee

DATE:

April 1, 2019

RE:

Services and Activities Fees Allocation

The Services and Activities Fees Committee met on April 1, 2019 to discuss and recommend budget allocations for the Academic Year 2019–2020, following the established guidelines. As Chair, I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting.

The S&A Fee Committee recommends no increase of the S&A Fees.

The S&A Fee Committee recommends the following budget allocations:

Group	
American Democracy Project	\$6,529
Athletics	\$1,500
ASWSUV	\$255,112
Career Services	\$16,550
Child Development Program	\$55,333
Financial Literacy - Cougar Center	\$6,565
Club Operating Budget	\$60,000
Contingency Fund	\$18,000
Cougar Food Pantry	\$39,400
CougSync/Engage	\$6,145
Counseling Services	\$250,780
Development and Alumni Relations	\$9,234
Graduate Travel Grant	\$25,000*



Student Affairs and Enrollment

Health Services	\$114,296
KOUG Radio	\$38,000
New Student Programs	\$5,000
Office of Student Involvement	\$500,000
Salmon Creek Journal	\$37,865
Self Defense Classes	\$6,477
Student Activities Board	\$111,985
Student Diversity Center	\$164,527
Students Helping Students - IT	\$20,000
Student Life Building	\$300,000
Student Media	\$27,338
Undergraduate Travel Grant	\$18,000
VanCoug Journey	\$10,000
The VanCougar	\$78,000
Veteran's Center	\$3,600
Water Refill Stations	\$10,500

^{*}Use of carry forward funds from FY19 approved

cc: Matthew Leeper Aemri Marks Andrew Nevue Terresa Watson Gunjan Gakhar Summer Henricksen Vicente Chavez Nancy Youlden

ACTION ITEM #3

WSU Pullman, Undergraduate Technology Fee Committee Allocations for Academic Year 2019-2020 (Stacy Pearson)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: WSU Pullman Student Technology Fee Committee Allocations for

Academic Year 2019-2020.

PROPOSED: That the Washington State University Board of Regents approve the

Academic Year 2019-2020 allocation from the Student Technology

Fee Committee.

SUBMITTED BY: Stacy Pearson, Vice President, Finance and Administration

SUPPORTING

INFORMATION: In Spring 2015, the ASWSU Pullman Senate approved a

\$20/semester student technology fee for undergraduate students effective Fall semester 2017. This fee is authorized by RCW 28B.15.051, which states that the revenue generated by the technology fee "shall be used exclusively for technology resources for general student use". Technology fees of varying amounts are charged by the other research and regional universities in Washington. Both the Pullman and Vancouver campuses have a \$20 technology fee, and the WSU fees are the lowest among the group.

The Student Technology Fee Committee has concluded its deliberative process and presents its recommendation for approval.

ATTACHMENTS: Student Technology Fee Allocation Recommendations

President's Memo of Support

TO:

Hannah Martian

Chair, WSU Pullman Technology Fee Committee

FROM:

Kirk H. Schulz

President

Date:

April 11, 2019

Subject:

WSU Pullman Student Technology Fee Recommendations for FY 2020

I have reviewed and support the WSU Pullman Student Technology Fee FY 2020 budget allocation recommendations, as approved by the ASWSU-Pullman Senate March 27, 2019.

USS

I will submit the Committee's recommendations to the Board of Regents for approval at their May 2019 meeting.

Thank for your service and leadership on this committee.



Budget Office

MEMORANDUM

TO:

Kirk Schulz, President

FROM:

Hannah Martian

Chair, WSU Pullman Technology Fee Committee

Date:

April 11, 2019

Subject:

Student Technology Fee – Fiscal Year 2020 Recommendations

The ASWSU-Pullman Senate met on March 27, 2019 to review the allocation recommendations for academic year 2019-2020 from the Student Technology Fee Committee. The recommendations were approved by a unanimous vote of 9-0-0.

We request your support of the following allocation recommendations and submittal to the Board of Regents for approval at the May 2019 meeting.

REQUEST TITLE	REQUEST AMT	APPROVED AMT		
CREATIVE CORRIDOR	\$157,134	\$157,134		
STUDENT PRINTING PLAN	\$260,000	\$180,000		
AMAZON WORKPLACES	\$12,000	\$12,000		
SUPPORT EQUIPMENT for SENIOR DESIGN	\$45,143	\$45,143		
SLOAN HALL & EEME BLDG WIRELESS DEPLOYMENT	\$273,395	\$180,000		
AVERY MICROCOMPUTER LAB	\$43,387	\$43,388		
KIMBROUGH MODERN INITIATIVE	\$71,280	\$71,280		
PROJECT CARBON	\$13,454	\$13,454		
JEWETT OBSERVATORY	\$21,844	\$15,604		
SLOAN 32 - INFORMED LEARNING/STUDENT COLLAB SPACE	\$19,811	\$6,000		
McCOY 202 TECH UPGRADE	\$23,000	\$23,000		
CHINOOK 25 GAMING SPACE	\$37,292	\$37,292		
DIMENSIONS LAB AUDIO RECORDING STUDIO	\$61,893	\$71,894		
TOTAL	\$1,039,632	\$856,189		

ACTION ITEM #4

WSU Vancouver, Technology Fee Committee Allocations for Academic Year 2019-2020

(Stacy Pearson)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: WSU Vancouver Student Technology Fee Committee Allocations for

Academic Year 2019-2020.

PROPOSED: That the Washington State University Board of Regents approve the

Academic Year 2019-2020 allocations from the Student Technology

Fee Committee

SUBMITTED BY: Stacy Pearson, Vice President, Finance and Administration

SUPPORTING

INFORMATION: In Spring 2017, the ASWSU Vancouver Senate approved a

\$20/semester student technology fee for undergraduate and graduate students effective Fall Semester 2017. This fee is authorized by RCW 28B.15.051, which states that the revenue generated by the technology fee "shall be used exclusively for technology resources for general student use". Technology fees of varying amounts are charged by the other research and regional universities in Washington. Both the Pullman and Vancouver campuses have a \$20 technology fee, and the WSU fees are the

lowest among the group.

The Student Technology Fee Committee has concluded its deliberative process and presents its recommendation for approval.

ATTACHMENTS: Student Technology Fee Allocation Recommendations

President's Memo of Support

TO:

Mel Netzhammer

Chancellor, WSU Vancouver

FROM:

Kirk H. Schulz

President

Date:

April 11, 2019

Subject:

WSU Vancouver Student Technology Fee Recommendations for FY 2020

I have reviewed and support the WSU Vancouver Student Technology Fee FY 2020 budget allocation recommendations, as approved by the ASWSU-Vancouver Senate March 29, 2019.

I will submit the Committee's recommendations to the Board of Regents for approval at their May 2019 meeting.

Thank for your service and leadership on this committee.





TO:

Kirk Schulz

President, Washington State University

FROM:

Emile C. Netzhammer, Chancellor

DATE:

April 1, 2019

SUBJECT:

Vancouver Student Technology Fee Committee Recommendation

I have reviewed and support the Vancouver Student Technology Fee Committee FY2020 budget allocation recommendations. I request your support of the committee recommendations and your submittal of the budget to the Board of Regents for approval at the May 2019 meeting.

The Vancouver Student Technology Fee Committee has recommended to allocate \$125,969 including:

- \$8,619 to support and expand the Student Loaner Laptop program
- \$76,816 to improve the student WiFi experience
- \$27,100 to pilot a virtual/anywhere student computing experience
- \$13,434 to provide students a \$2 printing credit (prorated for part-time) during fall and spring semesters with a recommended implementation (if feasible) allowing students to opt-in for the credit

Enc: Budget Allocation Recommendations

14204 NE Salmon Creek Ave. Vancouver, WA 98686

phone: 360.546.9520

web: www.aswsuv.com

MEMORANDUM

TO: Mel Netzhammer, Chancellor

FROM: Holly Ta, ASWSUV Vice President

DATE: March 29, 2019

SUBJECT: Student Technology Fee Allocations – FY20

The ASWSU Vancouver Senate met on March 29, 2019, to review the allocation recommendations for FY20 from the Student Technology Fee Committee. The recommendations were approved unanimously, by a vote of 11-0.

Per the discussions of the Technology Fee Committee, the Senate has approved recommendations to allocate \$125,969 including

- \$8,619 to support and expand the Student Loaner Laptop program
- \$76,816 to improve the student WiFi experience
- \$27,100 to pilot a virtual/anywhere student computing experience
- \$13,434 to provide students a \$2 printing credit (prorated for part-time) during fall and spring semesters with a recommended implementation (if feasible) allowing students to opt-in for the credit.

Considering the limited amount of funding that the Student Technology Fee Committee has to allocate, they ask for institutional support from WSU Vancouver IT, the WSU Vancouver Library, and other departments at WSU towards the successful use of these funds on behalf of WSU Vancouver students.

We request your support of the allocation recommendations and submittal to the Board of Regents for approval at the May 2019 meeting.

cc:

Brian Kirika Naffisatou Sise Ashley Fryer Michael Stamper David Hill

Flolly Ta

ASWSUV Vice President

MatthewLeeper

ASWSUV Pro-Tempore

ACTION ITEM #5

Proposed changes to WSU Health Sciences Spokane Parking System Rates (Stacy Pearson/Daryll DeWald)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Proposed Changes to WSU Health Sciences Spokane Parking

System Rates

PROPOSED: That the WSU Board of Regents authorize proposed changes to The

WSU Health Sciences Spokane parking rates for fall 2019.

SUBMITTED BY: Daryll DeWald, Chancellor

SUPPORTING

INFORMATION: As the WSU Health Sciences Spokane campus has grown

substantially over the last several years, available parking inventory has declined, and maintenance needs have increased. Additional forecasted campus growth will continue to exacerbate available campus parking inventory. Therefore, the campus seeks approval to

increase multiple parking rates for approval.

The WSU Spokane campus established a Parking Advisory Board to provide an open, campus-wide process for the consideration of the parking system's improvements and ongoing maintenance and to propose changes to parking rates to fund these improvements. In order to facilitate open communication and "face-to-face" feedback, the proposed changes to parking rates were presented to the Associated Students of WSU Health Sciences (ASWSUHS Senate and Town Hall open forum meetings and student body email communication) faculty and (including and staff communication, an open forum, informational website, and anonymous feedback submittal via website form).

The WSU Spokane administration plans to propose multi-year rate increases for all current term, daily, and hourly parking permits starting in fall 2019.

Proposed parking permit prices for 2019-2022 are as follows:

Term Permit Type	Current Annual Rate	Rate 19-20	Rate 20-21	Rate 21-22
Annual Orange	\$471	\$542	\$613	\$684
Annual Green	\$343	\$395	\$447	\$499
Fall Semester Orange	\$218	\$251	\$284	\$317
Fall Semester Green	\$158	\$182	\$206	\$230
Fall Quarter Orange	\$152	\$175	\$198	\$221
Fall Quarter Green	\$111	\$128	\$145	\$162
Spring Semester Orange	\$218	\$251	\$284	\$317
Spring Semester Green	\$158	\$182	\$206	\$230
Winter Quarter Orange	\$152	\$175	\$198	\$221
Winter Quarter Green	\$111	\$128	\$145	\$162
Spring Quarter Orange	\$152	\$175	\$198	\$221
Spring Quarter Green	\$111	\$128	\$145	\$162
Summer Quarter Orange	\$152	\$175	\$198	\$221
Summer Quarter Green	\$111	\$128	\$145	\$162
Note: Daily and hourly parking r Academic Year 2019-2020:	ates are pro	oposed to	increase o	only for
Daily Permit Type	Current Daily Rate	Daily Rate 19-20	Current Hourly Rate	Hourly Rate 19-20
Orange	\$6	\$7	\$1	\$2
Green	\$4	\$5	\$1	\$2
Student Weekend Orange and Green Permits (unchanged)	\$3.00 per day \$5.00 for Both Days	\$3.00 per day \$5.00 for Both Days		

These proposal increases will provide needed funding for major capital projects and maintenance including, but not limited to;

- capital improvements for the Green 5 parking lot adding two new ingress and egress access points and partnering with Spokane Transit Authority (STA) to provide two new bus stations in the center of campus (stations are funded by STA),
- 2) capital improvements for the Green 5 parking lot adding new parking spaces to partially accommodate campus growth,
- 3) capital improvements for the Green 5 parking lot adding new pedestrian campus connectivity to the City of Spokane's University Gateway bridge for pedestrian and bicycle access and improved safety,

- 4) needed pavement preservation resurfacing and surface repair maintenance in almost all of the 11 parking lots on campus; extending each lot's base-surface life up to seven years,
- 5) ongoing annual parking lot maintenance,
- 6) three vehicle rental-fleet replacements used by internal customers,
- 7) replacement of 14 parking permit vending machines,
- 8) base funding support to finance future growth-driven parking improvements.

The WSU Spokane campus administration has been fully engaged in the promotion and facilitation of alternative commute modes for the campus population, and employees who continue to choose parking remain eligible for savings from the Pre-Tax Parking Fee Deduction.

Parking rates were last increased on the Spokane campus between 2013 and 2015. This last increase was part of a three-year rate increase of 10% each year. In addition, parking zones were revised in both 2015 and 2016.

ACTION ITEM #6

Proposed Changes to Summer Session Tuition Policy (Stacy Pearson)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Revisions to Executive Policy #10 – Summer Session Tuition Policy

PROPOSED: That the Washington State University Board of Regents approve

revisions to Executive Policy #10 regarding Summer Session Tuition

and Services and Activities Fee Policy.

SUBMITTED BY: Stacy Pearson, Vice President, Finance and Administration

SUPPORTING INFORMATION:

State law authorizes the governing boards of the four-year higher education institutions to establish tuition and fees for all students attending summer session.

This action will modify Executive Policy #10 to add the following clarifying language:

- 1. The maximum undergraduate and graduate per credit hour charge for summer session is based on the part-time rate for the Pullman campus.
- 2. The maximum per credit rate for professional programs is based on the part-time rate for the particular program.
- 3. The per credit hour rate is the same for all campuses.

The proportion of the per credit hour rate attributed to S&A fees may vary by campus.

Summer session 2019 rates by campus would be:

		UNDERGRADUATE					
						nmer Session r credit hour	
	Т	uition	S&	A Fee		rate	
Pullman	\$	486	\$	27	\$	513	
Spokane	\$	484	\$	29	\$	513	
Tri-Cities	\$	487	\$	26	\$	513	
Vancouver	\$	485	\$	28	\$	513	
Global	\$	492	\$	21	\$	513	
Everett	\$	487	\$	26	\$	513	
			G	RADUA	TE		
					Sum	nmer Session	
					pe	r credit hour	
	Т	uition	S&	A Fee		rate	
Pullman	\$	561	\$	27	\$	588	
Spokane	\$	559	\$	29	\$	588	
Tri-Cities	\$	562	\$	26	\$	588	
Vancouver	\$	560	\$	28	\$	588	
Global	\$	567	\$	21	\$	588	
Everett	\$	562	\$	26	\$	588	

Beginning in AY2014 campuses adopted differential S&A fee rates, and in practice, the proportion of summer tuition attributed to S&A fee is based on each campuses' rate. This action codifies that practice in the summer session policy. Further, because of the differential S&A fee rates at the campuses, it is necessary to specify which campus the total summer tuition and S&A fee rate would be based on.

ATTACHMENT: 2020 Summer Rates Redline Document

ACTION ITEM #7

Initiative for Research and Education to Advance Community Health (IREACH), Extension of Office Lease (Stacy Pearson/Daryll DeWald)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: IREACH Seattle, Extension of Existing Office Lease

PROPOSED: That the WSU Board of Regents authorize a five (5) year extension of

IREACH's existing Office Lease in Seattle, WA, and delegate authority to the President or his designee to enter into any and all documents

necessary to complete the extension of IREACH's Office Lease.

SUBMITTED BY: Stacy Pearson, Vice President for Finance and Administration

SUPPORTING

INFORMATION: IREACH is currently leasing approximately 8,700 sq. ft. of office space

in the Metropolitan Park West building located at 1100 Olive Way, Seattle, WA. The Office Lease is set to expire on July 31, 2019, and IREACH would like to extend the term of the Office Lease for an additional five (5) years. Final negotiations with the Landlord are ongoing, but the total amount of rent to be paid by IREACH over the

additional five-year term will be approximately \$2,100,000.

The Real Estate Office did evaluate the potential of relocating IREACH into a new location in the Seattle area, but all such locations had a similar rent structure, and would have required a longer-term commitment from IREACH (7-10 years at a minimum). IREACH would also incur moving expenses and the interruption of its operation if it were to relocate into a different office building. In order to maintain flexibility for the future, IREACH prefers to remain in its existing location for an additional five (5) years.

BOARD OF REGENTS

Initiative for Research and Education to Advance Community Health (IREACH), Extension of Office Lease

Resolution #190503-607

WHEREAS, the Board of Regents of Washington State University by virtue of RCW 28B.10.528 has authority to delegate by resolution to the President of the University, or designee, powers and duties vested in or imposed upon the Board by law and to enable the President, or designee to act on behalf of the Board of Regents in matters relating to the administration and governance of the University.

RESOLVED: That the Board of Regents authorize a five (5) year extension of IREACH's existing Office Lease in Seattle, WA, and delegate authority to the President or his designee to enter into any and all documents necessary to complete the extension of IREACH's Office Lease.

Dated this 3rd day of May, 2019.

Chair, Board of Regents

Secretary, Board of Regents

ACTION ITEM #8

Richland 24.79 Acres
Sale of Real Property
(Stacy Pearson/Sandra Haynes)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Sale of Real Property located in Richland, WA

PROPOSED: That the WSU Board of Regents authorize the sale of a parcel of

vacant land in Richland, Washington totaling 24.79 acres, identified as Benton County Parcel No. 1-2608-200-0001-004 (the "Property"), and delegate authority to the President or his designee to select the best offer and to enter into any and all documents

necessary to complete this sale.

SUBMITTED BY: Stacy Pearson, Vice President for Finance and Administration

SUPPORTING INFORMATION:

The Property was conveyed to the University by the City of Richland (the "City") in October 1993 with the intent to encourage growth of the Tri-Cities Campus. An illustration of the Property is attached in Exhibit "A". The Quit Claim Deed (the "Deed") provided that the Property was being conveyed to the University as part of the college campus and to be used for educational, scientific and research purposes related thereto. The Deed also contained a reversionary condition that provided that if the Property was ever transferred or sold to a private entity, without the City's prior written consent, the Property would revert back to the City.

The Property is located off of George Washington Way, approximately one (1) mile to the southwest of the University's Tri-Cities Campus. An illustration of the Property in relation to the Tri-Cities Campus is attached in Exhibit "B". The Property is zoned Commercial-Limited Business (C-LB), and is surrounded by apartments, three commercial buildings and single family residences. Given the Property's separation from the Tri-Cities Campus, and its location within a mixed commercial and residential neighborhood, the Property is not well suited for the future growth

and development of the Tri-Cities Campus. The Tri-Cities Campus supports the sale of the Property, and will have the opportunity to use the net proceeds from the sale of the Property for future improvements to and development of its Campus.

On April 2, 2019, the City adopted Resolution No. 42-19 in which the City provided its written consent for the University to move forward with the sale of the Property. In keeping with the City's conveyance of the Property to the University, the City's consent provides that the net proceeds from the sale of the Property will be reinvested into the University's Tri-Cities Campus. A copy of the City's Resolution is set forth in Attachment "C".

The Real Estate Office obtained an appraisal on the Property on February 4, 2019 that valued the Property at \$2,160,000. It is our intent to list the Property for sale in the spring of 2019 in order to maximize market exposure during the spring and early summer.

ATTACHMENTS: Exhibit A, B, and C

Exhibit "A"

Illustration of Property (Richland 24.79 Acres - Outlined in Red)

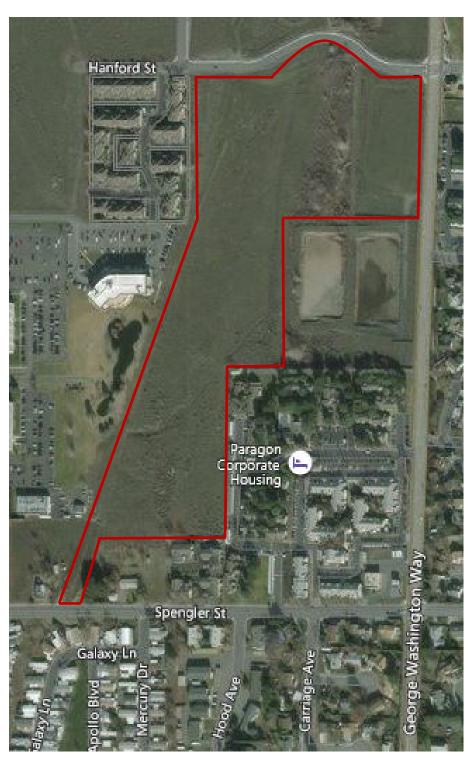


Exhibit "B"

Illustration of Property in relation to WSU Tri-Cities Campus



RESOLUTION NO. 42-19

A RESOLUTION of the City of Richland consenting to Washington State University's request to sell property previously gifted by the City of Richland.

WHEREAS, in 1993, the City of Richland gifted to Washington State University (WSU) a 24.79-acre parcel of property located west of George Washington Way and south of Hanford Road to be used as part of the college campus and for educational, scientific and research purposes related thereto; and

WHEREAS, the quitclaim deed conveying the property to WSU contains a deed restriction that requires City consent for any future sale of the property by WSU; and

WHEREAS, in 2009, City Council consented to WSU's sale of a portion of the parcel, but the sale did not take place; and

WHEREAS, in January 2019, WSU again contacted the City of Richland seeking consent to sell the parcel gifted in 1993. WSU advises that proceeds from the sale will be reinvested in the WSU-TC campus in the form of capital improvements; and

WHEREAS, the City benefits from private development of currently undeveloped land in the heart of North Richland in the form of tax revenues, increased population density, and additional recreational amenities.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Richland that consent is hereby given to WSU to sell the property gifted by the City under Quitclaim Deed AF No. 1993-033373 on the condition that proceeds from the sale are reinvested into the WSU-TC campus.

BE IT FURTHER RESOLVED that the City Manager is authorized to sign and execute all documents necessary to facilitate the sale by WSU, including but not limited to any documentation necessary to remove the existing deed restriction upon conveyance.

BE IT FURTHER RESOLVED that this resolution shall take effect immediately.

ADOPTED by the City Council of the City of Richland, Washington, at a regular meeting on the 2nd day of April, 2019.

ATTEST:

APPROVED AS TO FORM:

DEBBY BARHAM, Deputy City Clerk

HEATHER KINTZLEY, City Attorney

Adopted 04/02/2019

Resolution No. 42-19

BOARD OF REGENTS

Sale of Real Property (24.79 Acres) located in Richland, WA

Resolution #190503-608

WHEREAS, the Board of Regents of Washington State University by virtue of RCW 28B.10.528 has authority to delegate by resolution to the President of the University, or designee, powers and duties vested in or imposed upon the Board by law and to enable the President, or designee to act on behalf of the Board of Regents in matters relating to the administration and governance of the University.

RESOLVED: That the Board of Regents authorize the sale of a parcel of vacant land in Richland, Washington totaling 24.79 acres, identified as Benton County Parcel No. 1-2608-200-0001-004 (the "Property"), and delegate authority to the President or his designee to select the best offer and to enter into any and all documents necessary to complete this sale.

Dated this 3rd day of May, 2019.

Chair, Board of Regents

Secretary, Board of Regents

ACTION ITEM #9

WSU Tri-Cities

9+/- Acre Land Swap with the Richland School District and Athletic Fields Agreement (Stacy Pearson/Sandra Haynes)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: WSU Tri-Cities ("WSUTC"), 9+/- Acre Land Swap with the Richland

School District and Athletic Fields Agreement

SUBMITTED BY: Stacy Pearson, Vice President for Finance and Administration

PROPOSED: That the Board of Regents approve a land swap with the Richland

School District (the "District") pertaining to approximately 9 acres of land located on the WSUTC Campus that would be swapped for approximately 9 acres of land located on the District's Hanford High School Campus, and further delegate authority to the President or his designee to enter into any and all documents necessary to

complete this land swap.

BACKGROUND INFORMATION:

In 1993, the District deeded to the University approximately 30 acres of land adjacent to the south side of the WSUTC Campus. A copy of the WSUTC Campus Master Plan is illustrated in Attachment A and the 30-acre property is outlined in red. This was one of the incentives provided to the University by the Tri-Cities to locate a campus in Richland. At the time of the deed, the District had a baseball field, track and field complex, football field and bleacher seating on the land that was used by the adjacent Hanford High School. The 30acre property was deeded to the University pursuant to a "Disposition Agreement" that provided continued use by the District of its athletic facilities until such time that the land was needed by the University for its campus facilities. Under the Disposition Agreement, if the land was ever needed for WSUTC facilities, WSUTC would have to relocate the District's original facilities to other property owned by the District, at WSUTC's expense.

In 2006, the District and WSUTC entered into an Interagency Agreement whereby the District was permitted to improve and supplement its athletic facilities on the land with the provision that any required relocation of the new improvements would be at the District's expense. Since the 1993 Disposition Agreement, the District has maintained the entire 30-acre property and has paid all costs of operating the athletic facilities thereon. In 2014, the District and WSUTC also entered into an agreement whereby WSUTC obtained the right to use certain District athletic facilities on the 30 acres.

The District now desires to substantially improve its athletic facilities on a portion of the 30-acre property owned by WSUTC, and has obtained approval from its voters to issue bonds for that purpose. Such improvements will include, but not be limited to, new bleacher seating (2,000 seats), restroom facilities, a concession area, artificial field turf and resurfacing of the existing track. The District's improvements will cost in excess of \$6,000,000 and the District does not want to make the improvements on WSUTC's property in light of the 2006 Interagency Agreement between the parties, which could require the District to relocate such improvements, at the District's expense.

As a result of the foregoing, the District originally proposed to lease 15 of the 30 acres from WSUTC under a long-term ground lease, which we presented to the Board of Regents as a Future Action Item in March 2018. After that meeting, the District's Board (with community input and support), decided that the District should not build its proposed improvements on land that it does not own, and elected not to proceed with the ground lease. The District has now proposed the possibility of "swapping" a portion of WSUTC's 30-acre property for a similarly sized portion of Hanford High School's property.

The terms of this proposed land swap are currently being negotiated, although the District has agreed to the following key terms:

• WSUTC's land to be swapped with the District will be limited to approximately 9 acres. In doing so, WSUTC will retain approximately 6 acres of property on George Washington Way, to the west of the proposed 9 acres to be swapped with the District, and approximately 21 acres to the east of the proposed 9 acres. An illustration of the 21 acres to be retained by WSUTC is provided in Attachment "B". The District's 9-acre property to be swapped with WSUTC is also illustrated in Attachment "B", and includes Hanford High School's existing varsity soccer field and related

- improvements, such as bleacher seating and field lighting. The District's 9-acre property is located immediately to the south of, and adjacent to, WSUTC's property.
- All existing agreements between WSUTC and the District will terminate as they have become outdated (particularly upon completion of the land swap). WSUTC and the District will enter into new agreements to accurately reflect the relationship between the parties and their properties. For example, the parties will execute a new Interagency Agreement to exclude the 9 acres where the District will build its athletic facilities, as this property will then be owned by The new Interagency Agreement will only the District. pertain to the remaining 21 acres on WSUTC's property where the District's existing baseball fields are located. The new Interagency Agreement will accurately reflect that WSUTC has the right to require the District to relocate the baseball fields at any time, at the District's sole cost and expense. It will also reflect that WSUTC has the right to use the fields for its exclusive use if it ever elected to do so. In the meantime, the District and WSUTC will share the rights to use the baseball fields, and the District will continue to be responsible for maintaining them.
- WSUTC and the District will create and execute a new Athletic Fields Agreement (or similar document) to formalize the parties' agreement to co-brand the District's new athletic facilities. WSUTC will have priority rights to use such facilities for its commencement ceremonies, and will have second priority rights to use the facilities after the District for other activities.
- The District will continue to maintain the soccer fields on the 9-acre property that WSUTC will receive for ten (10) years after the land swap is complete.
- The District will be responsible for all fees and expenses associated with the land swap, including the surveying and civil engineering fees to create the two new 9+/- acre parcels, and any attorney's fees necessary to complete the swap.

WSUTC is in need of athletic facilities for use by its students and this proposed transaction would satisfy that need for the foreseeable future. WSUTC is in favor of moving forward with this proposed land swap.

ATTACHMENTS: Attachment A - WSUTC Campus Master Plan. The 30-acre property deeded to WSUTC by the District in 1993 is outlined in red.

Attachment B – the proposed 9 acres of WSUTC's land that will be subject to the land swap is outlined in outlined in red, as is the District's proposed 9 acres that will be subject to the land swap. The remaining 21 acres to be retained by WSUTC is outlined in blue.

"Attachment A"

WSUTC Campus Master Plan



"Attachment B"

Proposed 9 acre land swap



BOARD OF REGENTS

9+/- Acre Land Swap with the Richland School District and Athletic Fields Agreement

Resolution #190503-609

WHEREAS, the Board of Regents of Washington State University by virtue of RCW 28B.10.528 has authority to delegate by resolution to the President of the University, or designee, powers and duties vested in or imposed upon the Board by law and to enable the President, or designee to act on behalf of the Board of Regents in matters relating to the administration and governance of the University.

RESOLVED: That the Board of Regents authorize a land swap with the Richland School District (the "District") pertaining to approximately 9 acres of land located on the WSUTC Campus that would be swapped for approximately 9 acres of land located on the District's Hanford High School, and further delegate authority to the President or his designee to enter into any and all documents necessary to complete this land swap.

Dated this 3rd day of May, 2019.

Chair, Board of Regents
_
Secretary, Board of Regents

ACTION ITEM #10

WSU Tri-Cities, Academic Building Schematic Design and Construction Phase Approval (Stacy Pearson/Olivia Yang)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: WSU Tri-Cities, Academic Building, Schematic Design and

Construction Phase Approval

PROPOSED: That the Board of Regents approve the WSU Tri-Cities, Academic

Building with a total budget not to exceed \$30,400,000, authorize the project to proceed to design and construction using the Design-Build (DB) process pursuant to RCW 39.10, and further delegate authority to the President or his designee to enter into any and all contracts necessary to complete the project, within the budgeted

amount.

SUBMITTED BY: Stacy Pearson, Vice President for Finance and Administration

SUPPORTING INFORMATION:

The 40,000 gsf Academic Building will facilitate future growth in STEM-focused high demand bachelor's degrees in Biology, Chemistry, and Education with STEM content area endorsements. This will allow for the expansion of new degree offerings in other disciplines as existing classroom space becomes available. The facility will include eight laboratories supporting Physics, two labs for Biology, two labs for Chemistry, Anatomy/Physiology and two 96 seat active learning classrooms. A central gathering area in the main entry will employ stadium style stairs to allow for large group presentations and community events.

A major focus will be on interdisciplinary programming and enhanced collaborations between the campus and adjacent research facilities within the Tri-Cities Research District including those at the Pacific Northwest National Laboratory (PNNL). WSUTC plans to offer the hands-on educational experiences that will lead to career opportunities and help meet the employment needs of Hanford

contractors and other STEM industries. The existing laboratory space for teaching and training does not meet current standards for state-of-the-art instruction in the life and physical sciences.

The Academic Building will be located directly west of the Consolidated Information Center (CIC) building.

The Board of Regents approved \$400,000 for pre-design in the FY2015-17 and \$3,000,000 for the design and pre-construction in the FY2017-19 state capital budgets. The University has requested construction funding for the FY2019-21 State capital request. The project budget total will reflect final amount of construction funding as appropriated by Legislature.

Project Schedule:

Project Budget:

Regents Approval of Design and Construction	May <i>2019</i>
Design	Jan <i>2019 – Dec 2019</i>
Construction	Nov <i>2019 – Feb 2021</i>

Troject budget.	
Construction (incl. contingency & sales tax)	\$ 27,000,000
Professional Services	\$ 928,000
Project Management	\$ 956,000
Moveable Equipment/Furnishings	\$ 958,000
Other	\$ 558,000
Total Project Budget	\$ 30,400,000

Source of Funds:	
FY2015-2017 State Funds	\$ 400,000
FY2017-2019 State Funds	\$ 3,000,000
FY2019-2021 State Funds	<u>\$ 27,000,000</u>
Total Source of Funds	<u>\$ 30,400,000</u>

ATTACHMENTS: Attachment A - Aerial site map
Attachment B - Design Presentation

Attachment A



BOARD OF REGENTS

WSU Tri-Cities, Academic Building Schematic Design and Construction Phase Approval

Resolution #190503-606

WHEREAS, the Board of Regents of Washington State University by virtue of RCW 28B.10.528 has authority to delegate by resolution to the President of the University, or designee, powers and duties vested in or imposed upon the Board by law and to enable the President, or designee to act on behalf of the Board of Regents in matters relating to the administration and governance of the University.

RESOLVED: That the Board of Regents approve the WSU Tri-Cities, Academic Building with a total budget not to exceed \$30,400,000, authorize the project to proceed to design and construction using the Design-Build (DB) process pursuant to RCW 39.10, and further delegate authority to the President or his designee to enter into any and all contracts necessary to complete the project, within the budgeted amount.

Chair, Board of Regents
Secretary, Board of Regents

Dated this 3rd day of May, 2019.

Attachment B

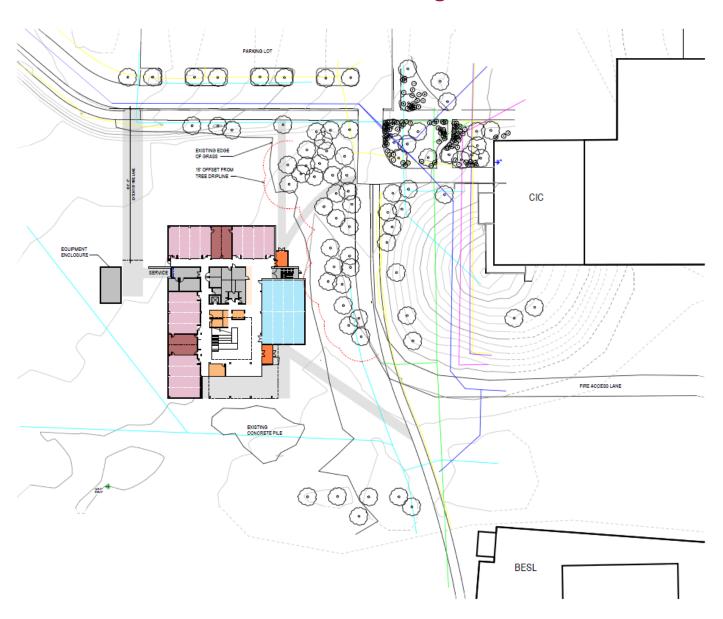
WSU Tri-Cities Academic Building

Board of Regents Meeting May 2, 2019

Tri-Cities Academic Building Site Plan

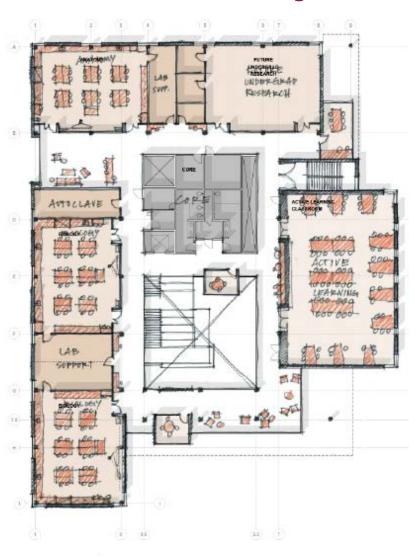


Tri-Cities Academic Building Site Plan



Tri-Cities Academic Building Level 01 PALLEWE TREES SERVICE SHIME CHEMISTRY LAN 000,000 CLASSISSOM 500 000

Tri-Cities Academic Building Level 02



Tri-Cities Academic Building Perspectives





SE View NE View



WSU TRI-CITIES NEW ACADEMIC BUILDING

ACTION ITEM #11

WSU Pullman, Baseball Clubhouse Schematic Design Approval (Stacy Pearson/Olivia Yang)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: WSU Pullman, Baseball Clubhouse, Schematic Design Approval

PROPOSED: That the WSU Board of Regents approve the schematic design for

the WSU Pullman, Baseball Clubhouse project.

SUBMITTED BY: Stacy Pearson, Vice President for Finance and Administration

SUPPORTING INFORMATION:

The new baseball clubhouse will provide a home for the Cougar baseball program as well as a new front door to Bailey-Brayton Field. This state-

of-the-art facility will accommodate the daily needs of the baseball program and include a locker room, weight and cardio room, academic area, team meeting room, and areas for Cougar equipment and athletic medicine. In addition, the facility will enhance the game day experience for players, coaches and fans and provide improved ingress and egress

along with new public restrooms and concessions.

The Board of Regents approved the design and construction for the project within the budgeted amount of \$10,000,000 at the January

2019 meeting.

ATTACHMENTS: Attachment A: Aerial Site

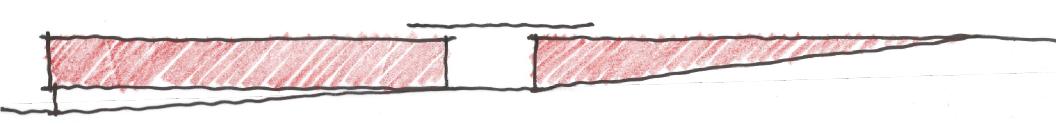
Attachment B: Design Presentation

Attachment A



WASHINGTON STATE B A S E B A L L

BACK TO OMAHA





























METAL PANEL COLOR I

METAL PANEL COLOR 2

ENTRY WINDOWS

SRG



NORTHWEST VIEW

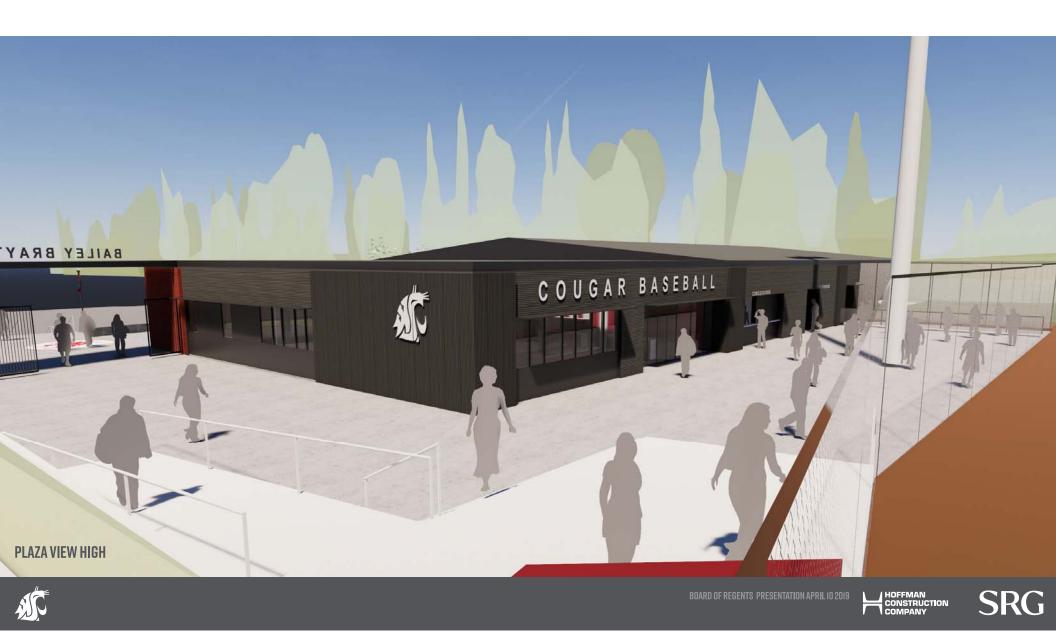












ACTION ITEM #12

Refunding of Trust and Building Fee Revenue Bonds, 2009B (Stacy Pearson/Matt Skinner)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Refunding of Trust and Building Fee Revenue Bonds, 2009B

(Pearson/Skinner)

PROPOSED: That the Board of Regents approve a Trust and Building Fee Revenue

Bond Refunding Resolution to authorize the issuance and sale of bonds to be used for refunding of Trust and Building Fee Revenue Bonds, 2009B (Refunded Bonds), with a maximum par amount not to exceed \$83,850,000; with a maximum term not to exceed the term of the Refunded Bonds, and a minimum savings threshold of 4.0% net present value savings; and delegate authority to the President or his designee to sell bonds including determining the final bond size, maturity schedule, redemption provisions and timing

of sale.

SUBMITTED BY: Stacy Pearson, Vice President for Finance and Administration

Matt Skinner, Associate Vice President for Finance and

Administration

SUPPORTING INFORMATION:

The Board of Regents Policy #5 (Attachment A) and the corresponding General Revenue Refunding Bond Resolutions (GRB Refunding Resolutions) delegate authority for the Vice President for Finance and Administration to refund University debt when debt service savings can be achieved. At least annually, the Vice President for Finance and Administration provides a report to the Regents detailing activities within the University debt portfolio, including the results of refunding activities.

The University has the opportunity to refund the Trust and Building Fee Revenue Bonds, 2009B for estimated net present value savings of approximately \$7.3 million or 9.3% with no change to the term

of the existing bonds.

However, since GRB Refunding Resolutions and delegation to the Vice President for Finance and Administration provide for the refunding of bonds that will be payable <u>solely</u> from general revenues,

it is necessary to seek this delegation via a separate resolution to refund the Trust and Building Fee Revenue Bonds, 2009B. The refunding bonds will be payable solely from Trust Land revenues and Building Fee Revenue.

History of the Trust and Building Fee Revenue Bonds, 2009B (Taxable Build America Bonds – Direct Payment)

In December 2009, the University issued the Trust and Building Fee Revenue Bonds, 2009B (Taxable Build America Bonds – Direct Payment) to finance multiple projects including: construction of a Veterinary Medical Research Building on the Pullman campus, a portion of the costs of construction of Applied Technology Classrooms on the Vancouver campus, and a portion of the costs of construction of the Global Animal Health – Phase 1 research building on the Pullman campus. Debt service payments on these bonds are secured by trust land revenues and building fee revenue, as provided for in the State capital budget.

Trust land revenues are revenues from lease, rental, timber sales and other income derived from the Land Grant of the 1889 Enabling Act. Building fee revenue is received from the Building fee component of tuition. Building fees are set at different levels for different categories of students, and are pro-rated for full-time and part-time students enrolled during the academic year.

RCW 28B.30.760 empowers the Board of Regents to issue refunding bonds for the purpose of refunding any or all outstanding Trust and Building Fee bonds, meaning the University does not have to seek additional State authorization to issue refunding bonds.

Financial Impacts to the University

In the current market, the refunding transaction is estimated to result in \$7.3 million or 9.3% net present value savings over the remaining term of the bonds. Trust Land and Building Fee revenues are restricted for capital purposes only. Thus, the estimated annual savings of approximately \$670,000 would accrue back to the trust and building fee revenue accounts.

Additionally, the Trust and Building Fee Revenue Bonds, 2009B are taxable "Build America Bonds" for which the University receives an interest subsidy from the Federal Government. This interest subsidy is subject to Federal sequestration or reduction. By undertaking this refunding, the University will issue tax-exempt bonds and no longer

face risk of potential reductions in the Federal interest subsidy in the future.

ATTACHMENTS: ATTACHMENT A: Board of Regents Policy #5, Debt Management

Policy

ATTACHMENT B: Bond Resolution Legal Document

BOARD OF REGENTS

Refunding of Trust and Building Fee Revenue Bonds, 2009B

Resolution #190503-603

WHEREAS, the Board of Regents of Washington State University by virtue of RCW 28B.10.528 has authority to delegate by resolution to the President of the University, or designee, powers and duties vested in or imposed upon the Board by law and to enable the President, or designee to act on behalf of the Board of Regents in matters relating to the administration and governance of the University.

RESOLVED: That the Board of Regents approve a Trust and Building Fee Revenue Bond Resolution to authorize the issuance and sale of bonds to be used for refunding of Trust and Building Fee Revenue Bonds, 2009B, with a maximum par amount not to exceed \$83,850,000; with a maximum term not to exceed the term of the Refunded Bonds, and a minimum savings threshold of 4.0% net present value savings; and delegate authority to the President or his designee to sell bonds, or other obligations including determining the final bond size, maturity schedule, redemption provisions and timing of sale.

Dated this 3rd day of May, 2019.	
	Chair, Board of Regents
	Secretary, Board of Regents

WASHINGTON STATE UNIVERSITY TRUST AND BUILDING FEE REVENUE REFUNDING BONDS

RESOLUTION NO. 190503-603

A RESOLUTION OF THE BOARD OF REGENTS OF WASHINGTON STATE UNIVERSITY AUTHORIZING AND PROVIDING FOR THE ISSUANCE OF TRUST AND BUILDING FEE REVENUE REFUNDING BONDS IN AN AGGREGATE PRINCIPAL AMOUNT NOT TO EXCEED \$83,850,000 FOR THE PURPOSE OF REFUNDING CERTAIN OUTSTANDING TRUST AND BUILDING FEE REVENUE BONDS OF THE UNIVERSITY AND PAYING THE COSTS OF ISSUANCE OF SUCH BONDS; AUTHORIZING AN OFFICIAL STATEMENT; AND DELEGATING AUTHORITY TO THE DESIGNATED UNIVERSITY REPRESENTATIVE TO DETERMINE THE MANNER OF SALE OF THE BONDS, APPROVE THE NUMBER OF SERIES, THE SERIES DESIGNATION, FINAL PRINCIPAL AMOUNT, DATE OF THE BONDS, DENOMINATIONS, INTEREST RATES, PAYMENT DATES, REDEMPTION PROVISIONS, AND MATURITY DATES FOR THE BONDS, TO DETERMINE THE FUNDING OF THE RESERVE REQUIREMENT, IF ANY, AND TO NEGOTIATE AN AGREEMENT FOR CONTINUING DISCLOSURE UNDER THE TERMS AND CONDITIONS SET FORTH HEREIN.

ADOPTED: May 3, 2019

Prepared by:

K&L GATES LLP Seattle, Washington

WASHINGTON STATE UNIVERSITY

RESOLUTION NO. 190503-603

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Exhibit A: Escrow Deposit Agreement Exhibit B: Costs of Issuance Agreement

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^{*} This Table of Contents and the Cover Page are for convenience of reference and are not intended to be a part of this resolution.

BOARD OF REGENTS

WASHINGTON STATE UNIVERSITY

RESOLUTION NO. 190503-603

A RESOLUTION OF THE BOARD OF REGENTS OF WASHINGTON STATE UNIVERSITY AUTHORIZING AND PROVIDING FOR THE ISSUANCE OF TRUST AND BUILDING FEE REVENUE REFUNDING BONDS IN AN AGGREGATE PRINCIPAL AMOUNT NOT TO EXCEED \$83,850,000 FOR THE PURPOSE OF REFUNDING CERTAIN OUTSTANDING TRUST AND BUILDING FEE REVENUE BONDS OF THE UNIVERSITY AND PAYING THE COSTS OF ISSUANCE OF SUCH BONDS; AUTHORIZING AN OFFICIAL STATEMENT: AND DELEGATING AUTHORITY TO DESIGNATED UNIVERSITY REPRESENTATIVE TO DETERMINE THE MANNER OF SALE OF THE BONDS, APPROVE THE NUMBER OF SERIES, THE SERIES DESIGNATION, FINAL PRINCIPAL AMOUNT, DATE OF THE BONDS, DENOMINATIONS, INTEREST RATES, PAYMENT DATES, REDEMPTION PROVISIONS, AND MATURITY DATES FOR THE BONDS, TO DETERMINE THE FUNDING OF THE RESERVE REQUIREMENT, IF ANY, AND TO NEGOTIATE AN AGREEMENT FOR CONTINUING DISCLOSURE UNDER THE TERMS AND CONDITIONS SET FORTH HEREIN.

WHEREAS, the Washington State Legislature, pursuant to the Bond Act and the Trust Land and Building Fee Revenue Bond Act (as each is hereinafter defined) has authorized the Board of Regents of Washington State University (the "University") to sell and issue revenue bonds to finance or refinance the acquisition, construction, and equipping of University facilities; and

WHEREAS, the University currently has outstanding its trust and building fee revenue bonds, as follows:

Authorizing		Original	Principal Amount	Final
Resolution	Date of	Principal	Outstanding	Maturity
Numbers	Bonds	Amount	(5/1/2019)	Dates
091009-367	12/01/2009	\$ 111,665,000	\$ 82,745,000	10/01/2034
120504-436	08/15/2012	27,355,000	22,080,000	04/01/2037
131004-462	02/13/2014	$18,130,000^{(1)}$	16,055,000	04/01/2039

The bonds were issued as part of series that were designated as general revenue bonds, however, a portion of the general revenue bonds were also secured by Trust Land Revenues and Building Fees (as each is hereinafter defined).

(the "Outstanding Trust and Building Fee Revenue Bonds"); and

WHEREAS, the University's Trust and Building Fee Revenue Bonds, 2009B (Taxable Build America Bonds – Direct Payment), issued under date of December 1, 2009, pursuant to Resolution No. 091009-367 approved by the Board of Regents on October 9, 2009 (the "2009 Resolution"), remain outstanding as follows:

Maturity Dates (October 1)	Principal Amounts	Interest Rates
2019	\$ 3,795,000	4.933%
2020	3,925,000	5.183
2021	4,060,000	5.283
2029^*	39,090,000	6.314
2034^{*}	31,875,000	6.414

^{*} Term Bonds

(the "2009 Bonds"); and

WHEREAS, the 2009 Bonds maturing on and after October 1, 2020, are subject to optional redemption at the option of the University in whole or in part on any date on or after October 1, 2019, at a price of par plus accrued interest to the date of redemption; and

WHEREAS, the 2009 Bonds were issued as Taxable Build America Bonds—Direct Payment ("Build America Bonds"); and

WHEREAS, the 2019 maturity of the 2009 Bonds may be defeased as provided in the 2009 Resolution, and the callable maturities of the 2009 Bonds may be defeased and redeemed prior to their maturities as described above (collectively, the "Refunding Candidates"); and

WHEREAS, upon the defeasance of 2009 Bonds in accordance with the 2009 Resolution, the 2009 Bonds will no longer qualify as Build America Bonds, the University will no longer be able to collect federal interest payment subsidies in connection with the 2009 Bonds, and the defeased 2009 Bonds will be taxable obligations eligible for advance refunding on a tax-exempt basis; and

WHEREAS, the University has been advised that debt service savings may be obtained by refunding some or all of the Refunding Candidates through the issuance of one or more series of trust and building fee revenue refunding bonds in the aggregate principal amount of not to exceed \$83,850,000 (the "Bonds"); and

WHEREAS, the Board of Regents wishes to delegate authority to the President of the University or his designee (hereinafter defined as the "Designated University Representative") to approve the manner of sale of such Bonds, number of series, series designation, the final principal amounts of the Bonds, date of the Bonds, denominations, interest rates, payment dates, date of the Bonds, principal maturities, redemption provisions, and the true interest cost of such Bonds to be fixed under such terms and conditions as are approved by this resolution;

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NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF REGENTS OF WASHINGTON STATE UNIVERSITY, as follows:

<u>Section 1</u>. <u>Definitions</u>. The terms defined in this Section 1 shall, for all purposes of this resolution (including the recitals) and of any resolution supplemental hereto, have the following meanings:

Acquired Obligations means the Government Obligations acquired by the University under the terms of this resolution and an Escrow Agreement to effect the defeasance and refunding of Refunding Candidates.

Additional Bonds means one or more series of additional obligations of the University issued on a parity of lien with the Bonds in accordance with Section 14 of Resolution No. 091009-367 and Section 15 of Resolution Nos. 120504-436 and 131004-462.

Annual Debt Service means, for any specified Fiscal Year, the sum of the amounts required to be paid in such Fiscal Year, to pay (a) the interest due in such Fiscal Year on all outstanding Parity Bonds, excluding interest to be paid from the proceeds of the sale of bonds, (b) the principal of all outstanding Serial Bonds due in such Fiscal Year, and (c) the sinking fund requirement, if any, for Term Bonds for such Fiscal Year. For purposes of the Additional Bonds test in Section 16, and computing the Reserve Requirement, with respect to Parity Bonds bearing variable rates of interest, an amount for any period equal to the amount which would have been payable for principal and interest on such Parity Bonds during such period computed on the assumption that the amount of Parity Bonds Outstanding as of the date of such computation would be amortized (i) in accordance with the mandatory redemption provisions, if any, set forth in the resolution authorizing the issuance of such Parity Bonds, or if mandatory redemption provisions are not provided, during a period commencing on the date of computation and ending on the date 30 years after the date of issuance; (ii) at an interest rate determined as follows: (A) if the Parity Bonds have been Outstanding for at least twelve (12) months, assume that the Parity Bonds bear interest at the higher of the actual rate borne by the Parity Bonds on the date of calculation or the average rate borne by the Parity Bonds over the twelve (12) months immediately preceding the date of calculation, and (B) if the Parity Bonds have been Outstanding for less than twelve (12) months or are not yet Outstanding, assume that the Parity Bonds bear interest at the higher of the actual rate borne by the Parity Bonds on the date of calculation or (X) if interest on the Parity Bonds is excludable from gross income under the applicable provisions of the Code, the average rate set forth on the Securities Industry and Financial Markets Association Municipal Swap Index over the twelve (12) months immediately preceding the date of calculation, or (Y) if interest is not so excludable, the average rate on Federal Securities with maturities comparable to the rate reset period; and (iii) to provide for essentially level annual debt service of principal and interest over such period.

Approved Bid means the winning bid submitted for a series of the Bonds if such series is sold by Competitive Sale.

Beneficial Owner means any person that has or shares the power, directly or indirectly, to make investment decisions concerning ownership of any Bond (including persons holding Bonds through nominees, depositories or other intermediary).

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Board means the Board of Regents of the University, which exists and functions pursuant to chapter 28B.30 RCW, as heretofore and hereafter amended.

Bond Act means RCW 28B.30.700 through RCW 28B.30.780, inclusive, as heretofore or hereafter amended.

Bond Counsel means an attorney or firm of attorneys whose opinion is accepted in the national governmental bonds capital markets as to the issuance and validity of municipal securities, which attorney or firm has been approved by, selected by or retained by the University from time to time.

Bond Purchase Contract means, with respect to a series of Bonds sold by Negotiated Sale, the purchase contract or approved term sheet relating to the Bonds between the University and the Underwriter.

Bond Register means the registration records for the Bonds maintained by the Registrar.

Bond Year means each one-year period that ends on the date selected by the University. The first and last Bond Years may be short periods. If no day is selected by the University before the earlier of the final maturity date of the Bonds or the date that is five years after the date of issuance of the Bonds, Bond Years end on each anniversary of the date of issue and on the final maturity date of the Bonds.

Bonds means the Washington State University Trust and Building Fee Revenue Refunding Bonds, [year of issuance][series designation], authorized to be issued by this resolution.

Building Account means Fund 062 Washington State University Building Account established pursuant to RCW 43.79.335.

Build America Bonds means bonds issued under authority of Section 54AA of the Code, enacted by the American Recovery and Reinvestment Act of 2009.

Building Fees means the building fee component of tuition (established pursuant to RCW 28B.15.025).

Business Day means a day which is not a Saturday, Sunday or other day on which commercial banks in the cities of Seattle, Washington and New York, New York, are authorized or required by law to close.

Call Date means the date determined by the Designated University Representative as the date of redemption of the Refunded Bonds.

Capital Budget means the State of Washington's Biennial Capital Budget ESHB 1216 (Chapter 497, Laws of 2009).

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Closing means the date and time when the Bonds are delivered to the Underwriter in exchange for payment in full therefor.

Code means the Internal Revenue Code of 1986, as heretofore or hereafter amended, together with all corresponding and applicable final, temporary or proposed regulations and revenue rulings as issued or amended with respect thereto by the United States Treasury Department or the Internal Revenue Service to the extent applicable to the Bonds.

Competitive Sale means the process by which the Bonds (or a portion of them) are sold through the public solicitation of bids from underwriting firms.

Cost of Issuance Agreement means the agreement of that name, to be entered into by the University and the Escrow Agent, providing for the payment of certain costs of issuance with respect to the issuance of the Bonds, substantially in the form attached hereto as Exhibit B.

Credit Facility means a policy of municipal bond insurance, a letter of credit, surety bond, guarantee or other financial instrument or any combination of the foregoing, which obligates a third party to make payment or provide funds for the payment of financial obligations of the University, including but not limited to payment of the scheduled principal of and interest on Parity Bonds.

Credit Facility Issuer means the issuer of any Credit Facility.

Designated University Representative means, for purposes of this resolution, the President of the University or the designee appointed by the President of the University in writing.

DTC means The Depository Trust Company of New York, as depository for the Bonds, or any successor or substitute depository for the Bonds pursuant to Section 6 hereof.

Escrow Agent means any escrow agent selected by the Designated University Representative in accordance with this resolution.

Escrow Agreement means an Escrow Deposit Agreement to be dated as of the date of closing and delivery of the Bonds, substantially in the form attached hereto as Exhibit B.

Federal Tax Certificate means the certification of the University executed and delivered in connection with the issuance of Bonds that are Tax-Exempt Bonds.

Fiscal Year means the University's duly adopted fiscal year.

Fully Funded means, with respect to the Reserve Subaccount, when the value of the money and investments on deposit therein, together with the amount available for drawing under any Reserve Subaccount Credit Facility, equals the Reserve Requirement.

Fund means any special fund created by this resolution and pledged as security for the Parity Bonds pursuant to this resolution.

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Fund 347 Bond Retirement Account means the Washington State University bond retirement fund established pursuant to RCW 28B.30.740.

Government Obligations has the meaning given to such term in RCW Chapter 39.53, as the same may be amended from time to time, provided that such obligations are noncallable and are obligations issued or unconditionally guaranteed by the United States of America.

Letter of Representation means the Blanket Issuer Letter of Representations from the University to DTC.

Moody's means Moody's Investors Service, a corporation duly organized and existing under and by virtue of the laws of the State of Delaware, and its successors and assigns, except that if such corporation shall be dissolved or liquidated or shall no longer perform the functions of a securities rating agency, then the term "Moody's" shall be deemed to refer to any other nationally recognized securities rating agency (other than S&P) selected by the Designated University Representative.

Negotiated Sale means the process by which the Bonds (or a portion of them) are sold by negotiation to one or more underwriting firms selected by the Designated University Representative.

Net Proceeds, when used with reference to Bonds, means the principal amount of such Bonds, plus accrued interest and original issue premium, if any, and less original issue discount, if any, and proceeds, if any, deposited in the Reserve Subaccount.

Official Notice of Sale means, if the Bonds shall be sold by Competitive Sale, the notice of bond sale authorized to be given in Section 9 of this resolution.

Official Statement means the Official Statement of the University pertaining to the sale of the Bonds, in either preliminary or final form.

Opinion of Bond Counsel means an opinion in writing of Bond Counsel.

Opinion of Counsel means an opinion in writing of a lawyer admitted to practice in the State.

Outstanding, when used as of a particular time with reference to the Bonds, means all Bonds delivered hereunder except:

- (a) Bonds canceled by the Registrar or surrendered to the Registrar for cancellation;
- (b) Bonds paid or deemed to have been paid within the meaning of this resolution; and

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(c) Bonds in lieu of or in substitution for which replacement Bonds shall have been executed by the University and delivered by the Registrar hereunder.

Outstanding Trust and Building Fee Revenue Bond Resolutions mean Resolution Nos. 091009-367, 120504-436 and 131004-462 of the Board.

Outstanding Trust and Building Fee Revenue Bonds mean the outstanding Trust and Building Fee Revenue Bonds issued under the dates and in the principal amounts identified in the table in the recitals hereto.

Parity Bonds means the Outstanding Trust and Building Fee Revenue Bonds, the Bonds and any Additional Bonds.

Person means any natural person, firm, joint venture, association, partnership, business trust, corporation, public body, agency or political subdivision thereof or any other similar entity.

Private Person means any natural person engaged in a trade or business or any trust, estate, partnership, association, company or corporation.

Private Person Use means the use of property in a trade or business by a Private Person if such use is other than as a member of the general public. Private Person Use includes ownership of the property by the Private Person as well as other arrangements that transfer to the Private Person the actual or beneficial use of the property (such as a lease, management or incentive payment contract or other special arrangement) in such a manner as to set the Private Person apart from the general public. Use of property as a member of the general public includes attendance by the Private Person at municipal meetings or business rental of property to the Private Person on a day-to-day basis if the rental paid by such Private Person is the same as the rental paid by any Private Person who desires to rent the property. Use of property by nonprofit community groups or community recreational groups is not treated as Private Person Use if such use is incidental to the governmental uses of property, the property is made available for such use by all such community groups on an equal basis and such community groups are charged only a de minimis fee to cover custodial expenses.

Qualified Insurance means any noncancellable municipal bond insurance policy or surety bond issued by any insurance company licensed to conduct an insurance business in any state of the United States (or by a service corporation acting on behalf of one or more such insurance companies) (A) which insurance company or companies, as of the time of issuance of such policy or surety bond, are rated in one of the two highest Rating Categories by the Rating Agency for unsecured debt or insurance underwriting or claims paying ability or (B) by issuing its policies causes obligations insured thereby to be rated in one of the two highest Rating Categories.

Qualified Letter of Credit means any irrevocable letter of credit issued by a financial institution, which institution maintains an office, agency or branch in the United States and as of the time of issuance of such letter of credit, is rated in one of the two highest Rating Categories by the Rating Agency.

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Rating Agency means Moody's and S&P (or, in each case, any nationally recognized ratings agency selected by the Designated University Representative).

Rating Category means the generic rating categories of the Rating Agency, without regard to any refinement or gradation of such rating category by a numerical modifier or otherwise.

Record Date means the close of business on the 15th day of the month prior to each interest payment date for the Bonds of a series.

RCW means the Revised Code of Washington, as now in existence or hereafter amended, or any successor codification of the laws of the State.

Refunded Bonds means the 2009 Bonds that are Refunding Candidates and are designated by the Designated University Representative pursuant to Section 9 of this resolution.

Refunding Candidates has the meaning given in the recitals to this resolution.

Registered Owner of any Bond means the person named as the Registered Owner of such Bond on the Bond Register.

Registrar means at the option of the Designated University Representative, the University or the fiscal agent of the State of Washington, whose duties include registering and authenticating the Bonds, maintaining the Bond Register, transferring ownership of the Bonds, and paying the principal of and interest on the Bonds.

Reserve Assets means, collectively, (a) the money and investments on deposit in the Reserve Subaccount, and (b) the stated amount available under any Reserve Subaccount Credit Facility provided in lieu thereof as permitted herein.

Reserve Requirement means, with respect to the Bonds, the dollar amount or formula calculated and approved by the Designated University Representative pursuant to Section 9, which amount may be zero and, with respect to any Additional Bonds, the amount, if any, established in the proceedings for the sale and issuance of such Additional Bonds. No Reserve Requirement has been established for any of the Outstanding Trust and Building Fee Revenue Bonds.

Reserve Subaccount means a subaccount of that name in the Fund 347 Bond Retirement Account, which subaccount may be created pursuant to Section 10 hereof.

Reserve Subaccount Credit Facility means an irrevocable and unconditional letter of credit, insurance policy or surety bond, issued by a bank, insurance company or other financial institution, having a long-term credit rating in one of the two highest rating categories, as determined by each Rating Agency then rating the Bonds, which credit facility names the University as the beneficiary thereunder; provided, that any such credit facility must, at a minimum, (a) if of a term shorter than the final maturity of the Bonds, be renewable or extendable on an annual basis; (b) have a combined initial and reimbursement term of not fewer

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than five years; (c) provide that the bank or insurance company or other financial institution providing such credit facility must notify the University no fewer than 180 days in advance of the expiration of the credit facility of its intention not to renew or extend such credit facility; (d) permit the University to make a drawing thereunder to fund the Reserve Subaccount no later than the last Business Day prior to the earliest of (1) the expiration date of such credit facility and (2) the date the proceeds of such drawing will be needed to fund the Reserve Subaccount.

Resolution means this resolution of the Board and all supplements or amendments hereto made in conformity herewith.

Revenues mean (a) Building Fees, (b) Trust Land Revenues and (c) any additional fees and revenues hereinafter pledged by the University for payment of debt service on one or more series of Parity Bonds. Subsidy payments received from the federal government for payment of debt service on Parity Bonds, including any interest subsidy for Parity Bonds that are Build America Bonds, shall be included as Revenues.

Rule means Rule 15c2-12 adopted by the Securities and Exchange Commission under the Securities Exchange Act of 1934, as the same may be amended from time to time.

Savings Target means a dollar amount equal to at least four percent (4%) of the outstanding principal of the 2009 Bonds being refunded.

S&P means S&P Global Ratings, a Standard & Poor's Financial Services LLC business, a New York corporation and its successors and assigns, except that if such corporation or division shall be dissolved or liquidated or shall no longer perform the functions of a securities rating agency, then the term "S&P" shall be deemed to refer to any other nationally recognized securities rating agency (other than Moody's) selected by the University.

Serial Bonds means those Bonds identified as "Serial Bonds" in the Bond Purchase Contract for such Bonds and shall include any Parity Bonds that were identified as Serial Bonds in the proceedings authorizing their issuance.

State means the State of Washington.

Tax-Exempt Bonds means the Bonds issued on a federally tax-exempt basis.

Term Bonds means the portion of the Bonds, if any, designated as "Term Bonds" in the Bond Purchase Contract or Approved Bid for such Bonds.

Trust Land Revenues mean (a) pursuant to RCW 28B.30.741, all moneys received from the lease or rental on account of the trust lands set apart by the enabling act for a scientific school, all interest or income arising from the proceeds of the sale of such lands or of the timber, fallen timber, stone, gravel or other valuable material thereon, except for investment income derived pursuant to RCW 43.84.080 and, less the allocation to the State investment board expense account pursuant to RCW 43.33A.160 and all moneys received as interest on deferred payments on contracts for the sale of such lands, and (b) pursuant to RCW 28B.30.742, all moneys received from the lease or rental of lands set apart by the enabling act, pursuant to

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federal law, for an agricultural college, all interest or income arising from the proceeds of the sale of such lands or of the timber, fallen timber, stone, gravel or other valuable material thereon, except for investment income derived pursuant to RCW 43.84.080 and, less the allocation to the state investment board expense account pursuant to RCW 43.33A.160; and all moneys received as interest on deferred payments on contracts for the sale of such lands, and identified as the Washington State University Agricultural School Trust and the Washington State University Scientific School Trust.

2009 Bonds has the meaning given in the recitals to this resolution.

2009 Resolution has the meaning given in the recitals to this resolution.

Underwriter means the underwriter(s) of the Bonds selected by the Designated University Representative if the Bonds are sold by public Negotiated Sale, the financial institution if the Bonds are sold by a private Negotiated Sale or the successful bidder submitting the Approved Bid if the Bonds are sold by Competitive Sale.

University means Washington State University, a higher educational institution of the State, co-located in Pullman and Spokane, Washington, with additional campuses in Everett, Richland and Vancouver.

Valuation Date means, with respect to any Reserve Subaccount, the last Business Day of each Fiscal Year; and any day on which the University transfers funds from the Reserve Subaccount to the Fund 347 Bond Retirement Account because funds therein are insufficient to pay debt service on Parity Bonds when due.

Rules of Interpretation. In this resolution, unless the context otherwise requires:

- (a) The terms "hereby," "hereof," "hereto," "herein, "hereunder" and any similar terms, as used in this resolution, refer to this resolution as a whole and not to any particular article, section, subdivision or clause hereof, and the term "hereafter" shall mean after, and the term "heretofore" shall mean before, the date of this resolution;
- (b) Words of the masculine gender shall mean and include correlative words of the feminine and neuter genders and words importing the singular number shall mean and include the plural number and vice versa;
- (c) Words importing persons shall include firms, associations, partnerships (including limited partnerships), trusts, corporations and other legal entities, including public bodies, as well as natural persons;
- (d) Any headings preceding the text of the several articles and sections of this resolution, and any table of contents or marginal notes appended to copies hereof, shall be solely for convenience of reference and shall not constitute a part of this resolution, nor shall they affect its meaning, construction or effect;

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- (e) All references herein to "articles," "sections" and other subdivisions or clauses are to the corresponding articles, sections, subdivisions or clauses hereof;
- (f) Whenever any consent or direction is required to be given by the University, such consent or direction shall be deemed given when given by a Designated University Representative or his or her designee; and
- (g) Whenever any transfer is required or permitted to be made to or from a Fund under this resolution, such transfer may be authorized by a Designated University Representative or his or her designee.
- <u>Section 2</u>. <u>Findings; Compliance with Certain Parity Conditions</u>. The University has reserved the right pursuant to the Outstanding Trust and Building Fee Revenue Bond Resolutions to issue Additional Bonds upon compliance with certain conditions set forth therein. The University hereby finds and determines, as required by Section 14 of Resolution No. 091009-367 and Section 15 of Resolution Nos. 120504-436 and 131004-462 as follows:

<u>First</u>, the University has not been in default of its any of its covenants and undertakings in connection with the Outstanding Trust and Building Fee Revenue Bonds; and

<u>Second</u>, the University will have on file, prior to the issuance of Bonds a certificate of the Designated University Representative to the effect that Trust and Building Fee Revenues will be sufficient to pay debt service on all Parity Bonds to be outstanding following the date of issuance of such Bonds.

The limitations contained and the conditions provided in the Outstanding Trust and Building Fee Revenue Bond Resolutions having been complied with or assured, the payments required herein to be made out of the Trust Land and Building Fee Revenues pledged to pay and secure the principal of and interest on the Bonds shall constitute a lien and charge upon such Trust Land and Building Fee Revenues equal in rank to the lien and charge thereon of the Outstanding Trust and Building Fee Revenue Bonds.

- Section 3. Authorization and Purpose of Bonds. For the purpose of refunding all or a portion of the costs of refunding the Refunded Bonds, paying costs of issuing the Bonds, and providing funding for the Reserve Subaccount, if approved by the Designated University Representative, the Board hereby authorizes the sale and issuance of revenue refunding bonds (the "Bonds") to refund the 2009 Bonds that financed capital projects authorized and approved by the Capital Budget. The University may issue the Bonds in one or more series. The latest maturity for any series of Bonds shall not be later than October 1, 2034. The aggregate principal amount of the Bonds to be issued for each series and the maximum term for any series of Bonds under this resolution shall be determined by the Designated University Representative, pursuant to the authority granted in Section 9. The principal amount of all Bonds to be issued under this resolution shall not exceed \$83,850,000.
- <u>Section 4.</u> <u>Bond Details.</u> The Bonds shall be designated as the "Washington State University Trust and Building Fee Revenue Refunding Bonds, [year of issuance]" with additional series designation if the Bonds are issued in more than one series. The Bonds shall be

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issued in fully registered form in the denomination of \$5,000 within a series or in integral multiples of \$5,000 within a maturity as approved by the Designated University Representative, provided no Bond shall represent more than one maturity, and shall be numbered separately in the manner and with such additional designation as the Registrar deems necessary for purposes of identification, shall be designated, by series, dated and bear interest at the per annum rates, payable on the dates and maturing in principal amounts set forth in the Bond Purchase Contract or Approved Bid, pursuant to Section 9. The Bonds shall be obligations only of the Fund 347 Bond Retirement Account and shall be payable and secured as provided herein. The Bonds are not general obligations of the University. The Bonds shall not constitute an indebtedness of the University within the meaning of the constitutional provisions and limitations of the State of Washington.

Section 5. Redemption and Purchase.

- (a) Optional or Extraordinary Redemption. The Bonds shall be subject to optional and/or extraordinary redemption on the dates, at the prices and under the terms set forth in the Bond Purchase Contract or Official Notice of Sale and Approved Bid, approved by the Designated University Representative pursuant to Section 9.
- (b) *Mandatory Redemption*. The Bonds shall be subject to mandatory redemption to the extent, if any, set forth in the Bond Purchase Contract or Official Notice of Sale and Approved Bid, and as approved by the Designated University Representative pursuant to Section 9.
- (c) *Purchase of Bonds for Retirement*. The University reserves the right to use at any time any surplus Revenue available after providing for the payments required by Section 12 and Section 10(a) of this resolution to purchase for retirement any of the Bonds offered to the University at any price deemed reasonable to the Designated University Representative.
- (d) Effect of Optional Redemption/Purchase. To the extent that the University shall have optionally redeemed or purchased any Term Bonds prior to the scheduled mandatory redemption of such Term Bonds, the University may reduce the principal amount of the Term Bonds to be redeemed in like aggregate principal amount. Such reduction may be applied in the year specified by the Designated University Representative.
- (e) Selection of Bonds for Redemption. If the Bonds are called for optional redemption, the series and maturities of the Bonds to be redeemed shall be selected as provided in the Bond Purchase Contract or Official Notice of Sale and Approved Bid.
- or Approved Bid (in which case, notice shall be given in accordance with the Sale Contract or Approved Bid) or waived by any owner of Registered Bonds to be redeemed, official notice of any such redemption (which notice, in the case of an optional redemption, may be conditional and also shall state that redemption is conditioned by the Registrar on the receipt of sufficient funds for redemption) shall be given by the Registrar on behalf of the University by mailing a copy of an official redemption notice by first class mail at least 20 days and not more than 60 days prior to the date fixed for redemption to each Registered Owner of the Bonds to be

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redeemed at the address shown on the Bond Register or at such other address as is furnished in writing by such Registered Owner to the Registrar.

All official notices of redemption shall be dated and shall state:

- (1) the redemption date,
- (2) the redemption price,
- (3) if fewer than all outstanding Bonds of a series are to be redeemed, the identification by maturity (and, in the case of partial redemption, the respective principal amounts) of the Bonds of a series to be redeemed,
- (4) that on the redemption date, provided that in the case of optional redemption the full amount of the redemption price is on deposit therefor, the redemption price will become due and payable upon each such Bond or portion thereof called for redemption, and that interest thereon shall cease to accrue from and after said date, and
- (5) the place where such Bonds are to be surrendered for payment of the redemption price, which place of payment shall be the principal office of the Registrar.

Unless the University has revoked a notice of redemption in the case of an optional redemption, on or prior to any redemption date, the University shall deposit with the Registrar an amount of money sufficient to pay the redemption price of all the Bonds or portions of Bonds which are to be redeemed on that date.

Failure to give notice as to redemption of any Bond or any defect in such notice shall not invalidate redemption of any other Bond.

Notwithstanding the foregoing, if the Bonds are then held in book-entry only form, notice of redemption shall be given only in accordance with the operational arrangements then in effect at DTC but not less than 20 days prior to the date of redemption.

Contract or Approved Bid (in which case, notice shall be given in accordance with the Bond Purchase Contract or Approved Bid) or unless the University has revoked a notice of redemption (or unless the University provided a conditional notice and the conditions for redemption set forth therein are not satisfied), official notice of redemption having been given as aforesaid, the Bonds or portions of Bonds so to be redeemed shall, on the redemption date (unless in the case of optional redemption the University shall default in the payment of the redemption price), become due and payable at the redemption price therein specified, and from and after such date such Bonds or portions of Bonds shall cease to bear interest. Upon surrender of such Bonds for redemption in accordance with said notice, such Bonds shall be paid by the Registrar at the redemption price. Installments of interest due on or prior to a mandatory redemption date shall be payable as herein provided for payment of interest. Upon surrender for any partial redemption of any Bond, there shall be prepared for the Registered Owner a new Bond of the

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same maturity and series in the aggregate amount of the unpaid principal. All Bonds which have been redeemed shall be canceled and destroyed by the Registrar and shall not be reissued.

- Additional Notice. Unless otherwise provided in the Bond Purchase Contract or Approved Bid (in which case, notice shall be given in accordance with the Bond Purchase Contract or Approved Bid) or unless in addition to the foregoing notice, further notice shall be given by the University as set out below, but no defect in said further notice nor any failure to give all or any portion of such further notice shall in any manner defeat the effectiveness of a call for redemption if notice thereof is given as above prescribed. Each further notice of redemption given hereunder shall contain the information required above for an official notice of redemption plus (i) the CUSIP numbers of all Bonds being redeemed; (ii) the date of issue of the Bonds as originally issued; (iii) the rate of interest borne by each Bond being redeemed; (iv) the maturity date of each Bond being redeemed; and (v) any other descriptive information needed to identify accurately the Bonds being redeemed. Each further notice of redemption may be sent at least 20 days before the redemption date to each party entitled to receive notice pursuant to Section 24 of this resolution under agreements for continuing disclosure information, and to the Underwriter or to its business successors, if any, and with such additional information as the Registrar deem appropriate, but such mailings shall not be a condition precedent to the redemption of such Bonds.
- (i) Use of CUSIP Numbers. Upon the payment of the redemption price of Bonds being redeemed, each check or other transfer of funds issued for such purpose shall bear the CUSIP number identifying, by maturity, the Bonds being redeemed with the proceeds of such check or other transfer.
- (j) Amendment of Notice Provisions. The foregoing notice provisions of this Section 5, including but not limited to the information to be included in redemption notices and the persons designated to receive notices, may be amended without the consent of any Registered Owners of Bonds by additions, deletions and changes in order to maintain compliance with duly promulgated regulations and recommendations regarding notices of redemption of municipal securities.

<u>Section 6.</u> <u>Registration, Exchange and Payments.</u>

- (a) Registrar/Bond Register. If the Bonds are sold by public sale, the University will utilize the system of registration approved by the Washington State Finance Committee, which utilizes the fiscal agent of the State of Washington, as registrar, authenticating agent, paying agent and transfer agent (collectively, the "Registrar"). The Registrar shall keep, or cause to be kept, at its principal corporate trust office, sufficient records for the registration and transfer of the Bonds (the "Bond Register"), which shall be open to inspection by the University. The Registrar is authorized, on behalf of the University, to authenticate and deliver Bonds transferred or exchanged in accordance with the provisions of such Bonds and this resolution and to carry out all of the Registrar's powers and duties under this resolution. The Registrar shall be responsible for its representations contained in the Certificate of Authentication on the Bonds.
- (b) Registered Ownership. The University and the Registrar may deem and treat the Registered Owner of each Bond as the absolute owner for all purposes, and neither the

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University nor the Registrar shall be affected by any notice to the contrary. Payment of any such Bond shall be made only as described in Section 6(h) hereof, but such registration may be transferred as herein provided. All such payments made as described in Section 6(h) shall be valid and shall satisfy the liability of the University upon such Bond to the extent of the amount or amounts so paid.

(c) DTC Acceptance/Letter of Representations. If the Bonds are sold by public sale, the Bonds shall initially be held in fully immobilized form by DTC acting as depository. To induce DTC to accept the Bonds as eligible for deposit at DTC, the University has heretofore executed and delivered to DTC the Letter of Representations.

Neither the University nor the Registrar will have any responsibility or obligation to DTC participants or the persons for whom they act as nominees with respect to the Bonds for the accuracy of any records maintained by DTC or any DTC participant, the payment by DTC or any DTC participant of any amount in respect of the principal of or interest on Bonds, any notice that is permitted or required to be given to Registered Owners under this resolution (except such notices as shall be required to be given by the University to the Registrar or to DTC), the selection by DTC or any DTC participant of any person to receive payment in the event of a partial redemption of the Bonds, or any consent given or other action taken by DTC as the Registered Owner. For so long as any Bonds are held in fully immobilized form hereunder, DTC or its successor depository shall be deemed to be the Registered Owner for all purposes, and all references in this resolution to the Registered Owners shall mean DTC or its nominee and shall not mean the Beneficial Owners.

(d) *Use of Depository*.

- (1) If the Bonds are sold by public sale, the Bonds shall be registered initially in the name of CEDE & Co., as nominee of DTC, with a single Bond for each maturity of a series in a denomination equal to the total principal amount of such maturity. Registered ownership of such immobilized Bonds, or any portions thereof, may not thereafter be transferred except (A) to any successor of DTC or its nominee, provided that any such successor shall be qualified under any applicable laws to provide the service proposed to be provided by it; (B) to any substitute depository appointed by the Designated University Representative pursuant to subsection (2) below or such substitute depository's successor; or (C) to any person as provided in subsection (4) below.
- (2) Upon the resignation of DTC or its successor (or any substitute depository or its successor) from its functions as depository or a determination by the University to discontinue the system of book-entry transfers through DTC or its successor (or any substitute depository or its successor), the Designated University Representative may appoint a substitute depository. Any such substitute depository shall be qualified under any applicable laws to provide the services proposed to be provided by it.
- (3) In the case of any transfer pursuant to clause (A) or (B) of subsection (1) above, the Registrar shall, upon receipt of all outstanding Bonds, together with a written request on behalf of the University, issue a single new Bond for each maturity of a series then

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outstanding, registered in the name of such successor or substitute depository, or its nominee, all as specified in such written request of the University.

- (4) In the event that (A) DTC or its successor (or substitute depository or its successor) resigns from its functions as depository, and no substitute depository can be obtained, or (B) the Designated University Representative determines that it is in the best interest of the Beneficial Owners of the Bonds that the Bonds be provided in certificated form, the ownership of such Bonds may then be transferred to any person or entity as herein provided, and shall no longer be held in fully immobilized form. The Designated University Representative shall deliver a written request to the Registrar, together with a supply of definitive Bonds in certificated form, to issue Bonds in any authorized denomination. Upon receipt by the Registrar of all then outstanding Bonds, together with a written request on behalf of the University to the Registrar, new Bonds of each series shall be issued in the appropriate denominations and registered in the names of such persons as are provided in such written request.
- (e) Transfer or Exchange of Registered Ownership; Change in Denominations. The registered ownership of any Bond may be transferred or exchanged, but no transfer of any Bond shall be valid unless it is surrendered to the Registrar with the assignment form appearing on such Bond duly executed by the Registered Owner or such Registered Owner's duly authorized agent in a manner satisfactory to the Registrar. Upon such surrender, the Registrar shall cancel the surrendered Bond and shall authenticate and deliver, without charge to the Registered Owner or transferee, a new Bond (or Bonds at the option of the new Registered Owner) of the same date, series, maturity and interest rate and for the same aggregate principal amount in any authorized denomination, naming as Registered Owner the person or persons listed as the assignee on the assignment form appearing on the surrendered Bond, in exchange for such surrendered and canceled Bond. Any Bond may be surrendered to the Registrar and exchanged, without charge, for an equal aggregate principal amount of Bonds of the same date, series, maturity and interest rate, in any authorized denomination. The Registrar shall not be obligated to transfer or exchange any Bond after the mailing of notice of the call of such Bonds for redemption.
- (f) Registrar's Ownership of Bonds. The Registrar may become the Registered Owner or Beneficial Owner of any Bond with the same rights it would have if it were not the Registrar, and to the extent permitted by law, may act as depository for and permit any of its officers or directors to act as member of, or in any other capacity with respect to, any committee formed to protect the rights of the Registered Owners of the Bonds.
- (g) Registration Covenant. The University covenants that, until all Tax-Exempt Bonds have been surrendered and canceled, it will maintain a system for recording the ownership of each Bond that complies with the provisions of Section 149 of the Code.
- (h) Place and Medium of Payment. Both principal of and interest on the Bonds shall be payable in lawful money of the United States of America. For so long as all Bonds are in fully immobilized form, payments of principal and interest shall be made as provided in accordance with the operational arrangements of DTC referred to in the Letter of Representations. In the event that the Bonds are no longer in fully immobilized form, interest on the Bonds shall be paid by check or draft mailed to the Registered Owners at the addresses for

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such Registered Owners appearing on the Bond Register as of the Record Date, and principal of the Bonds shall be payable upon presentation and surrender of such Bonds by the Registered Owners at the principal office of the Registrar; provided, however, that if so requested in writing by the Registered Owner of at least \$1,000,000 principal amount of Bonds of a series, interest will be paid by wire transfer on the date due to an account with a bank located within the United States.

<u>Section 7.</u> Form of Bonds and Registration Certificate. Each series of the Bonds shall be in substantially the following form, with appropriate or necessary insertions or series designation, depending upon the omissions and variations as permitted or required hereby. If the Bonds are no longer held in fully-immobilized form, the form of Bonds will be changed to reflect the changes required in connection with the preparation of certificated Bonds.

UNITED STATES OF AMERICA

NO.	
NO.	D

STATE OF WASHINGTON WASHINGTON STATE UNIVERSITY TRUST AND BUILDING FEE REVENUE REFUNDING BONDS, [year of issuance][series designation]

INTEREST RATE: % MATURITY DATE: CUSIP NO.:

REGISTERED OWNER: PRINCIPAL AMOUNT:

WASHINGTON STATE UNIVERSITY (the "University"), a state university organized and existing under and by virtue of the laws of the State of Washington (the "State"), promises to pay to the Registered Owner identified above, or registered assigns, on the Maturity Date identified above, solely from the special fund of the University known as the "Fund 347 -Washington State University Bond Retirement Fund" (the "Fund 347 Bond Retirement Account") maintained by the State and Revenues to be deposited therein pursuant to a Resolution adopted by the Board of Regents on May 3, 2019 (the "Bond Resolution") the Principal Amount indicated above and to pay interest thereon from the Fund 347 Bond Retirement Account from ____, 20___, or the most recent date to which interest has been paid or duly provided for or until payment of this bond at the Interest Rate set forth above, payable on _______, 20____, and semiannually thereafter on the first days of each _____ and ____. Both principal of and interest on this bond are payable in lawful money of the United States of America. Principal shall be paid to the Registered Owner or assigns upon presentation and surrender of this bond at the principal office of the fiscal agent of the State of Washington (the "Registrar"). For so long as the bonds of this issue are held in fully immobilized form, payments of principal and interest thereon shall be made as provided in accordance with the operational arrangements of The Depository Trust Company ("DTC") referred to in the Blanket Issuer Letter of Representations (the "Letter of Representations") from the University to DTC. The fiscal agent of the State is acting as the registrar, authenticating agent and paying agent for the bonds of this issue (the "Bond Registrar"). Capitalized terms used in this bond which are not specifically defined have the meanings given such terms in the Bond Resolution. Reference is made to the Bond Resolution for other covenants of the University and other terms and conditions upon

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which this bond has been issued, which terms and conditions are made a part hereof by this reference. The University irrevocably and unconditionally covenants that it will keep and perform all of the covenants of this bond and of the Bond Resolution.

This bond is one of an issue of bonds of the University of like date, tenor and effect, except as to number, amount, rate of interest and date of maturity and is issued pursuant to the Bond Resolution to refund certain outstanding bonds of the University and to pay costs of issuance.

The bonds of this issue are subject to redemption prior to their scheduled maturity under the terms of the [Bond Purchase Contract][Official Notice of Sale and Approved Bid].

[The bonds of this issue are not private activity bonds. The bonds of this issue have [not] been designated by the University as "qualified tax-exempt obligations" for investment by financial institutions under Section 265(b) of the Internal Revenue Code of 1986, as amended.] [The University has taken no action to cause the interest on this bond to be exempt from federal income taxation.]

The bonds of this issue are payable solely from the Fund 347 Bond Retirement Account. The University has irrevocably obligated and bound itself to cause Revenues to be deposited into the Fund 347 Bond Retirement Account or from such other money as may be provided for such purpose certain amounts necessary to pay and secure the payment of the principal and interest on such bonds.

To the extent more particularly provided by the Bond Resolution, the amounts of Revenues so pledged to be paid into the Fund 347 Bond Retirement Account to pay and secure the payment of the principal of and interest on the bonds of this issue shall be a lien and charge thereon equal in rank to the lien and charge upon such Revenues of the amounts required to pay and secure the payment of the Outstanding Trust and Building Fee Revenue Bonds and any revenue bonds hereafter issued on a parity with the Outstanding Trust and Building Fee Revenue Bonds and the bonds of this issue and superior to all other liens and charges of any kind or nature.

This bond is a special limited obligation of the University and is not an obligation of the State of Washington or any political subdivision thereof other than the University, and neither the full faith and credit nor the taxing power of the University or the State of Washington is pledged to the payment of this bond.

This bond shall not be valid or become obligatory for any purpose or be entitled to any security or benefit under the Bond Resolution until the Certificate of Authentication hereon shall have been manually signed by or on behalf of the Registrar.

It is hereby certified and declared that this bond and the bonds of this issue are issued pursuant to and in strict compliance with the Constitution and laws of the State of Washington and resolutions of the Board of Regents of the University and that all acts, conditions and things required to be done precedent to and in the issuance of this bond have happened, been done and performed.

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executed by the manual or facsimile signature	n State University has caused this bond to be es of the Chair and Secretary of the Board of niversity to be imprinted hereon as of the
[SEAL]	WASHINGTON STATE UNIVERSITY
[SEAL]	WASHINGTON STATE UNIVERSITT
	By/s/ Chair, Board of Regents
	Chair, Board of Regents
ATTEST:	
/s/	
Secretary, Board of Regents	
The Registrar's Certificate of Authentic following form:	ation on the Bonds shall be in substantially the
CERTIFICATE OF A	AUTHENTICATION
	in the within mentioned Bond Resolution and is e Refunding Bonds, [year of issuance][series ted, 20
	WASHINGTON STATE FISCAL AGENT, Registrar
	By
	ByAuthorized Signer

<u>Section 8.</u> <u>Execution.</u> The Bonds of each series shall be executed on behalf of the University with the manual or facsimile signature of the Chair of the Board of Regents, shall be attested by the manual or facsimile signature of the Secretary of the Board of Regents and shall have the seal of the University impressed or a facsimile thereof imprinted thereon.

Only such Bonds as shall bear thereon a Certificate of Authentication in the form hereinbefore recited, manually executed by the Registrar, shall be valid or obligatory for any purpose or entitled to the benefits of this resolution. Such Certificate of Authentication shall be conclusive evidence that the Bonds so authenticated have been duly executed, authenticated and delivered hereunder and are entitled to the benefits of this resolution.

In case either of the officers of the University who shall have executed the Bonds shall cease to be such officer or officers of the University before the Bonds so signed shall have been authenticated or delivered by the Registrar, or issued by the University, such Bonds may nevertheless be authenticated, delivered and issued and upon such authentication, delivery and issuance, shall be as binding upon the University as though those who signed the same had continued to be such officers of the University. Any Bond may also be signed and attested on

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behalf of the University by such persons as at the actual date of execution of such Bond shall be the proper officers of the University although at the original date of such Bond any such person shall not have been such officer.

<u>Section 9</u>. <u>Designation of Refunded Bonds; Sale of Bonds</u>.

- (a) Designation of Refunded Bonds. As outlined in the recitals and Section 3 of this resolution, the Refunding Candidates may be called for redemption prior to their scheduled maturities. All or some of the Refunding Candidates may be refunded with the proceeds of the Bonds authorized by this resolution. The Board of Regents has determined that it would be in the best interest of the University to delegate to the Designated University Representative the authority to approve the number of series and series designation, to approve the manner of sale, the final principal amounts of the Bonds (within the parameters required to achieve the Savings Target) and aggregate principal amounts for each series, date of the Bonds, interest rates, maturity dates, payment dates, redemption provisions (provided that the Bonds issued to refund the Refunding Candidates shall not be subject to optional redemption in less than 5 years from the date of issue), and Reserve Requirement, if any, and other terms and conditions of the Bonds in the manner provided hereafter so long as:
 - (1) the aggregate principal amount of the Bonds does not exceed \$83,850,000;
 - (2) the final maturity of the Bonds is not later than October 1, 2034; and
- (3) the net present value aggregate savings with respect to Refunded Bonds to be realized as a result of the refunding of the Refunded Bonds, <u>after</u> payment of all costs of issuance, is at least equal to the Savings Target.

In determining the designation of the Refunded Bonds, manner of sale, number of series, the taxable or tax-exempt status of each series, the series designation, the final principal amounts of the Bonds (within the parameters required to achieve the Savings Target), date of the Bonds, interest rates, payment dates, the Reserve Requirement, if any, redemption provisions (provided that the Bonds issued to refund the Refunding Candidates shall not be subject to optional redemption in less than 5 years from the date of issue), and maturity dates of such Bonds, the Designated University Representative, in consultation with University staff and the University's financial advisor, shall take into account those factors that, in his judgment, will result in the lowest true interest cost on the applicable series of the Bonds to their maturity, including, but not limited to current financial market conditions and current interest rates for obligations comparable in tenor and quality to the applicable series of the Bonds.

(b) Bond Sale. The Designated University Representative is hereby authorized to determine whether the Bonds shall be sold by Negotiated Sale or by Competitive Sale. Upon the selection of one or more underwriters, the Designated University Representative shall negotiate the terms of sale for the Bonds, including the terms described in this section, in a contract of sale (the "Bond Purchase Contract"). If the Bonds are sold by Competitive Sale, sealed bids will be received by the Designated University Representative or the Competitive Sale will be undertaken by electronic means, in the manner and on such date and time as the Designated University Representative hereafter shall determine. The Designated University Representative will

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approve the bid offering to purchase the Bonds at the lowest true interest cost to the University at such price as shall be determined at the time of sale by the Designated University Representative, plus accrued interest to the date of delivery, on all the terms and conditions set out in the applicable Official Notice of Sale.

All bids submitted for the purchase the Bonds shall be as set forth in the applicable Official Notice of Sale or otherwise as established by the Designated University Representative which will be furnished upon request made to the Designated University Representative. Such bids shall be accompanied by surety bond or a cashier's or certified check, as a good faith deposit, made payable to the order of the University, in an amount not less than one percent of the par amount of the Bonds. The good faith deposit of the successful bidder shall be security for the performance of its bid and shall be held as liquidated damages in case the successful bidder fails to take up and pay for the applicable series of the Bonds within 45 days if tendered for delivery. All bids submitted shall be opened (but not read publicly) by the University. The University reserves the right to reject any and all bids and to waive any irregularity or informality in any bid.

Subject to the terms and conditions set forth in this Section 9, the Designated University Representative is hereby authorized to accept an Approved Bid in a Competitive Sale and/or execute the final form of a Bond Purchase Contract in a Negotiated Sale, upon his approval of the number of series, the series designation, the final principal amounts of the Bonds (within the parameters required to achieve the Savings Target), date of the Bonds, interest rates, payment dates, redemption provisions (provided that the Bonds issued to refund the Refunding Candidates shall not be subject to optional redemption in less than 5 years from the date of issue), and maturity dates of such bonds set forth therein. Following each sale of the Bonds, the Designated University Representative shall provide a report to the Board of Regents, describing the final terms of each series of the Bonds approved pursuant to the authority delegated in this section.

- officials of the University including the Designated University Representative, are authorized and directed to undertake all other actions necessary for the prompt sale, execution and delivery of the Bonds and further to execute all closing certificates and documents required to effect the closing and delivery of the Bonds in accordance with the terms of the Bond Purchase Contract or Official Notice of Sale and Approved Bid. In furtherance of the foregoing, the Designated University Representative is authorized to approve and enter into agreements for the payment of costs of issuance, including Underwriter's discount, the fees and expenses specified in the Bond Purchase Contract, including fees and expenses of Underwriter and other retained services, including Bond Counsel, rating agencies, fiscal agent, and other expenses customarily incurred in connection with issuance and sale of bonds. The disbursement of Bond proceeds to pay certain costs of issuance shall be made by the Escrow Agent under the terms set forth in the Cost of Issuance Agreement substantially in the form attached to this Resolution as Exhibit B.
- (d) Official Statement. The Designated University Representative is authorized to ratify and to approve for purposes of the Rule, on behalf of the University, an Official Statement for each series (and any Preliminary Official Statement) and any supplement thereto relating to the issuance and sale of each series of the Bonds and the distribution of each series of the Bonds pursuant thereto with such changes, if any, as may be deemed by him/her to be appropriate.

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(e) *Term of Authority*. The authority granted by this section shall remain in effect until June 30, 2020.

Section 10. Revenues; Building Account.

- (a) The University maintains the Fund 062 Washington State University Building Account pursuant to RCW 43.79.335 (the "Building Account").
- (1) Deposits into Building Account. The University will make the following deposits into the Building Account:
 - (A) Building Fees, as authorized by RCW 28B.15.310;
- (B) Funds transferred by the University from Fund 347 Bond Retirement Account pursuant to Section 10(b)(2)(D); and
 - (C) All investment income derived from the investment thereof.
- (2) Disbursements from Building Account. Money on hand in the Building Account shall be disbursed by the University as follows:
- (A) For transfer to Fund 347 Bond Retirement Account pursuant to RCW 28B.30.730 in the event there is ever an insufficient amount of money in Fund 347 Bond Retirement Account to pay any installment of interest or principal and interest coming due on any Parity Bonds, as required by Section 10(b)(1)(D); and
- (B) To pay, finance or refinance the costs of major construction and minor capital projects at the University, including payments for reimbursement of debt service on bonds issued by the State for University capital projects as approved by the Legislature and specified to be paid from the Building Account.
- (b) Flow of Funds; Priorities. The Fund 347 Bond Retirement Account is maintained by the State pursuant to RCW 28B.30.740.
- (1) <u>Deposits to Fund 347 Bond Retirement Account.</u> The following Revenues shall be paid into Fund 347 Bond Retirement Account:
- (A) All Trust Land Revenues shall be paid into Fund 347 Bond Retirement Account pursuant to RCW 28B.30.741;
- (B) One half of all Building Fees or such larger portion as may be necessary to prevent default with respect to Parity Bonds;
- (C) Any grants which may be made, or may become available, for the purpose of furthering the construction of any authorized projects of the University, or for the repayment of the costs thereof;

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- (D) Money transferred from the Building Account, which transfer is hereby covenanted to be made pursuant to RCW 28B.30.730(8)(c), in the event there is ever an insufficient amount of money in Fund 347 Bond Retirement Account to pay any installment of interest or principal and interest coming due on any Parity Bonds;
 - (E) Additional Revenues hereinafter pledged by the University;
 - (F) Such additional funds as the Legislature may provide; and
- (G) Interest earnings on amounts held in Fund 347 Bond Retirement Account not otherwise required by statute to be transferred otherwise.
- (2) <u>Withdrawals from Fund 347 Bond Retirement Account</u>. The following amounts shall be withdrawn from the Fund 347 Bond Retirement Account:
- (A) On or prior to each date on which a payment of principal of, premium, if any, and interest on Parity Bonds is due, the amount of such principal, premium and interest shall be withdrawn and used to pay such principal, premium and interest;
- (B) For payment to the provider of any Reserve Subaccount Credit Facility, within the time frames required thereby or by any Reserve Subaccount Credit Facility related thereto, amounts necessary to reimburse such provider for draws made thereunder and any interest accrued on such draws, at such times and in such places as may be necessary to permit such issuer to reinstate such Reserve Subaccount Credit Facility in a timely manner.
- (C) For transfers to the Reserve Subaccount necessary to maintain the Reserve Requirement therein, if any; and
- (D) For transfers to the Building Account provided that the balance in Fund 347 Bond Retirement Account shall be the greater of (i) the amount required to be maintained therein by State law (currently RCW 28B.30.750) and (ii) the amount required for payment of debt service on Parity Bonds in the then current fiscal year.
- (c) Transfers to Reserve Subaccount. If a Reserve Requirement has been established for the Bonds, the University further covenants to transfer to the Reserve Subaccount, from money and investments in the Fund 347 Bond Retirement Account, the following:
- (1) If the University chooses to satisfy the Reserve Requirement over a period of five years pursuant to a determination made under subsection (c) hereof, within one year of the date of closing, beginning in 2020, and continuing annually thereafter until the Reserve Subaccount is Fully Funded, an amount equal to not less than 20% of the Reserve Requirement; and
- (2) If the value of the Reserve Assets is less than the Reserve Requirement on any Valuation Date, within 90 days thereafter, the amount necessary to restore the value of the Reserve Assets to the Reserve Requirement (if the Reserve Subaccount is then required to be Fully Funded) or to the pro rata portion of the Reserve Requirement then required to be on

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deposit in the Reserve Subaccount pursuant to Section 10(d) hereof (if such date occurs before the Reserve Subaccount is Fully Funded).

(d) Reserve Subaccount.

- (1) If the Designated University Representative determines that the funding of the Reserve Subaccount is necessary or beneficial for the marketing of the Bonds, the University covenants to establish such Subaccount and deposit into the Reserve Subaccount the amounts required to be transferred into the Reserve Subaccount pursuant to Section 10(c) hereof, if any, the net income earned on investments in the Reserve Subaccount and all other amounts required to be transferred to the Reserve Subaccount pursuant to this resolution. Each Reserve Requirement may be funded annually over a five-year period or through a Reserve Subaccount Credit Facility. In the event the Designated University Representative elects to fund the Reserve Requirement over a five-year period, the University shall not be in default of its obligation hereunder as long as the annual deposits are made on a timely basis.
- (2) The University pledges and covenants to use the money and investments or proceeds of draws on any Reserve Subaccount Credit Facility in the Reserve Subaccount solely for the following purposes (in order of priority):
- (A) For transfer to the Fund 347 Bond Retirement Account whenever funds therein are insufficient to make any required payment of principal of or interest on any Outstanding Bonds; *provided, that* such transfer shall be made, first, from any available cash or the proceeds from the liquidation of any available investments in the Reserve Subaccount and, second, from the proceeds of any draw under any Reserve Subaccount Credit Facility; and provided further, that the University shall give the provider of the Reserve Subaccount Credit Facility prompt notice of any withdrawals from the Reserve Subaccount to pay principal of or interest on any Bonds; and
- (B) If the value of the Reserve Assets exceeds the Reserve Requirement on any Valuation Date, for transfer of the excess to pay rebatable arbitrage and second, to the Fund 347 Bond Retirement Account.
- (C) The Reserve Requirement may be met either with cash deposits/balances or with a Reserve Subaccount Credit Facility. The Designated University Representative reserves the right, to be exercised in his sole discretion, to substitute a Reserve Subaccount Credit Facility for any Reserve Subaccount Credit Facility previously provided or for money and investments in the Reserve Subaccount equal to the stated amount available under such Reserve Subaccount Credit Facility upon satisfaction of the following conditions precedent:
- (i) The University shall have received an Opinion of Counsel approving the due authorization, execution, delivery and enforceability of the Reserve Subaccount Credit Facility in accordance with its terms, subject to applicable laws affecting creditors' rights generally, and, in the event the issuer of the Reserve Subaccount Credit Facility is not a domestic entity, an opinion of foreign counsel to the same effect in form and substance satisfactory to the University;

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- (ii) The University shall have received an Opinion of Bond Counsel to the effect that substitution of such Reserve Subaccount Credit Facility will not cause the interest on the Bonds to become includable in gross income for federal income taxation purposes;
- (iii) The obligation of the University to reimburse the issuer of the Reserve Subaccount Credit Facility for any fees or expenses or claims or drawings under such Reserve Subaccount Credit Facility shall be subordinate to the payment of debt service on any Outstanding Parity Bonds;
- (iv) The issuer of such Reserve Subaccount Credit Facility must have a rating at least equal to the underlying rating then in effect with respect to the Bonds, but in any event not lower than one of the two highest rating categories of each Rating Agency then maintaining a rating on the Bonds; and
- (v) The aggregate value of the Reserve Subaccount must equal the Reserve Requirement immediately upon such substitution.
- (D) The University covenants that it will not terminate any Reserve Subaccount Credit Facility unless, prior to such termination, either (1) it provides in lieu thereof a Reserve Subaccount Credit Facility, or (2) it deposits in the Reserve Subaccount money and investments equal to the Reserve Requirement. In the event that the provider of a Reserve Subaccount Credit Facility notifies the University that it will not renew or extend such credit facility, the University shall deposit in the Reserve Subaccount the money and investments necessary to equal the Reserve Requirement on the date that the Reserve Subaccount Credit Facility terminates.

Section 11. Application of Bond Proceeds; Refunding Procedures.

- (a) Application of Bond Proceeds. The net proceeds of sale of the Bonds shall be remitted to the Escrow Agent and shall be used immediately upon receipt thereof to defease the Refunded Bonds and, at the option of the Designated University Representative, to pay related costs of issuance. The Escrow Agent shall purchase certain Government Obligations (which obligations so purchased, are herein called "Acquired Obligations"), bearing such interest and maturing as to principal and interest in such amounts and at such times which, together with any necessary beginning cash balance, will provide for the payment of:
- (1) Interest on the Refunded Bonds as such become due on and prior to the Call Date; and
- (2) The redemption price (100% of par) of the Refunded Bonds on the Call Date.
- (b) Escrow Agent, Escrow Agreement and Costs of Issuance Agreement. The Designated University Representative is hereby requested and directed to select a financial institution to serve as the Escrow Agent for the Refunded Bonds (the "Escrow Agent"). A beginning cash balance, if any, and Acquired Obligations shall be deposited irrevocably with the Escrow Agent in an amount sufficient to defease the Refunded Bonds. The proceeds of the

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Bonds remaining after acquisition of the Acquired Obligations and provision for the necessary beginning cash balance shall be utilized to pay expenses of the acquisition and safekeeping of the Acquired Obligations and expenses of the issuance of the Bonds. The Designated University Representative is authorized and directed to execute and deliver to the Escrow Agent an Escrow Deposit Agreement and a Costs of Issuance Agreement substantially in the forms attached to this resolution as Exhibit A and Exhibit B, respectively, with such changes or modifications as the Designated University Representative, with the advice of bond counsel to the University, consider necessary or advisable.

(c) Redemption. The University hereby irrevocably sets aside sufficient funds out of the purchase of Acquired Obligations from proceeds of the Bonds to make the payments described in subsection (a) of this section.

The University hereby irrevocably calls the Refunded Bonds for redemption on their Call Date in accordance with the provisions of the 2009 Resolution, authorizing the redemption and retirement of the Refunded Bonds prior to their fixed maturities. Said defeasance and call for redemption of the Refunded Bonds shall be irrevocable after the issuance of the Bonds and delivery of the Acquired Obligations to the Escrow Agent.

The Escrow Agent is hereby authorized and directed to provide for the giving of notices of the defeasance and the redemption of the Refunded Bonds in accordance with the applicable provisions of the 2009 Resolution. The Designated University Representative is authorized and requested to provide whatever assistance is necessary to accomplish such redemption and the giving of notices therefore. The costs of publication of such notices shall be an expense of the University.

The University will take such actions as are found necessary to see that all necessary and proper fees, compensation and expenses of the Escrow Agent for the Refunded Bonds shall be paid when due.

The University hereby irrevocably sets aside for and pledges to the payment of the Refunded Bonds the moneys and obligations to be deposited with the Escrow Agent pursuant to the Escrow Agreement to accomplish the plan of refunding and defeasance of the Refunded Bonds set forth herein and in the Escrow Agreement. When all of the Refunded Bonds shall have been redeemed and retired, the University may cause any remaining money to be transferred to the Bond Fund for the purposes set forth above.

- (d) *Termination of Subsidy*. The University hereby further covenants that from and after the issuance of the Refunded Bonds, the University shall no longer apply for, collect or retain any federal subsidy with respect to the Refunded Bonds.
- Section 12. Defeasance. In the event that money and/or noncallable Government Obligations, which are direct obligations of the United States or obligations unconditionally guaranteed by the United States, maturing or having guaranteed redemption prices at the option of the owner at such time or times and bearing interest to be earned thereon in amounts (together with such money, if any) sufficient to redeem and retire part or all of the Bonds in accordance with their terms, are hereafter irrevocably set aside in a special account and pledged to effect such redemption and retirement, and, if the Bonds are to be redeemed prior to maturity,

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irrevocable notice, or irrevocable instructions to give notice of such redemption has been delivered to the Registrar, then no further payments need be made into the Fund 347 Bond Retirement Account or any account therein for the payment of the principal of, premium, if any, and interest on the Bonds so provided for and such Bonds shall then cease to be entitled to any lien, benefit or security of this resolution, except the right to receive the funds so set aside and pledged and such notices of redemption, if any, and such Bonds shall no longer be deemed to be Outstanding hereunder, under this resolution or under any resolution authorizing the issuance of bonds or other indebtedness of the University.

Within 20 days after any defeasance of Bonds, the University shall provide notice of defeasance of Bonds to Registered Owners of Bonds being defeased and to each party entitled to receive notice under agreements for continuing disclosure entered into pursuant to Section 24.

<u>Section 13</u>. <u>Investment of Funds</u>. The University covenants to invest and reinvest money deposited in the Fund 347 Bond Retirement Account only in those investments in which agencies of the State are authorized to invest pursuant to State law.

Section 14. Establishment of Additional Accounts and Subaccounts. The University reserves the right, to be exercised in its sole discretion, to establish such additional accounts within the funds established pursuant to this resolution, and subaccounts within such accounts, as it deems necessary or useful for the purpose of identifying more precisely the sources of payments herein and disbursements therefrom; provided that the establishment of any such account or subaccount does not alter or modify any of the requirements of this resolution with respect to a deposit or use of money or result in commingling of funds not permitted hereunder.

Section 15. Source of Repayment and Security for Bonds.

- (a) The Bonds shall be special revenue fund obligations of the University, payable solely from Revenues and the money and investments deposited into the Fund 347 Bond Retirement Account. The Bonds shall not constitute an obligation, neither general, special or moral, of the State, nor a general or moral obligation of the University. The Registered Owners of the Bonds shall have no right to require the State, nor has the State any obligation or legal authorization, to levy any taxes or appropriate or expend any of its funds for the payment of the principal thereof or the interest or any premium thereon. The University has no taxing power.
- (b) The Bonds shall be equally and ratably secured, without preference, priority or distinction because of date of issue or otherwise by liens, hereby created, against and pledge of the money and investments in the Fund 347 Bond Retirement Account. Said liens shall be immediately valid and binding against the money and investments in the Fund 347 Bond Retirement Account without filing or recording.

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Section 16. Additional Bonds.

- (a) The University shall have the right to issue one or more series of Additional Bonds to finance the planning for, permitting, acquisition, construction, repair, renovation, alteration or betterment of University capital projects or to refund or advance refund any Parity Bonds, if:
- (1) The University is not in default of any of its covenants and undertakings in connection with all Outstanding Bonds; and
- (2) The University has on file a certificate of the Designated University Representative to the effect that Revenues will be sufficient to pay debt service on all Parity Bonds to be outstanding following the date of issuance of such Additional Bonds.

Upon compliance with the conditions set forth in this Section 16, such Additional Bonds shall be Parity Bonds, such Additional Bonds, the payments required therein to be made out of the Trust Land and Building Fee Revenues shall constitute a lien and charge upon such Trust Land and Building Fee Revenues equal in rank to the lien and charge thereon of all then outstanding Parity Bonds.

- (b) Nothing herein shall prevent the University from granting a lien or liens which are junior and subordinate to the lien of any Outstanding Bonds against the Trust Land Revenues and Building Fees and the money and investments in the Fund 347 Bond Retirement Account.
- <u>Section 17.</u> <u>Additional Covenants of the University.</u> So long as any Bonds are Outstanding, the University makes the following covenants.
- (a) Payment of Debt Service. The University shall pay or cause to be paid the principal of and the interest on all Outstanding Bonds on the dates, at the places, from the sources of funds and in the manner, all as provided herein.
- (b) Maintenance of the Building Fees. The Board shall charge and collect Building Fees and cause same to be deposited into Fund 347 Bond Retirement Account in amounts which, together with other amounts therein and legally available for the payment of debt service, will be sufficient to pay the principal of and interest on all Parity Bonds. In any event, no less than 50% of all Building Fee collections shall be deposited into Fund 347 Bond Retirement Account, as collected.
- (c) Deposit of Trust Land Revenues. The University will deposit Trust Land Revenues into the Fund 347 Bond Retirement Account, to be used as provided by State law and this resolution.
- <u>Section 18.</u> <u>Covenants Regarding Tax Exemption.</u> With regard to Bonds that are Tax-Exempt Bonds, the University covenants and agrees that:
- (a) *General Covenant*. It will not make any use of the proceeds from the sale of the Tax-Exempt Bonds or any other funds of the University which may be deemed to be proceeds of

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such Tax-Exempt Bonds pursuant to Section 148 of the Code and the applicable regulations thereunder which will cause the Tax-Exempt Bonds to be "arbitrage bonds" within the meaning of Section 148 and said regulations. The University will comply with the applicable requirements of Section 148 of the Code (or any successor provision thereof applicable to the Tax-Exempt Bonds) and the applicable regulations thereunder throughout the term of the Tax-Exempt Bonds.

- (b) Bank Qualification. The Designated University Representative is authorized to determine whether the series of the Tax-Exempt Bonds may be qualified under Section 265(b) of the Code and to designate the series of the Tax-Exempt Bonds as "qualified tax-exempt obligations" pursuant to Section 265(b) of the Code for investment by financial institutions.
 - (c) *Private Use/Private Payments.* The University will not permit:
- (1) More than 10% of the Net Proceeds of the Bonds to be used for any Private Person Use; and
- (2) More than 10% of the principal or interest payments on the Bonds in a Bond Year to be directly or indirectly: (A) secured by any interest in property used or to be used for any Private Person Use or secured by payments in respect of property used or to be used for any Private Person Use, or (B) derived from payments (whether or not made to the University) in respect of property, or borrowed money, used or to be used for any Private Person Use.

The University further covenants that, if:

- (3) More than five percent of the Net Proceeds of the Bonds are to be used for any Private Person Use; and
- More than five percent of the principal or interest payments on the Bonds (4) in a Bond Year are (under the terms of this resolution or any underlying arrangement) directly or indirectly: (A) secured by any interest in property used or to be used for any Private Person Use or secured by payments in respect of property used or to be used for any Private Person Use, or (B) derived from payments (whether or not made to the University) in respect of property, or borrowed money, used or to be used for any Private Person Use, then, (i) any Private Person Use of the projects described in subsection (3) hereof or Private Person Use payments described in subsection (iv) hereof that is in excess of the five percent limitations described in such subsections (iii) or (iv) will be for a Private Person Use that is related to the state or local governmental use of the projects financed with bond proceeds, and (ii) any Private Person Use will not exceed the amount of Net Proceeds of the Bonds used for the state or local governmental use portion of the projects to which the Private Person Use of such portion of such projects relates. The University further covenants that it will comply with any limitations on the use of the projects by other than state and local governmental users that are necessary, in the opinion of its bond counsel, to preserve the tax exemption of the interest on the Bonds. The covenants of this section are specified solely to assure the continued exemption from regular income taxation of the interest on the Bonds.

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- (d) It will not take any action or permit any action to be taken that would cause the Bonds to constitute "private activity bonds" under Section 141 of the Code.
- Section 19. Lost, Stolen or Destroyed Bonds. In case any Bond or Bonds shall be lost, stolen or destroyed, the Registrar may execute and deliver a new Bond or Bonds of like date, series, number and tenor to the Registered Owner thereof upon the owner's paying the expenses and charges of the Registrar and the University in connection therewith and upon his filing with the Registrar evidence satisfactory to the Registrar that such Bond was actually lost, stolen or destroyed and of his ownership thereof, and upon furnishing the Registrar and the University with indemnity satisfactory to the Registrar and the University.
- Section 20. No Recourse Against Individuals. No Registered Owner shall have any recourse for the payment of any part of the principal or redemption price, if any, of or interest on the Bonds, or for the satisfaction of any liability arising from, founded upon, or existing by reason of, the issuance or ownership of such Bonds against the officers of the University or officers or members of the Board in their individual capacities.
- <u>Section 21</u>. <u>Amendment of Resolution</u>. (a) *Amendment Without Consent*. This resolution may be amended or supplemented from time to time, without the consent of the Registered Owners by a resolution or resolutions amendatory or supplemental to this resolution adopted by the Board for one or more of the following purposes:
- (1) to add additional covenants of the Board or to surrender any right or power herein conferred upon the University; provided that such additional covenants and agreements are not contrary to or inconsistent with the covenants and agreements of the University contained in this resolution;
- (2) to confirm as further assurance any pledge or provision for payment of the Parity Bonds under and the subjection to any lien, claim or pledge created or to be created by the provisions of this resolution of Revenues or of any other moneys, securities or funds;
- (3) to cure any ambiguity or to cure, correct or supplement any defective (whether because of any inconsistency with any other provision hereof or otherwise) provision of this resolution in such manner as shall not be inconsistent with this resolution or to make any other provisions with respect to matters or questions arising under this resolution, provided such action shall not impair the security hereof or materially and adversely affect the interests of the Registered Owners; or
- (4) to prescribe further limitations and restrictions upon the issuance of Parity Bonds and the incurring of indebtedness by the University payable from the Revenues which are not contrary to or inconsistent with the limitations and restrictions thereon theretofore in effect;
- (5) to provide or modify procedures permitting Registered Owners to utilize a certificated system of registration for Bonds; or
- (6) to modify, alter, amend, supplement or restate this resolution in any and all respects necessary, desirable or appropriate in connection with the delivery of a Credit Facility or other security or liquidity arrangement; or

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- (7) to modify, alter, amend, supplement or restate this resolution in any and all respects necessary, desirable or appropriate in order to satisfy the requirements of any Rating Agency which may from time to time provide a rating on the Bonds, or to obtain or retain such rating on any Parity Bonds as is deemed necessary by the University; or
- (8) to qualify this resolution under the Trust Indenture Act of 1939, as amended; or
- (9) to modify any of the provisions of this resolution in any other respects; provided that such modifications shall not materially and adversely affect the rights of any Parity Bondowners or that such modifications shall not take effect until all then Outstanding Parity Bonds are no longer Outstanding.

Notwithstanding anything in this Section 21 to the contrary, without the specific consent of the Registered Owners of each Parity Bond, no such resolution amending or supplementing the provisions hereof shall reduce the percentage of Parity Bonds, the Registered Owners of which are required to consent to any such resolution amending or supplementing the provisions hereof; or give to any Parity Bond or Parity Bonds any preference over any other Parity Bond or Parity Bonds secured hereby. No resolution amending or supplementing the provisions hereof shall change the date of payment of the principal of any Parity Bond, or reduce the principal amount of any Parity Bond, or change the rate or extend the time of payment of interest thereof, or reduce any premium payable upon the redemption or prepayment thereof, or advance the date upon which any Parity Bond may first be called for redemption prior to its fixed maturity date without the specific consent of the Registered Owner of that Parity Bond; and no such amendment shall change or modify any of the rights or obligations of any paying agent or other agent for a series of Parity Bonds without its written assent thereto.

(b) Amendments With Registered Owners' Consent. This resolution may be amended from time to time by a supplemental resolution approved by the Registered Owners of a majority in aggregate principal amount of the Parity Bonds then Outstanding; provided, that (1) no amendment shall be made which affects the rights of some but fewer than all of the Registered Owners of the Outstanding Parity Bonds without the consent of the Registered Owners of a majority in aggregate principal amount of the Parity Bonds so affected, and (2) except as expressly authorized hereunder, no amendment that alters the interest rates on any Parity Bonds, the maturity date, interest payment dates, purchase upon tender or redemption provisions of any Parity Bonds, this Section 21 without the consent of the Registered Owners of all Outstanding Parity Bonds affected thereby. For the purpose of consenting to amendments under this Section 21(b) except for amendments that alter the interest rate on any Parity Bonds, the maturity date, interest payment dates, the Credit Facility Issuer shall be deemed to be the sole Registered Owner of the Parity Bonds that are payable from such Credit Facility and that are then Outstanding.

Section 22. Concerning the Registered Owners.

(a) Form of Consent of Registered Owners. Any request, direction, consent or other written instrument required by this resolution to be signed or executed by the Registered Owners may be in any number of concurrent written instruments of similar tenor and may be signed or executed by such Registered Owners in person or by an agent or agents duly appointed by a

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written instrument. For purposes of compliance with this section, the issuer of an irrevocable letter of credit securing the repayment of the Bonds or an issuer of a policy of municipal bond insurance insuring the payment of the Bonds shall be deemed to be the Registered Owner of the Bonds. Proof of the execution of any such written instrument and of the ownership of the Bonds shall be sufficient for any purpose of this resolution and shall be conclusive in favor of the University, and/or the Registered Owners with regard to any action taken under such instrument, if made in the following manner:

- (1) the fact and date of the execution by any Registered Owner of any such instrument may be proved by the certificate of any officer in any jurisdiction who, by the laws thereof, has power to take acknowledgments of deeds to be recorded within such jurisdiction, to the effect that the Registered Owner signing such instrument acknowledged to him or her the execution thereof, or by an affidavit of a witness to such execution; and
- (2) the ownership of Bonds shall be proved by the Bond Register maintained by the Registrar.

Nothing contained in this Section 22(a) shall be construed as limiting the University to the proof above specified, it being intended that the University may accept any other evidence of the matters herein stated to which it may seem sufficient.

- (b) Waiver of Form. Except as otherwise provided herein, any notice or other communication required by this resolution to be given by delivery, publication or otherwise to the Registered Owners or any one or more thereof may be waived, at any time before such notice or communication is so required to be given, by written waivers mailed or delivered to the University by the Registered Owners of all Bonds of a series entitled to such notice or communication.
- (c) Revocation; Conclusive Action. At any time prior to (but not after) the evidencing to the University of the taking of any action by the Registered Owners of the percentage in aggregate principal amount of Outstanding Bonds of a series specified in this resolution in connection with such action, any Registered Owner may, by filing written notice with the University, revoke any consent given by such Registered Owner or the predecessor Registered Owner of such Bond. Except as aforesaid, any such consent given by the Registered Owner of any Bond shall be conclusive and binding upon such Registered Owner and upon all future Registered Owners of such Bond and of any Bond issued in exchange therefor or in lieu thereof, irrespective of whether or not any notation in regard thereto is made upon such Bond. Any action taken by the Registered Owners of the percentage in aggregate principal amount of a series of Outstanding Bonds specified in this resolution in connection with such action shall be conclusively binding upon the University and the Registered Owners of all Outstanding Bonds.
- Section 23. Determination of Registered Owners' Concurrence. In determining whether the Registered Owners of the requisite aggregate principal amount of Outstanding Bonds have concurred in any demand, request, direction, consent or waiver under this resolution, Bonds which are owned by or held in the name of the University shall be disregarded and deemed not to be Outstanding for the purpose of any such determination. Bonds so owned which have been pledged in good faith may be regarded as Outstanding for the purposes of this

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Section 23 if the pledgee shall establish to the satisfaction of the University the pledgee's right to vote such Bonds and that the pledgee is not the University.

<u>Section 24.</u> <u>Undertaking to Provide Ongoing Disclosure.</u> The Designated University Representative is authorized to, in his discretion, execute and deliver a certificate regarding continuing disclosure with respect to each series of the Bonds in order to assist the Underwriter for each series of Bonds in complying with Section (b)(5) of the Rule.

Section 25. Resolution a Contract with Bondowners. This resolution is adopted under the authority of and in full compliance with the Constitution and laws of the State of Washington. In consideration of the purchase and acceptance of the Bonds by those who shall hold the same from time to time, the provisions of this resolution shall constitute a contract with the owner or owners of each Bond and the coupons, if any, appurtenant thereto, and the obligations of the University and its Board under said laws and under this resolution shall be enforceable by any court of competent jurisdiction; and the covenants and agreements herein set forth to be performed on behalf of the University shall be for the equal benefit, protection and security of the owners of any and all of the Bonds and the coupons, if any, appurtenant thereto.

Section 26. Contract-Savings Clause. The covenants contained in this resolution, the Bonds and the provisions of the Bond Act shall constitute a contract between the University and the Registered Owners of the Bonds and shall be construed in accordance with and controlled by the laws of the State. If any one or more of the covenants or agreements provided in this resolution to be performed on the part of the University shall be declared by any court of competent jurisdiction and final appeal, if any appeal be taken, to be contrary to law, then such covenant or covenants, agreement or agreements shall be null and void and shall be deemed separable from the remaining covenants and agreements in this resolution and shall in no way affect the validity of the other provisions of this resolution or of the Bonds.

Section 27. No Benefits to Outside Parties. Nothing in this resolution, express or implied, is intended or shall be construed to confer upon or to give to any person, other than the University, the Registrar, any provider of a Reserve Subaccount Credit Facility and the Registered Owners of Bonds, any right, remedy or claim under or by reason of this resolution; and the covenants, stipulations and agreements in this resolution are and shall be for sole and exclusive benefit of the University, the Registrar, any provider of a Reserve Subaccount Credit Facility and the Registered Owners of Bonds, their successors and assigns.

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 $\underline{Section\ 28}. \quad \underline{Immediate\ Effect}. \ \ This\ resolution\ shall\ take\ effect\ immediately\ upon\ its$ adoption.

ADOPTED AND APPROVED by the Board of Regents of Washington State University, by a regular meeting of the Board held this 3rd day of May, 2019.

	WASHINGTON STATE UNIVERSITY
	Chair, Board of Regents
ATTEST:	
Secretary, Board of Regents	

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EXHIBIT A

ESCROW DEPOSIT AGREEMENT

WASHINGTON STATE UNIVERSITY TRUST AND BUILDING FEE REVENUE REFUNDING BONDS, [YEAR OF ISSUANCE][SERIES DESIGNATION]

THIS ESCROW AGREEMENT, dated as of, 20 (herein, together
with any amendments or supplements hereto, called the "Agreement") is entered into by and between Washington State University (herein called the "University") and, as escrow agent (herein,
together with any successor in such capacity, called the "Escrow Agent"). The notice addresses of the University and the Escrow Agent are shown on Exhibit A attached hereto and made a part hereof.
WITNESSETH:
WHEREAS, the University has issued and there presently remain outstanding the obligations described in Exhibit B (the "Refunded Bonds"); and
WHEREAS, pursuant to Resolution No. 190503-603, adopted on May 3, 2019 (the "Resolution"), the University has determined to issue its Washington State University Trust and Building Fee Revenue Refunding Bonds, [year of issuance][series designation] (the "Refunding Bonds"). A portion of the Refunding Bonds are being used for the purpose of providing funds to pay the costs of refunding the Refunded Bonds; and
WHEREAS , the Escrow Agent has reviewed this Agreement, and is willing to serve as Escrow Agent; and
[WHEREAS,
WHEREAS, pursuant to the Resolution, the Refunded Bonds have been designated for defeasance and/or redemption prior to their scheduled maturity dates and, after provision is made for such defeasance and/or redemption, the Refunded Bonds will come due in such years, bear interest at such rates, and be payable at such times and in such amounts as are set forth in Exhibit C; and
WHEREAS, when Escrowed Securities have been deposited with the Escrow Agent for the payment of all principal and interest of the Refunded Bonds when due, then the Refunded

Bonds shall no longer be regarded as outstanding except for the purpose of receiving payment

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from the funds provided for such purpose; and

WHEREAS, the Refunding Bonds have been duly authorized to be issued, sold, and delivered for the purpose of obtaining the funds required to provide for the payment of the principal of, interest on and redemption premium (if any) on the Refunded Bonds when due as shown on Exhibit C; and

WHEREAS, the University desires that, concurrently with the delivery of the Refunding Bonds to the purchasers, the proceeds of the Refunding Bonds, together with certain other available funds of the University, shall be applied to purchase certain direct obligations of the United States of America hereinafter defined as the "Escrowed Securities" for deposit to the credit of the Escrow Fund and to establish a beginning cash balance (if needed) in the Escrow Fund; and

WHEREAS, the Escrowed Securities shall mature and the interest thereon shall be payable at such times and in such amounts so as to provide money which, together with cash balances from time to time on deposit in the Escrow Fund, will be sufficient to pay interest on the Refunded Bonds as it accrues and becomes payable and the principal of the Refunded Bonds as it becomes due and payable; and

WHEREAS, to facilitate the receipt and transfer of proceeds of the Escrowed Securities, particularly those in book-entry form, the University desires to establish the Escrow Fund at the principal corporate trust office of the Escrow Agent; and

WHEREAS, the Escrow Agent is a party to this Agreement to acknowledge its acceptance of the terms and provisions hereof; and

WHEREAS, simultaneously herewith, the University is entering into a Cost of Issuance Agreement with the Escrow Agent to provide for the payment of costs of issuance relating to the Bonds;

NOW, THEREFORE, in consideration of the mutual undertakings, promises and agreements herein contained, the sufficiency of which hereby are acknowledged, and to secure the full and timely payment of principal of and the interest on the Refunded Bonds, the University and the Escrow Agent mutually undertake, promise and agree for themselves and their respective representatives and successors, as follows:

Article 1.

Section 1.1. Definitions.

Unless the context clearly indicates otherwise, the following terms shall have the meanings assigned to them below when they are used in this Agreement:

Acquired Obligations mean the Government Obligations acquired by the University under the terms of the resolution and this Escrow Agreement to effect the defeasance and refunding of the Refunded Bonds.

Ŀ	Escrow A	lgeni	means	 ,	

Escrow Fund means the fund created by this Agreement to be established, held and administered by the Escrow Agent pursuant to the provisions of this Agreement.

Escrowed Securities mean the noncallable Government Obligations described in Exhibit D, or cash or other noncallable obligations substituted therefor pursuant to Section 4.2 of this Agreement.

Government Obligations mean direct, noncallable (a) United States Treasury Obligations, (b) United States Treasury Obligations — State and Local Government Series, (c) non-prepayable obligations which are unconditionally guaranteed as to full and timely payment of principal and interest by the United States of America or (d) REFCORP debt obligations unconditionally guaranteed by the United States.

Paying Agent means the fiscal agent of the State of Washington, as the paying agent for the Refunded Bonds.

Refunded Bonds mean \$_____ of the University's Trust and Building Fee Revenue Bonds, 2009B (Taxable Build America Bonds — Direct Payment), maturing [on and] after October 1, 2019.

Section 1.2. Other Definitions.

The terms "Agreement," "University," "Escrow Agent," "Resolution," "Verification Report," "Refunded Bonds," and "Refunding Bonds" when they are used in this Agreement, shall have the meanings assigned to them in the preamble to this Agreement.

Section 1.3. Interpretations.

The titles and headings of the articles and sections of this Agreement have been inserted for convenience and reference only and are not to be considered a part hereof and shall not in any way modify or restrict the terms hereof. This Agreement and all of the terms and provisions hereof shall be liberally construed to effectuate the purposes set forth herein and to achieve the intended purpose of providing for the refunding of the Refunded Bonds in accordance with applicable law.

Article 2. Deposit of Funds and Escrowed Securities

Section 2.1. Deposits in the Escrow Fund.

Concurrently with the sale and delivery of the Refunding Bonds the University shall deposit, or cause to be deposited, with the Escrow Agent, for deposit in the Escrow Fund, the funds sufficient to purchase the Escrowed Securities described in Exhibit D, and the Escrow Agent shall, upon the receipt thereof, acknowledge such receipt to the University in writing.

Article 3. Creation and Operation of Escrow Fund

Section 3.1. Escrow Fund.

The Escrow Agent has created on its books a special trust fund and irrevocable escrow to be known as the Escrow Fund (the "Escrow Fund"). The Escrow Agent agrees that upon receipt it will deposit to the credit of the Escrow Fund the funds and the Escrowed Securities described in Exhibit D. Such deposit, all proceeds therefrom, and all cash balances on deposit therein (a) shall be the property of the Escrow Fund, (b) shall be applied only in strict conformity with the terms and conditions of this Agreement, and (c) are hereby irrevocably pledged to the payment of the principal of and interest on the Refunded Bonds on October 1, 2019 (the "Call Date"), which payment shall be made by timely transfer of such amounts as are provided for in Section 3.2. When the final transfer have been made for the payment of such principal of and interest on the Refunded Bonds, any balance then remaining in the Escrow Fund shall be transferred to the University, and the Escrow Agent shall thereupon be discharged from any further duties hereunder.

Section 3.2. Payment of Principal and Interest.

The Escrow Agent is hereby irrevocably instructed to transfer to the Paying Agent from the cash balances on deposit in the Escrow Fund, the amounts required to pay the principal of the Refunded Bonds and interest thereon to the Call Date as shown in Exhibit C.

Section 3.3. Sufficiency of Escrow Fund.

The University represents that, based upon the information provided in the Verification Report, the successive receipts of the principal of and interest on the Escrowed Securities will assure that the cash balance on deposit in the Escrow Fund will be at all times sufficient to provide money for transfer to the Paying Agent on the Call Date and in the amounts required to pay the interest on the Refunded Bonds coming due on the Call Date and the principal of the Refunded Bonds on the Call Date, all as more fully set forth in Exhibit E. If, for any reason, at any time, the cash balances on deposit or scheduled to be on deposit in the Escrow Fund shall be insufficient to transfer the amounts required by the Paying Agent to make the payments set forth in Section 3.2., the University shall timely deposit in the Escrow Fund, from any funds that are lawfully available therefor, additional funds in the amounts required to make such payments. Notice of any such insufficiency shall be given promptly as hereinafter provided, but the Escrow Agent shall not in any manner be responsible for any insufficiency of funds in the Escrow Fund or the University's failure to make additional deposits.

Section 3.4. Trust Fund.

The Escrow Agent shall hold at all times the Escrow Fund, the Escrowed Securities and all other assets of the Escrow Fund, wholly segregated from all other funds and securities on deposit with the Escrow Agent; it shall never allow the Escrowed Securities or any other assets of the Escrow Fund to be commingled with any other funds or securities of the Escrow Agent; and it shall hold and dispose of the assets of the Escrow Fund only as set forth herein. The Escrowed Securities and other assets of the Escrow Fund shall always be maintained by the

Escrow Agent as trust funds for the benefit of the owners of the Refunded Bonds; and a special account shall at all times be maintained on the books of the Escrow Agent. The owners of the Refunded Bonds shall be entitled to the same preferred claim and first lien upon the Escrowed Securities, the proceeds thereof, and all other assets of the Escrow Fund to which they are entitled as owners of the Refunded Bonds. The amounts received by the Escrow Agent under this Agreement shall not be considered as a banking deposit by the University, and the Escrow Agent shall have no right to title with respect thereto except as a trustee and Escrow Agent under the terms of this Agreement. The amounts received by the Escrow Agent under this Agreement shall not be subject to warrants, drafts or checks drawn by the University or, except to the extent expressly herein provided, by the Paying Agent.

Article 4. Limitation on Investments

Section 4.1. Investments.

Except for the initial investment in the Escrowed Securities, the Escrow Agent shall not have any power or duty to invest or reinvest any money held hereunder, or to make substitutions of the Escrowed Securities, or to sell, transfer, or otherwise dispose of the Escrowed Securities.

Article 5. Application of Cash Balances

Section 5.1. In General.

Except as provided in Sections 2.1 and 3.2, no withdrawals, transfers or reinvestment shall be made of cash balances in the Escrow Fund. Cash balances shall be held by the Escrow Agent in United States currency and shall not be reinvested by the Escrow Agent, except as directed or authorized herein.

Article 6. Redemption of Refunded Bonds

Section 6.1. Call for Redemption.

The University hereby irrevocably calls the Refunded Bonds for redemption on their earliest redemption dates, as shown [in the Verification Report and] on Appendix A attached hereto.

Section 6.2. Notice of Redemption/Notice of Defeasance.

The Escrow Agent agrees to give a notice of defeasance and a notice of the redemption of the Refunded Bonds pursuant to the terms of the Refunded Bonds and in substantially the forms attached as and as described in Appendices A and B to the Paying Agent for distribution as described therein. The notice of defeasance shall be given immediately following the execution of this Agreement, and the notice of redemption shall be given in accordance with the resolution authorizing the Refunded Bonds. The Escrow Agent hereby certifies that provision satisfactory and acceptable to the Escrow Agent has been made for the giving of notice of redemption of the Refunded Bonds.

Article 7. Records and Reports

Section 7.1. Records.

The Escrow Agent will keep books of record and account in which complete and accurate entries shall be made of all transactions relating to the receipts, disbursements, allocations and application of the money and Escrowed Securities deposited to the Escrow Fund and all proceeds thereof, and such books shall be available for inspection during business hours and after reasonable notice.

Section 7.2. Reports.

While this Agreement remains in effect, the Escrow Agent annually shall prepare and send to the University a written report summarizing all transactions relating to the Escrow Fund during the preceding year, including, without limitation, credits to the Escrow Fund as a result of interest payments on or maturities of the Escrowed Securities and transfers from the Escrow Fund for payments on the Refunded Bonds or otherwise, together with a detailed statement of all Escrowed Securities and the cash balance on deposit in the Escrow Fund as of the end of such period.

Article 8. Concerning the Paying Agent and Escrow Agent

Section 8.1. Representations.

The Escrow Agent hereby represents that it has all necessary power and authority to enter into this Agreement and undertake the obligations and responsibilities imposed upon it herein, and that it will carry out all of its obligations hereunder.

Section 8.2. Limitation on Liability.

The liability of the Escrow Agent to transfer funds for the payment of the principal of and interest on the Refunded Bonds shall be limited to the proceeds of the Escrowed Securities and the cash balances from time to time on deposit in the Escrow Fund. Notwithstanding any provision contained herein to the contrary, the Escrow Agent shall have no liability whatsoever for the insufficiency of funds from time to time in the Escrow Fund or any failure of the obligors of the Escrowed Securities to make timely payment thereon, except for the obligation to notify the University promptly of any such occurrence.

The recitals herein and in the proceedings authorizing the Refunding Bonds shall be taken as the statements of the University and shall not be considered as made by, or imposing any obligation or liability upon, the Escrow Agent.

The Escrow Agent is not a party to the proceedings authorizing the Refunding Bonds or the Refunded Bonds and is not responsible for nor bound by any of the provisions thereof (except to the extent that the Escrow Agent may be a place of payment and paying agent and/or a

paying agent/registrar therefor). In its capacity as Escrow Agent, it is agreed that the Escrow Agent need look only to the terms and provisions of this Agreement.

The Escrow Agent makes no representations as to the value, conditions or sufficiency of the Escrow Fund, or any part thereof, or as to the title of the University thereto, or as to the security afforded thereby or hereby, and the Escrow Agent shall not incur any liability or responsibility in respect to any of such matters.

It is the intention of the parties that the Escrow Agent shall never be required to use or advance its own funds or otherwise incur personal financial liability in the performance of any of its duties or the exercise of any of its rights and powers hereunder.

The Escrow Agent shall not be liable for any action taken or neglected to be taken by it in good faith in any exercise of reasonable care and believed by it to be within the discretion or power conferred upon it by this Agreement, nor shall the Escrow Agent be responsible for the consequences of any error of judgment; and the Escrow Agent shall not be answerable except for its own action, neglect or default, nor for any loss unless the same shall have been through its negligence or want of good faith.

Unless it is specifically otherwise provided herein, the Escrow Agent has no duty to determine or inquire into the happening or occurrence of any event or contingency or the performance or failure of performance of the University with respect to arrangements or contracts with others, with the Escrow Agent's sole duty hereunder being to safeguard the Escrow Fund, to dispose of and deliver the same in accordance with this Agreement. If, however, the Escrow Agent is called upon by the terms of this Agreement to determine the occurrence of any event or contingency, the Escrow Agent shall be obligated, in making such determination, only to exercise reasonable care and diligence, and in event of error in making such determination the Escrow Agent shall be liable only for its own misconduct or its negligence. In determining the occurrence of any such event or contingency the Escrow Agent may request from the University or any other person such reasonable additional evidence as the Escrow Agent in its discretion may deem necessary to determine any fact relating to the occurrence of such event or contingency, and in this connection may make inquiries of, and consult with, among others, the University at any time.

Section 8.3. Successor Escrow Agents.

If at any time the Escrow Agent or its legal successor or successors should become unable, through operation or law or otherwise, to act as escrow agent hereunder, or if its property and affairs shall be taken under the control of any state or federal court or administrative body because of insolvency or bankruptcy or for any other reason, a vacancy shall forthwith exist in the office of Escrow Agent hereunder. In such event and upon receipt of notice of such event by the University, the University, by appropriate action, promptly shall appoint an Escrow Agent to fill such vacancy. If no successor Escrow Agent shall have been appointed by the University within 60 days, a successor may be appointed by the owners of a majority in principal amount of the Refunded Bonds then outstanding by an instrument or instruments in writing filed with the University, signed by such owners or by their duly authorized attorneys-in-fact. If, in a proper case, no appointment of a successor Escrow Agent shall be made pursuant to the foregoing

provisions of this section within three months after a vacancy shall have occurred, the owner of any Refunded Bond may apply to any court of competent jurisdiction to appoint a successor Escrow Agent. Such court may thereupon, after such notice, if any, as it may deem proper, prescribe and appoint a successor Escrow Agent.

Any successor Escrow Agent shall be a corporation organized and doing business under the laws of the United States or any state, authorized under such laws to exercise corporate trust powers, having a combined capital and surplus of at least \$100,000,000 and subject to the supervision or examination by federal or state authority.

Any successor Escrow Agent shall execute, acknowledge and deliver to the University and the Escrow Agent an instrument accepting such appointment hereunder, and the Escrow Agent shall execute and deliver an instrument transferring to such successor Escrow Agent, subject to the terms of this Agreement, all the rights, powers and trusts of the Escrow Agent hereunder. Upon the request of any such successor Escrow Agent, the University shall execute any and all instruments in writing for more fully and certainly vesting in and confirming to such successor Escrow Agent all such rights, powers and duties.

The obligations assumed by the Escrow Agent pursuant to this Agreement may be transferred by the Escrow Agent to a successor Escrow Agent if (a) the requirements of this Section 8.4 are satisfied; (b) the successor Escrow Agent has assumed all the obligations of the Escrow Agent under this Agreement; and (c) all of the Escrowed Securities and money held by the Escrow Agent pursuant to this Agreement have been duly transferred to such successor Escrow Agent.

Article 9. Miscellaneous

Section 9.1. Notice.

Any notice, authorization, request, or demand required or permitted to be given hereunder shall be in writing and shall be deemed to have been duly given when mailed by registered or certified mail, postage prepaid addressed to the University or the Escrow Agent at the address shown on Exhibit A attached hereto. The United States Post Office registered or certified mail receipt showing delivery of the aforesaid shall be conclusive evidence of the date and fact of delivery. Any party hereto may change the address to which notices are to be delivered by giving to the other parties not less than ten days prior notice thereof.

Section 9.2. Termination of Responsibilities.

Upon the taking of all the actions as described herein by the Escrow Agent, the Escrow Agent shall have no further obligations or responsibilities hereunder to the University, the owners of the Refunded Bonds or to any other person or persons in connection with this Agreement.

Section 9.3. Binding Agreement.

This Agreement shall be binding upon the University and the Escrow Agent and their respective successors and legal representatives, and shall inure solely to the benefit of the owners of the Refunded Bonds, the University, the Escrow Agent and their respective successors and legal representatives.

Section 9.4. Severability.

In case any one or more of the provisions contained in this Agreement shall for any reason be held to be invalid, illegal or unenforceable in any respect, such invalidity, illegality or unenforceability shall not affect any other provisions of this Agreement, but this Agreement shall be construed as if such invalid or illegal or unenforceable provision had never been contained herein.

Section 9.5. Washington Law Governs.

This Agreement shall be governed exclusively by the provisions hereof and by the applicable laws of the State of Washington.

Section 9.6. Time of the Essence.

Time shall be of the essence in the performance of obligations from time to time imposed upon the Escrow Agent by this Agreement.

Section 9.7. Notice to Moody's and S&P.

In the event that this agreement or any provision thereof is severed, amended or revoked, the University shall provide written notice of such severance, amendment or revocation to Moody's Investors Service at 7 World Trade Center, 250 Greenwich Street, New York, New York, 10007, Attention: Public Finance Rating Desk/Refunded Bonds and to S&P Global Ratings, 55 Water Street, New York, New York 10041, Attention: Refunded Bonds Municipal Bond Department.

Section 9.8. Amendments.

This Agreement shall not be amended except to cure any ambiguity or formal defect or omission in this Agreement. No amendment shall be effective unless the same shall be in writing and signed by the parties thereto. No such amendment shall adversely affect the rights of the holders of the Refunded Bonds. No such amendment shall be made without first receiving written confirmation from the rating agencies, (if any) which have rated the Refunded Bonds that such amendment will not result in a withdrawal or reduction of its rating then assigned to the Refunded Bonds. If this Agreement is amended, prior written notice and copies of the proposed changes shall be given to the rating agencies which have rated the Refunded Bonds.

EXECUTED as of the date first written above.

	WASHINGTON STATE UNIVERSITY
	Title:
	[ESCROW AGENT]
	Title:
Exhibit A Exhibit B Exhibit C Exhibit D Exhibit E Appendix A Appendix B	 Addresses of the University and the Escrow Agent Description of the Refunded Bonds Schedule of Debt Service on Refunded Bonds Description of Beginning Cash Deposit (if any) and Escrowed Securities Escrow Fund Cash Flow Notice of Redemption — 2009 Bonds Notice of Defeasance — 2009 Bonds

EXHIBIT A Addresses of the University and Escrow Agent

University:	Washington State University Office of Business and Finance 432 French Administration Building P.O. Box 641045 Pullman, Washington 99164-1045 Attention: Vice President of Finance and Administration
Escrow Agent:	
	Attention: Corporate Trust Services

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Washington State University Trust and Building Fee Revenue Bonds, 2009B (Taxable Build America Bonds — Direct Payment) ("Refunded Bonds")

Maturities (October 1)	Principal Amounts	Interest Rates
2019	\$ 3,795,000	4.933%
2020	3,925,000	5.183
2021	4,060,000	5.283
2029^*	39,090,000	6.314
2034^*	31,875,000	6.414

^{*} Term Bonds

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⁽¹⁾ Any or all of the 2009B Bonds as selected by the Designated University Representative.

EXHIBIT CSchedule of Debt Service on Refunded Bonds

Date	Interest	Principal/ Redemption Price	Total
[Month, Date, Year]	\$	\$	\$[Total Amount]
Total	\$[Total Amount]	\$[Total Amount]	\$[Total Amount]

502130504 A-C-1

EXHIBIT D Escrow Deposit

I. Cash: \$_____

II. Other Obligations

Description	Maturity Date	Principal Amount	Interest Rate	Total Cost
[SLG, TNOTE]	[Month, Date, Year]	\$	[Rate]%	\$
		\$[Total Amount]		\$[Total Amount]

502130504 A-D-1

EXHIBIT E Escrow Fund Cash Flow

Date	Escrow Requirement	Net Escrow Receipts	Excess Receipts	Cash Balance
[Month, Date, Year]	\$	\$	\$	\$
	\$[Total Amount]	\$[Total Amount]	\$	

502130504 A-E-1

APPENDIX A

Notice of Redemption* Washington State University Trust and Building Fee Revenue Bonds, 2009B (Taxable Build America Bonds – Direct Payment)

NOTICE IS HEREBY GIVEN that the University has called for redemption on ______, its then outstanding Trust and Building Fee Revenue Bonds, 2009B (Taxable Build America Bonds – Direct Payment) (the "Bonds").

The Bonds will be redeemed at a price of 100% of their principal amount, plus interest accrued to ______. The redemption price of the Bonds is payable on presentation and surrender of the Bonds at the office of:

U.S. Bank National Association Global Corporate Trust Services 111 Fillmore Ave E St. Paul, MN 55107

Interest on all Bonds or portions thereof which are redeemed shall cease to accrue on

The following Bonds are being redeemed:

Washington State University
Trust and Building Fee Revenue Bonds, 2009B
(Taxable Build America Bonds – Direct Payment)
(Dated December 1, 2009)

Maturity Date (October 1)	Par Amount Defeased	Interest Rate	CUSIP No.
2020	\$ 3,925,000	5.183%	940093Z26
2021	4,060,000	5.283	940093Z34
2029^*	39,090,000	6.314	940093Z42
2034^*	31,875,000	6.414	940093 Z 59

^{*} Term Bonds

By Order of Washington State University

^{*}To conform with notice provisions of Refunded Bonds. This notice shall be given not more than 60 nor less than 30 days prior to the Call Date by first class mail to each registered owner of the Refunded Bonds. In addition notice shall be mailed at least 35 days prior to the Call Date to The Depository Trust Company of New York, New York; Wells Fargo Securities, LLC, Denver, Colorado; Moody's Investors Service; Standard & Poor's Ratings Services; and to the Municipal Securities Rulemaking Board.

U.S. Bank National Association, as Paying Agent Dated: ______.

Withholding of 28% of gross redemption proceeds of any payment made within the United States may be required by the Jobs and Growth Tax Relief Reconciliation Act of 2003 (the "Act") unless the Paying Agent has the correct taxpayer identification number (social security or employer identification number) or exemption certificate of the payee. Please furnish a properly completed Form W-9 or exemption certificate or equivalent when presenting your Bonds.

APPENDIX B

Notice of Defeasance* Washington State University (Taxable Build America Bonds – Direct Payment) Trust and Building Fee Revenue Bonds, 2009B

NOTICE IS HEREBY GIVEN to the owners of that portion of the above captioned bonds with respect to which, pursuant to an Escrow Agreement dated _______, by and between Washington State University (the "University") and _______ (the "Escrow Agent"), the University has deposited into an escrow account, held by the Escrow Agent, cash and non-callable direct obligations of the United States of America, the principal of and interest on which, when due, will provide money to pay each year, to and including the respective maturity or redemption dates of such bonds so provided for, the principal thereof and interest thereon (the "Defeased Bonds"). Such Defeased Bonds are therefore deemed to be no longer outstanding pursuant to Section 11 of Resolution No. 091009-367, adopted on October 9, 2009, of the University authorizing the Defeased Bonds, but will be paid by application of the assets in such escrow.

The Defeased Bonds are described as follows:

Washington State University
Trust and Building Fee Revenue Bonds, 2009B
(Taxable Build America Bonds – Direct Payment)
(Dated December 1, 2009)

Maturity Date (October 1)	Par Amount Defeased	Interest Rate	Call Date	CUSIP No.
2019	\$ 3,795,000	4.933%	Maturity	940093Y92
2020	3,925,000	5.183	October 1, 2019	940093Z26
2021	4,060,000	5.283	October 1, 2019	940093Z34
2029^*	39,090,000	6.314	October 1, 2019	940093Z42
2034^{*}	31,875,000	6.414	October 1, 2019	940093Z59

^{*} Term Bonds

* This notice shall be given immediately by first class mail to each registered owner of the Defeased Bonds and to the Municipal Securities Rulemaking Board.

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[Bond Numbers of 2009B Defeased Bonds

Information for Individual Registered Owner

The addressee of this notice	e is the registered owner of Bond Certificate No	of the
Defeased Bonds described above,	which certificate is in the principal amount of \$	
Of that principal amount, \$	has been defeased as described above.	
[Date]		
	as Escrow Agent]	

EXHIBIT B

COSTS OF ISSUANCE AGREEMENT

WASHINGTON STATE UNIVERSITY TRUST AND BUILDING FEE REVENUE REFUNDING BONDS, [YEAR OF ISSUANCE][SERIES DESIGNATION]

THIS COSTS OF ISSUANCE AGREEMENT, dated as of, (herein,
together with any amendments or supplements hereto, called the "Agreement"), is entered into by and between the WASHINGTON STATE UNIVERSITY, (herein called the "University") and, as Escrow Agent
(herein, together with any successor in such capacity, called the "Escrow Agent").
WITNESSETH:
WHEREAS , pursuant to Resolution No. 190503-603, adopted on May 3, 2019 (the "Resolution"), the University has determined to issue its Trust and Building Fee Revenue Refunding Bonds, [year of issuance][series designation] (the "Bonds"). A portion of the Bonds are being used for the purpose of providing funds to pay the costs of refunding certain outstanding bonds of the University; and
WHEREAS, simultaneously herewith, the University is entering into an Escrow Deposit Agreement, dated under which the Escrow Agent will hold invested proceeds of the Bonds in order to pay and defease and redeem the refunded bonds under the terms set forth therein; and
WHEREAS, certain proceeds of the Bonds will be delivered to the Escrow Agent on the date of issuance of the Bonds that are required to be disbursed to pay costs of issuance of the Bonds; and
WHEREAS , the Escrow Agent has agreed, without additional compensation to disburse the Bond proceeds received to pay costs of issuance under the terms of this Agreement;
Section 1. Deposit in the Costs of Issuance Fund.
The Escrow Agent has created on its books a special trust fund and escrow fund to be known as the Costs of Issuance Fund. The Escrow Agent agrees that upon receipt it will deposit to the credit of the Costs of Issuance Fund Account the sum of \$ to pay those costs of issuance set forth on Exhibit A. Such deposit, all proceeds therefrom, and all cash balances on deposit therein shall be the property of the Costs of Issuance Fund to pay those costs of issuance set forth on Exhibit A upon receipt of invoices. If any of the \$ deposit allocated for costs of issuance for the Bonds remains unspent on, the Escrow Agent shall transfer such unspent amount to the University, and this Agreement shall be deemed fully performed and terminated.

Section 2. Investments.

The Escrow Agent shall not have any power or duty to invest or reinvest any money held hereunder.

Section 3. Limitation on Liability.

The liability of the Escrow Agent to transfer funds for the payment of the costs of issuance identified herein shall be limited to the proceeds of the Bonds delivered to the Escrow Agent.

Section 4. Compensation.

The University shall pay to the Escrow Agent fees for performing the services hereunder and under the Escrow Agreement for the expenses incurred or to be incurred by the Escrow Agent in the administration of this Agreement and the Escrow Agreement pursuant to the terms of the Fee Schedule attached as Exhibit B. The Escrow Agent hereby agrees that in no event shall it ever assert any claim or lien against funds held under the Escrow Agreement for any fees for its services, whether regular or extraordinary, as Escrow Agent, or in any other capacity, or for reimbursement for any of its expenses as Escrow Agent or in any other capacity.

Section 5. Notice.

Any notice, authorization, request, or demand required or permitted to be given hereunder shall be in writing and shall be deemed to have been duly given when mailed by registered or certified mail, postage prepaid addressed to the University or the Escrow Agent at the address shown on Exhibit A to the Escrow Agreement.

Section 6. Washington Law Governs.

This Agreement shall be governed exclusively by the provisions hereof and by the applicable laws of the state of Washington.

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EXECUTED as of the date first written above.

Title: [ESCROW AGENT]	WASH	INGTO	N STAT	E UNIVE	RSIT
[ESCROW AGENT]	Title:				
	[ESCF	OW AG	ENT]		

Exhibit A - Costs of Issuance Schedule

Exhibit B - Fee Schedule

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EXHIBIT A

Costs of Issuance [as applicable]

Escrow Agent Fee ()	\$
Bond Counsel Fee (K&L Gates LLP)		
[Escrow Verification Fee ([Escrow Verification])]		
OS Printing and Mailing Costs ()	
Rating Agency ([Rating Agency(ies)])		
TOTAL:		\$

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EXHIBIT B

FEE SCHEDULE OF ESCROW AGENT

CERTIFICATE

- I, the undersigned, Secretary of the Board of Regents ("Board") of Washington State University (the "University"), DO HEREBY CERTIFY:
- 1. That the attached Resolution (the "Resolution") is a true and correct copy of a resolution of the University, as finally adopted at a regular meeting of the Board of Regents held on the 3rd day of May, 2019, and duly recorded in my office.
- 2. That said meeting was duly convened and held in all respects in accordance with law, and to the extent required by law, due and proper notice of such meeting was given; that a quorum of the Board was present throughout the meeting and a legally sufficient number of members of the Board voted in the proper manner for the adoption of said Resolution; that all other requirements and proceedings incident to the proper adoption of said Resolution have been duly fulfilled, carried out and otherwise observed, and that I am authorized to execute this certificate.

IN WITNESS WHEREOF, I have hereunto set my hand this 3rd day of May, 2019.

Secretary

ACTION ITEM #1

Centers, Institutes, and Laboratories (CIL) Task Force Recommendations (Chris Keane)

May 3, 2019

TO ALL MEMBERS OF THE BOARD OF REGENTS

SUBJECT: Centers, Institutes, and Laboratories (CIL) Task Force

Recommendations

PROPOSED: That the Board of Regents approve the proposed CIL Task Force

Recommendations and delegate authority to the President or designee to have final approval of Centers, Institutes, and

Laboratories, previously approved by the Board.

SUBMITTED BY: Chris Keane, Vice President for Research

SUPPORTING

INFORMATION: A task force was convened in November 2017 at the request of the

Vice President for Research and the Faculty Senate to review policies and procedures associated with defining, creating, reviewing, and renewing centers, institutes, and laboratories at WSU. The final recommendations were approved at the Faculty Senate meeting on

February 7, 2019, including this proposed delegation.

Because the Board of Regents meets on a limited basis throughout the year, delegating final approval of CILs to the University President will reduce the time of application to final approval from

approximately one year to approximately eight weeks.

BOARD OF REGENTS

Delegation of Authority to Approve University Centers, Institutes, and Laboratories

Resolution # 190503-604

WHEREAS, RCW 28B.30.095, RCW 28B.30.100, and RCW 28B.30.150 vest the governance and management of Washington State University (WSU) in the Board of Regents of WSU;

WHEREAS, the Board of Regents is authorized by RCW 28B.10.528 to delegate to the President of WSU or designee powers and duties versed in or imposed upon the Board of Regents by law and to enable the President or designee to act on behalf of the Board of Regents in matters relating to the administration and governance of WSU;

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents hereby delegates authority to the President or designee to officially approve University Centers, Institutes, and Laboratories.

Dated this 3 rd day of May, 2019.	
	Chair, Board of Regents
	Secretary, Board of Regents